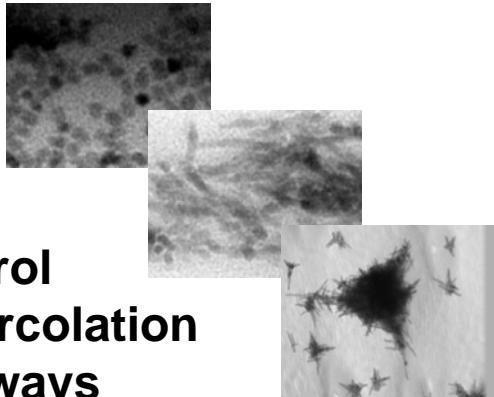
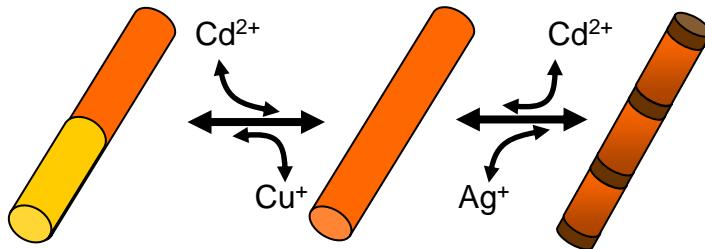


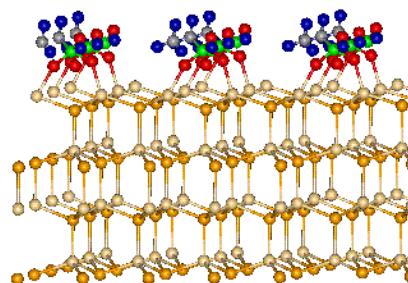
Nanocrystal-based solar cells



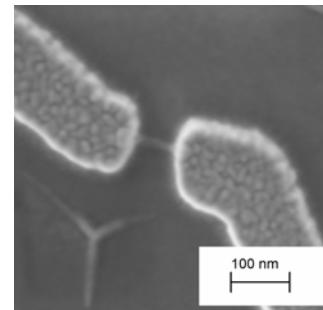
**Control
of percolation
pathways**



**New nanoscale
heterostructures for solar cells**



**Organic
passivation
and assembly**



**Model studies of
single nanocrystals**

Paul Alivisatos
Larry and Diane Bock Professor of Nanotechnology, University of California, Berkeley
Materials Science Division, Lawrence Berkeley National Lab

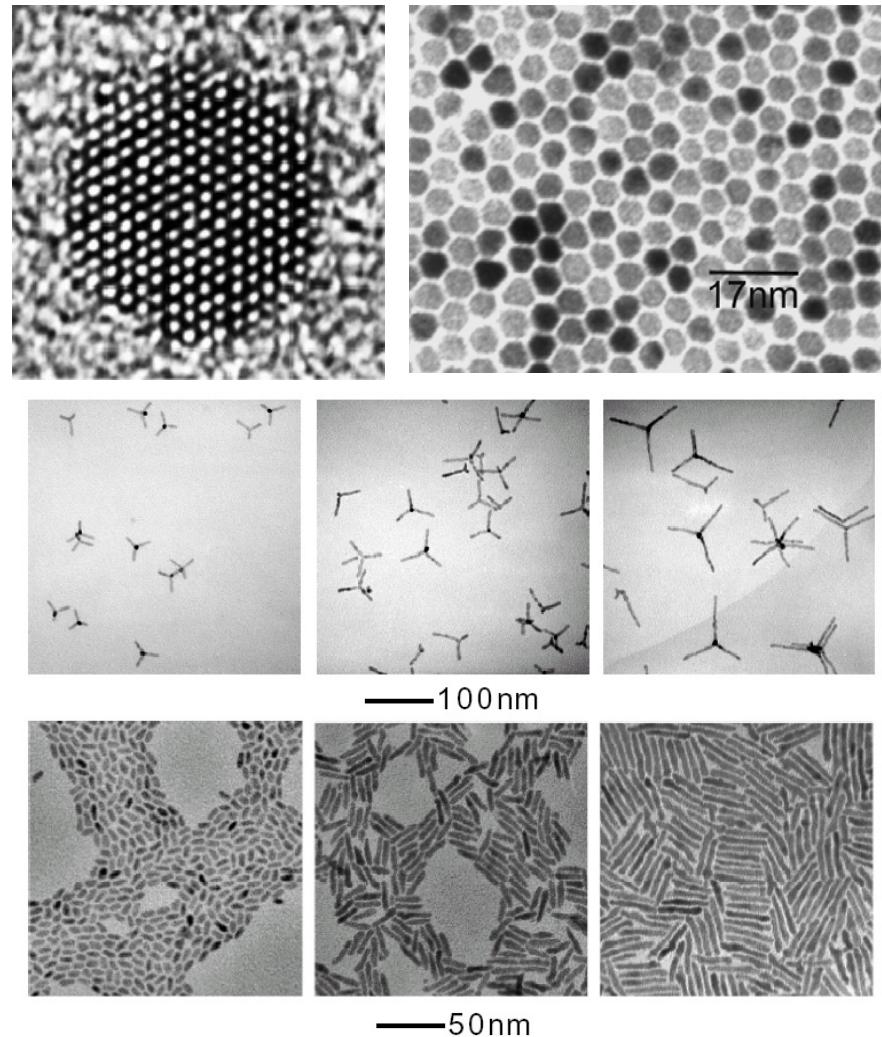
Big crystals vs. nanocrystals for solar cells?

- Size: .000002-.000200 mm

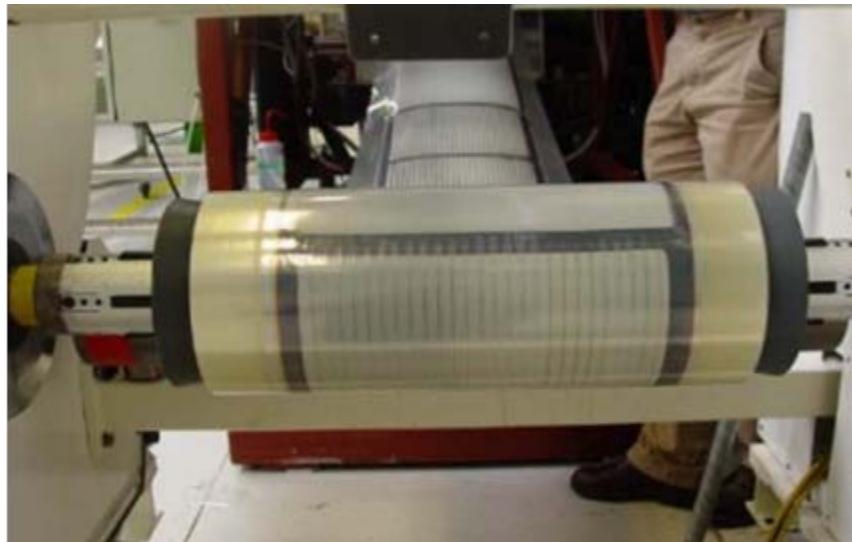
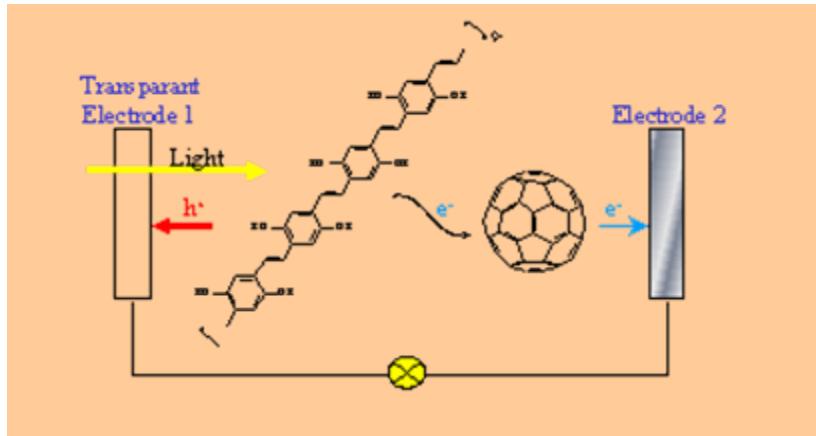
12 inches (330 mm)



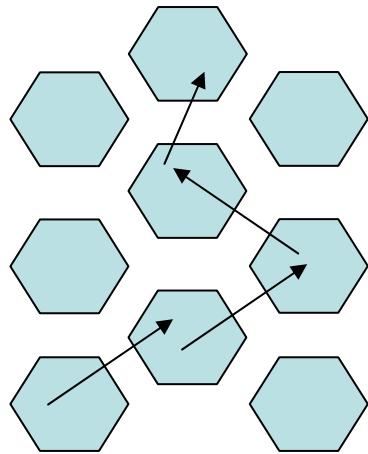
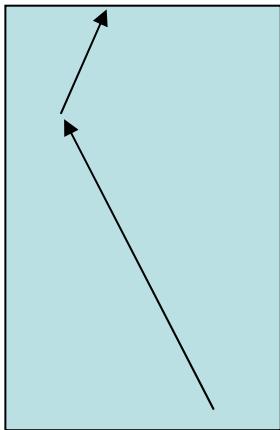
<http://www.risoe.dk/rd/images/nua-ntd-Krystal.jpg>



Mass production of high performance solar cells?



Some key issues with inorganic nanostructures for solar cells



DeBeer's Web site:

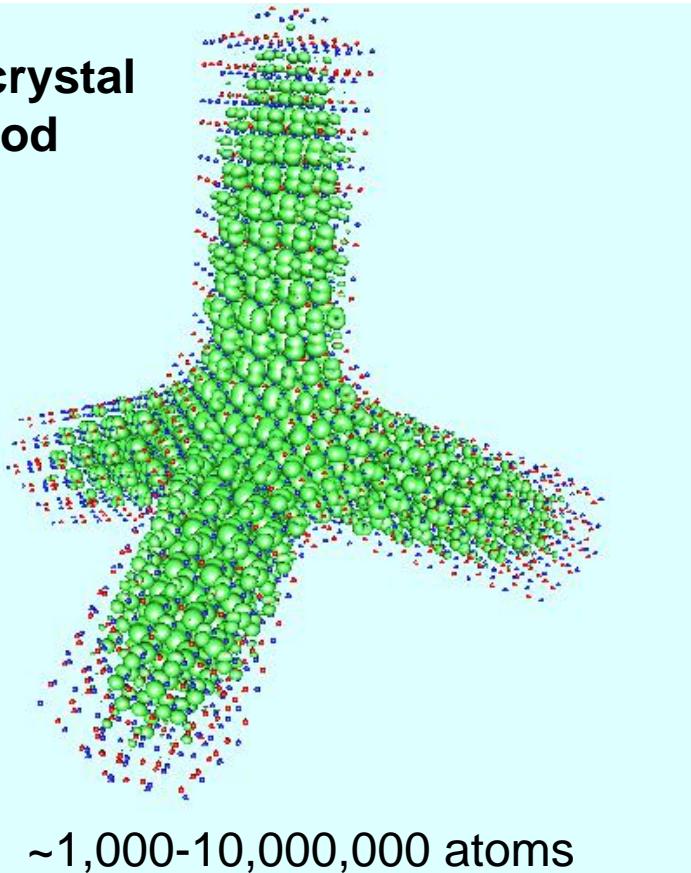
“Big diamonds are much rarer, so a diamond of double the weight costs around 4 times more. “

- Cost and time of fabrication limits solar cell use today
- Nanocrystals can be made as cheaply and in as large volume as plastics
- **High surface area and charge trapping are the biggest problems**
- A fundamental challenge for materials chemistry:
achieve adequate performance with assembly methods that can be scaled to large areas and high speed
How to “bury” the interfaces...

Crystals, Nanocrystals, Polymers

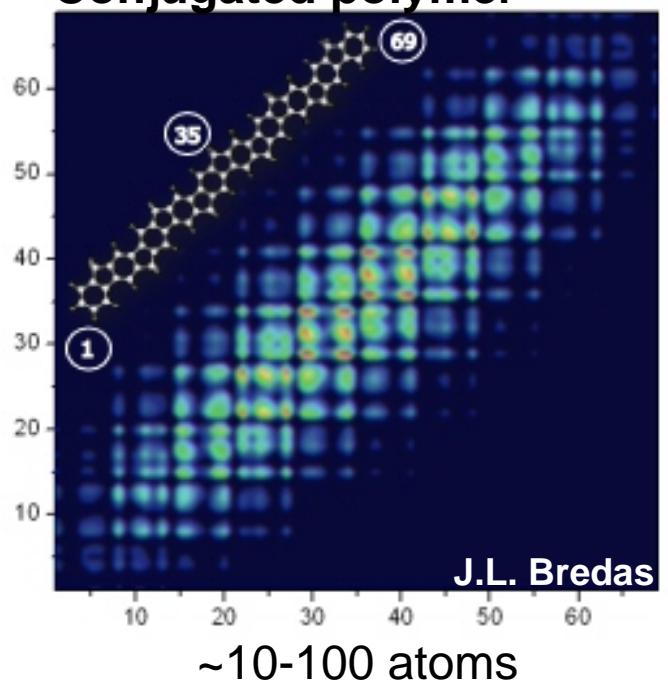
- tension between delocalization,
stability and control of electronic states

**CdSe
nanocrystal
tetrapod**



L.W.Wang

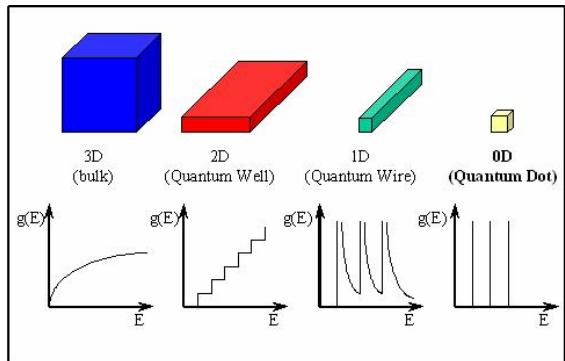
Conjugated polymer



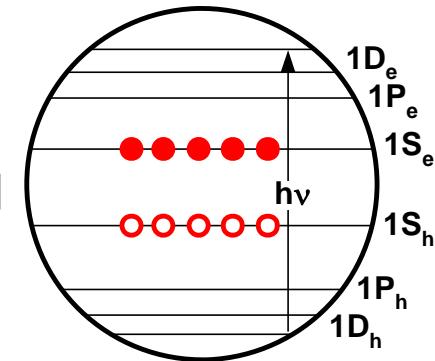
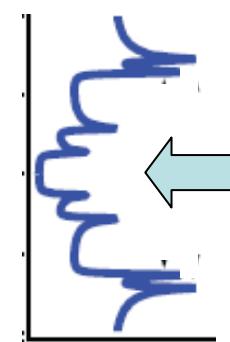
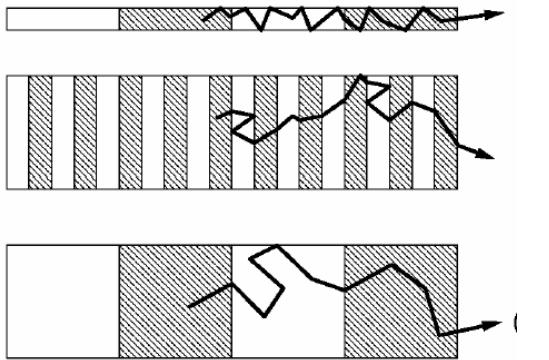
Exciton binding energy, Photochemical reactions

New physical phenomena in nanoscale PVs may enable high efficiency

electrons



phonons

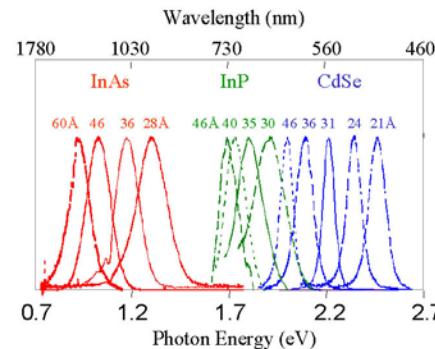
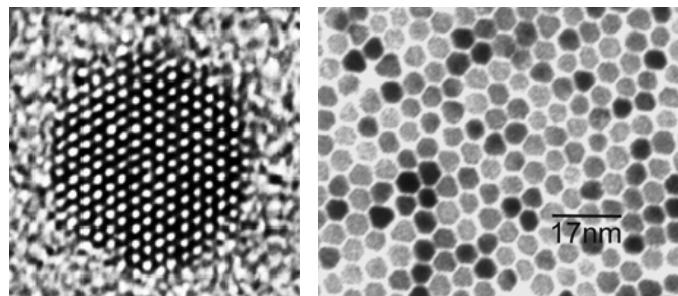


- Control of dissipation on the nanoscale
- Multi-exciton, hot electron, intermediate band gap concepts
- Novel quantum confinement based light absorbers
- Control of electrical transport within and between components

For nanocrystal PVs, we would settle for just solving the problem of transport

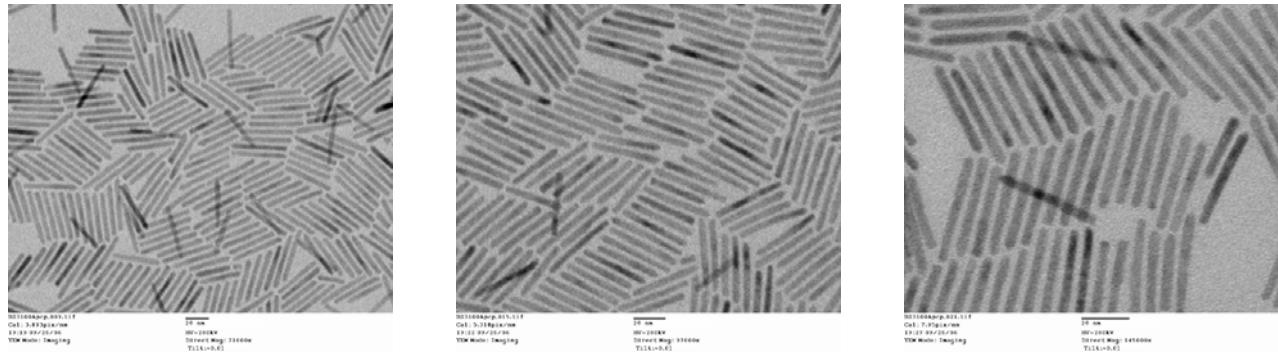
Dots, Rods, and Trees for Nanocrystal PVs

Dots



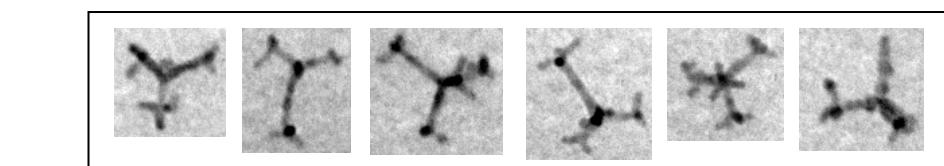
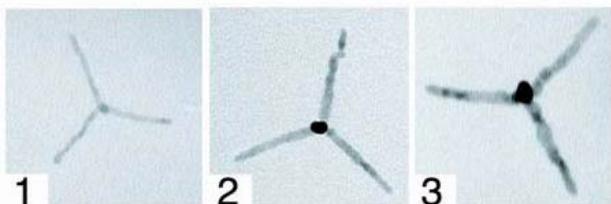
Science 271
933 (1996).

Rods

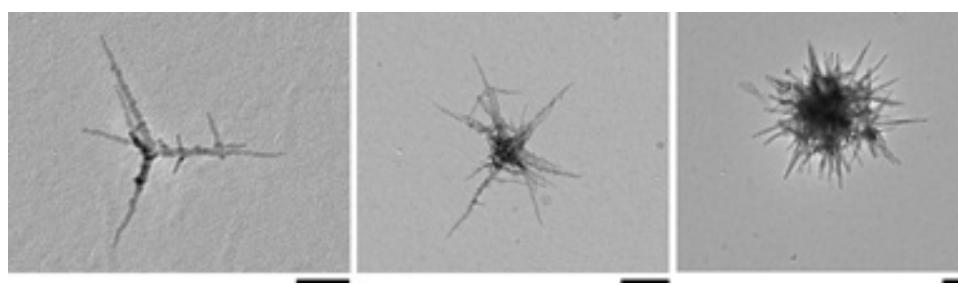
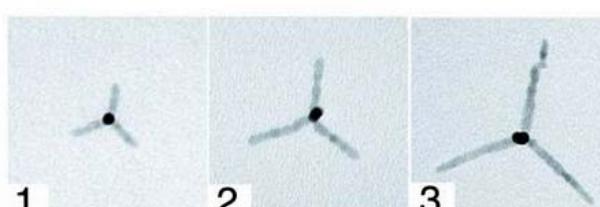


Nature 2000
404, 59-61.

Branched



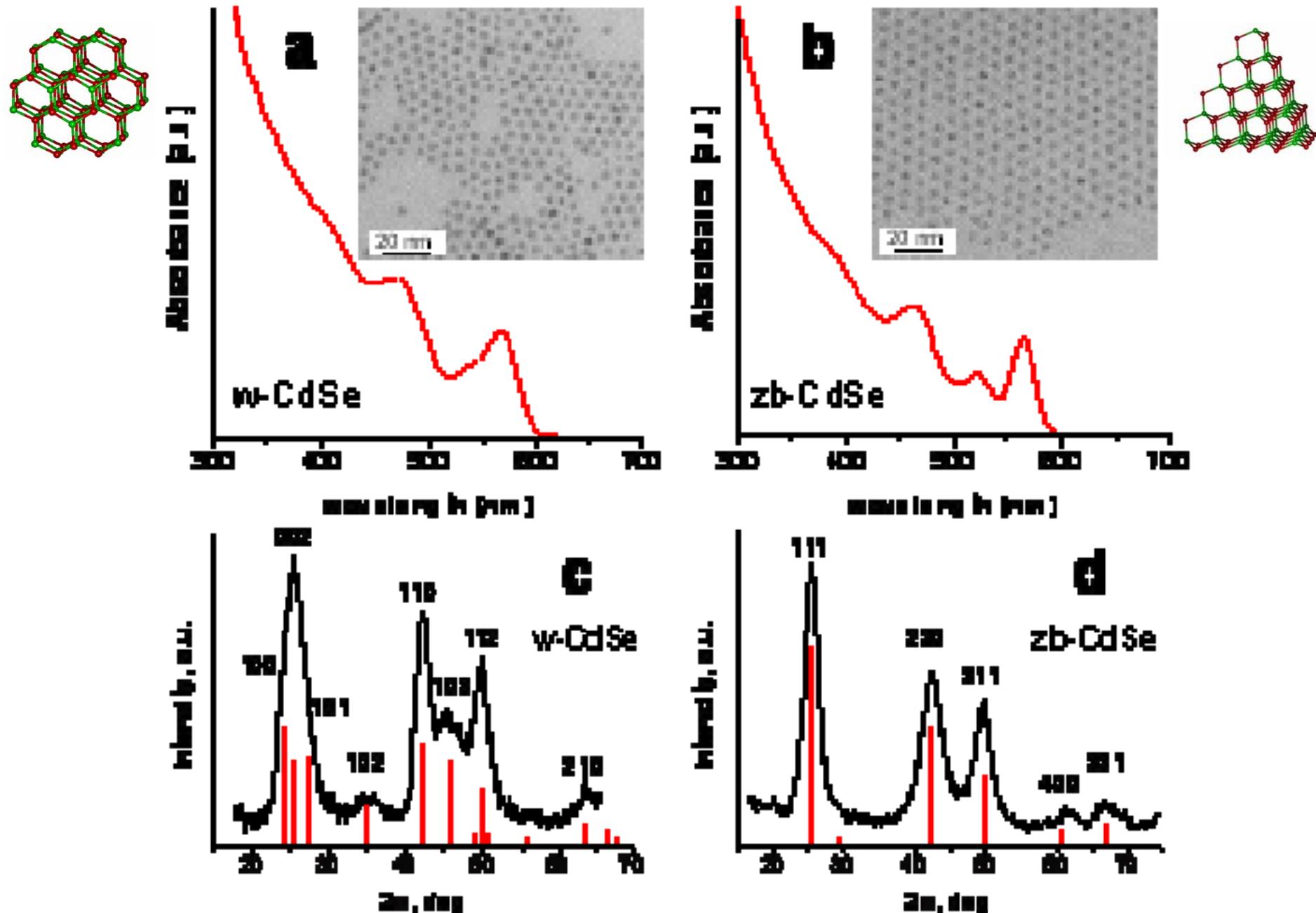
Nature 430
190 (2004)



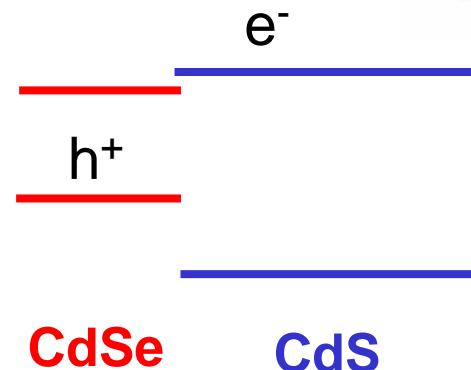
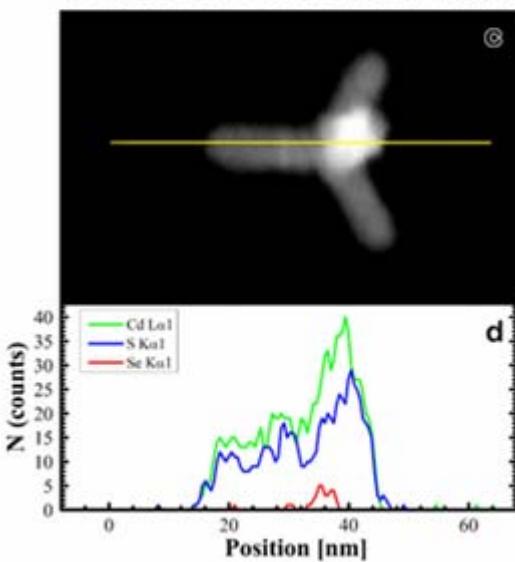
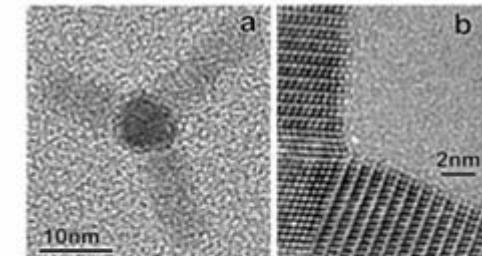
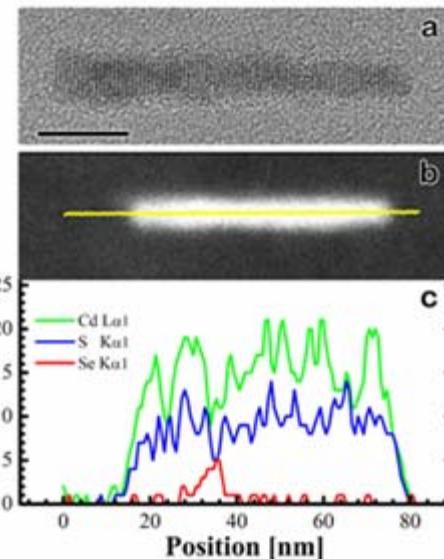
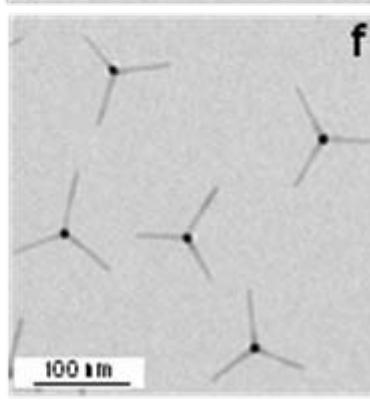
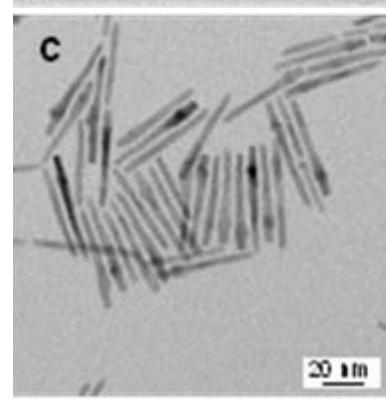
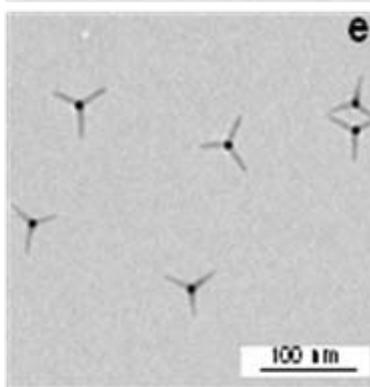
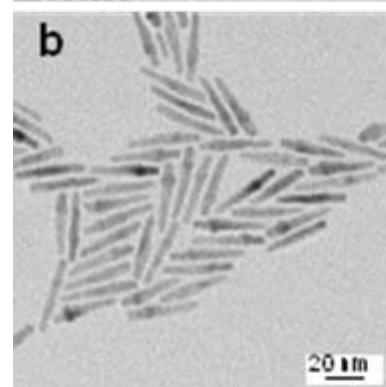
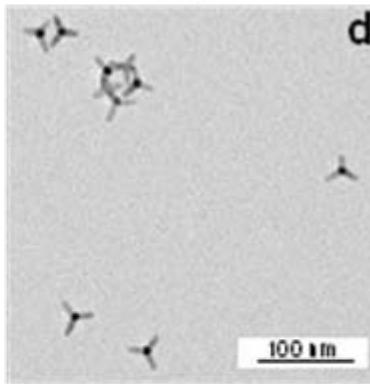
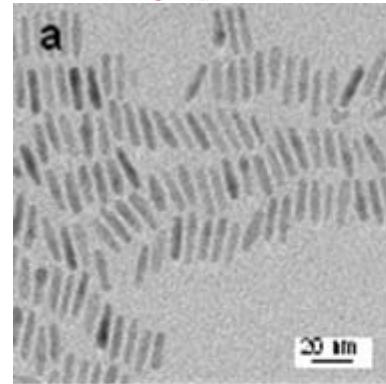
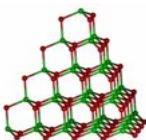
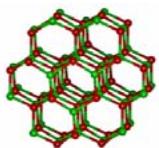
Nature Materials 2 382 (2003).

Nano Letters 5 2164 (2005).

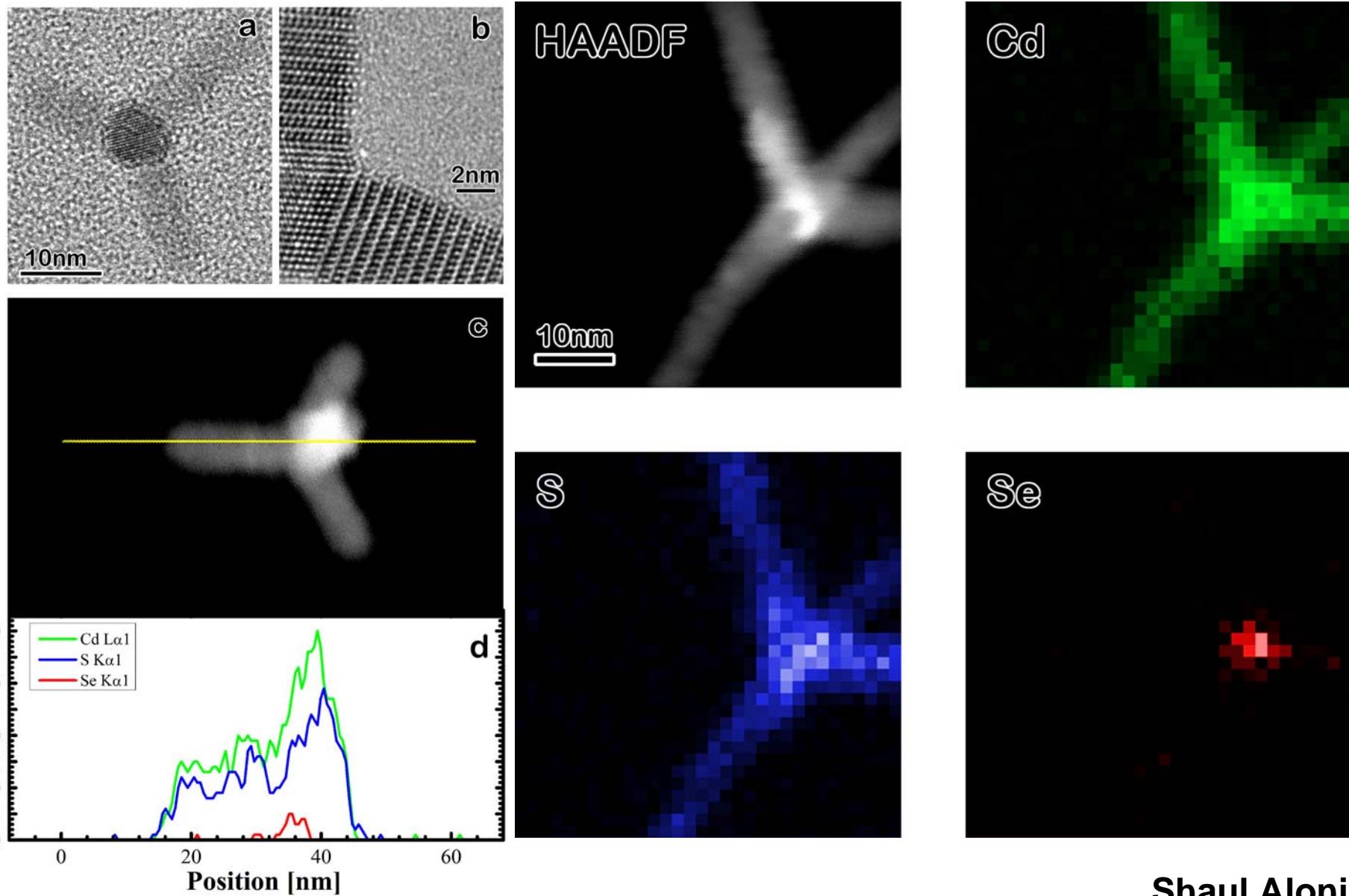
Seeded Growth from Wurtzite and Zincblende CdSe Seeds



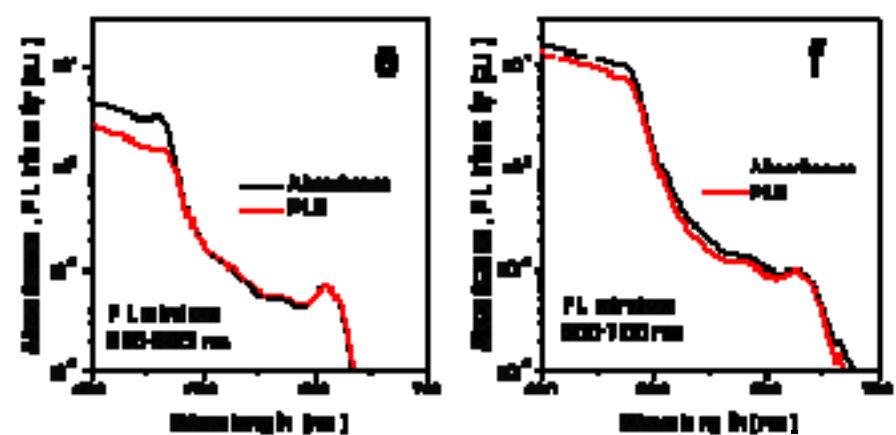
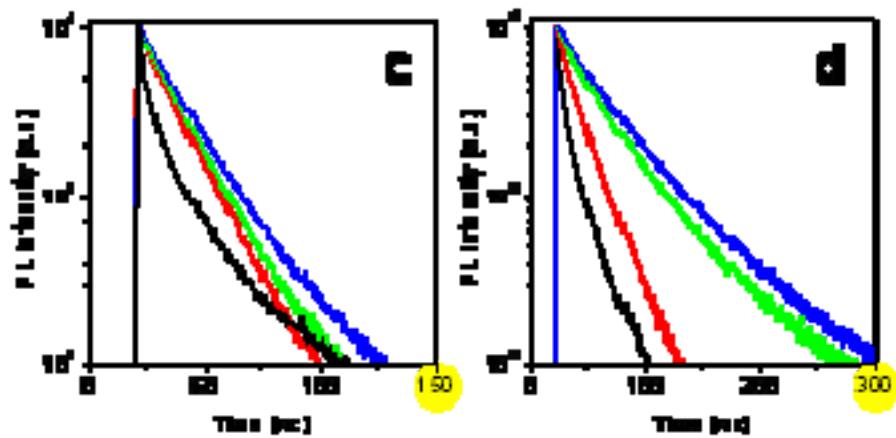
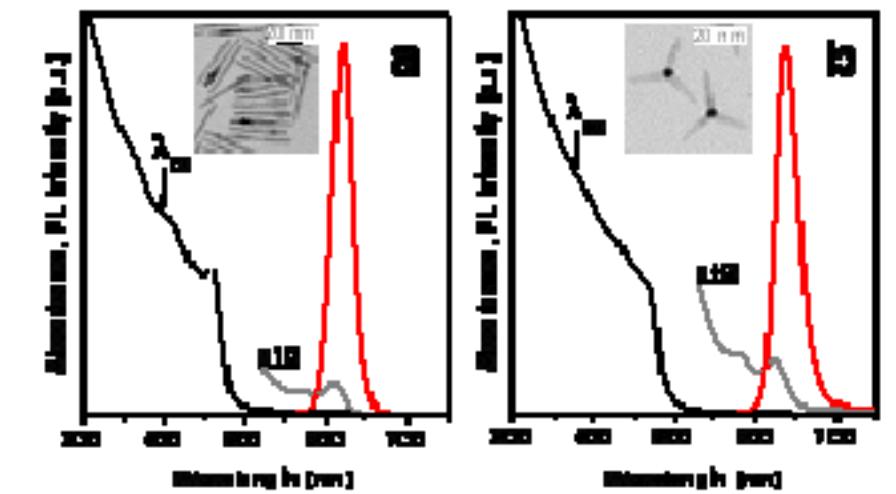
Seeded dot growth of rods and tetrapods



Structural and compositional analysis of the seeded tetrapods



Optical properties of seeded rods/tetrapods



Electron delocalizes into CdS regions

High quantum yields
(>80% for rods, >60% for tetrapods)

Near exponential decays

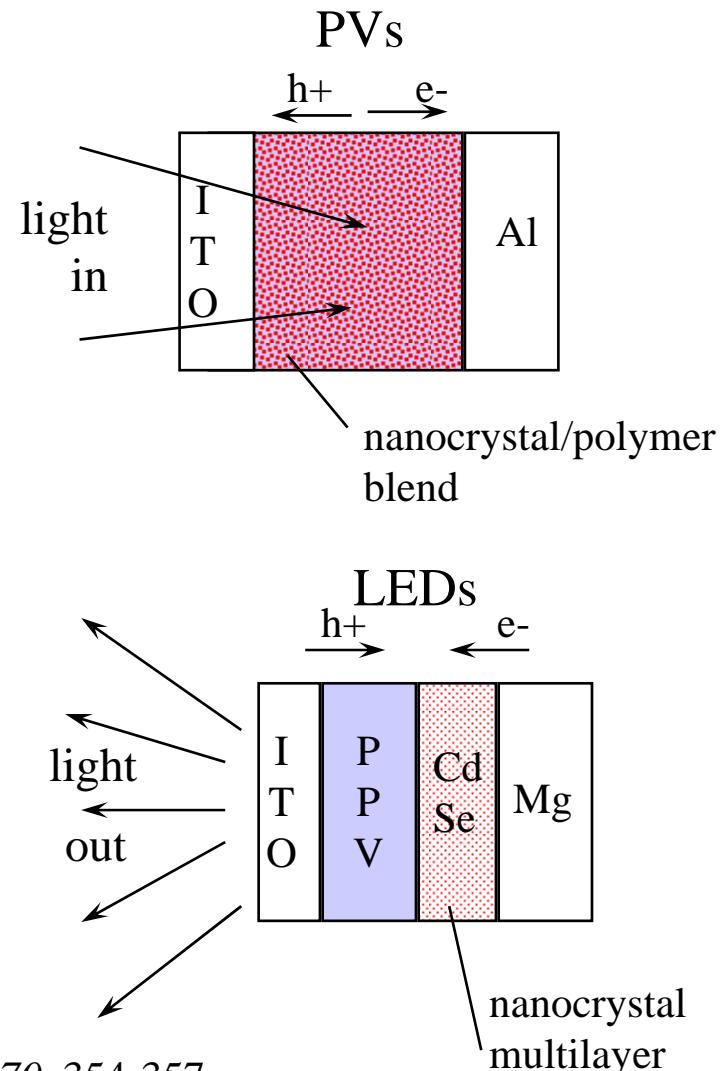
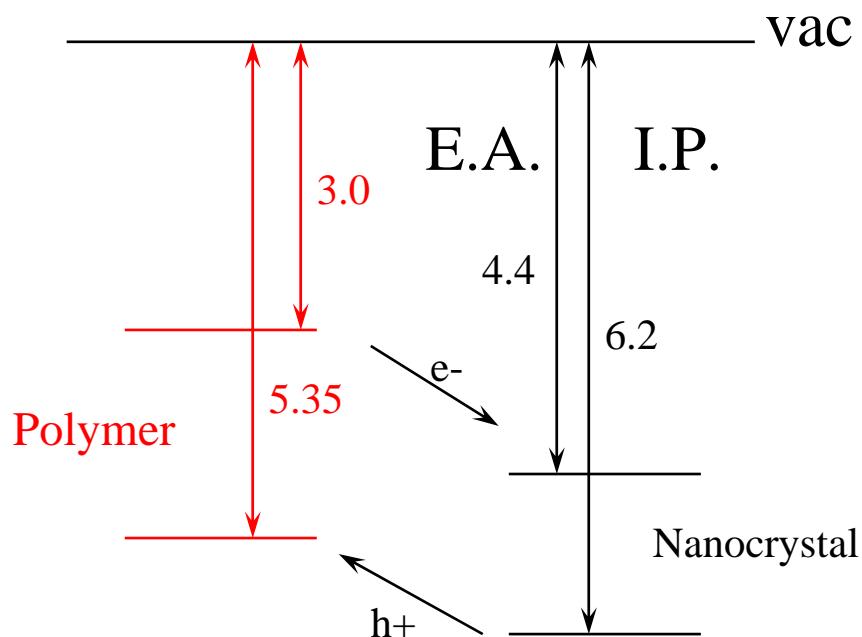
Longer arms → slower radiative rate

Absent symmetry breaking electrodes,
Photoexcited charges “fall”
into the central dot

Absorbance as large as $10^8 \text{ M}^{-1}\text{cm}^{-1}$

Semiconductor Nanocrystals and Polymers

Band Offsets and Electrical Devices

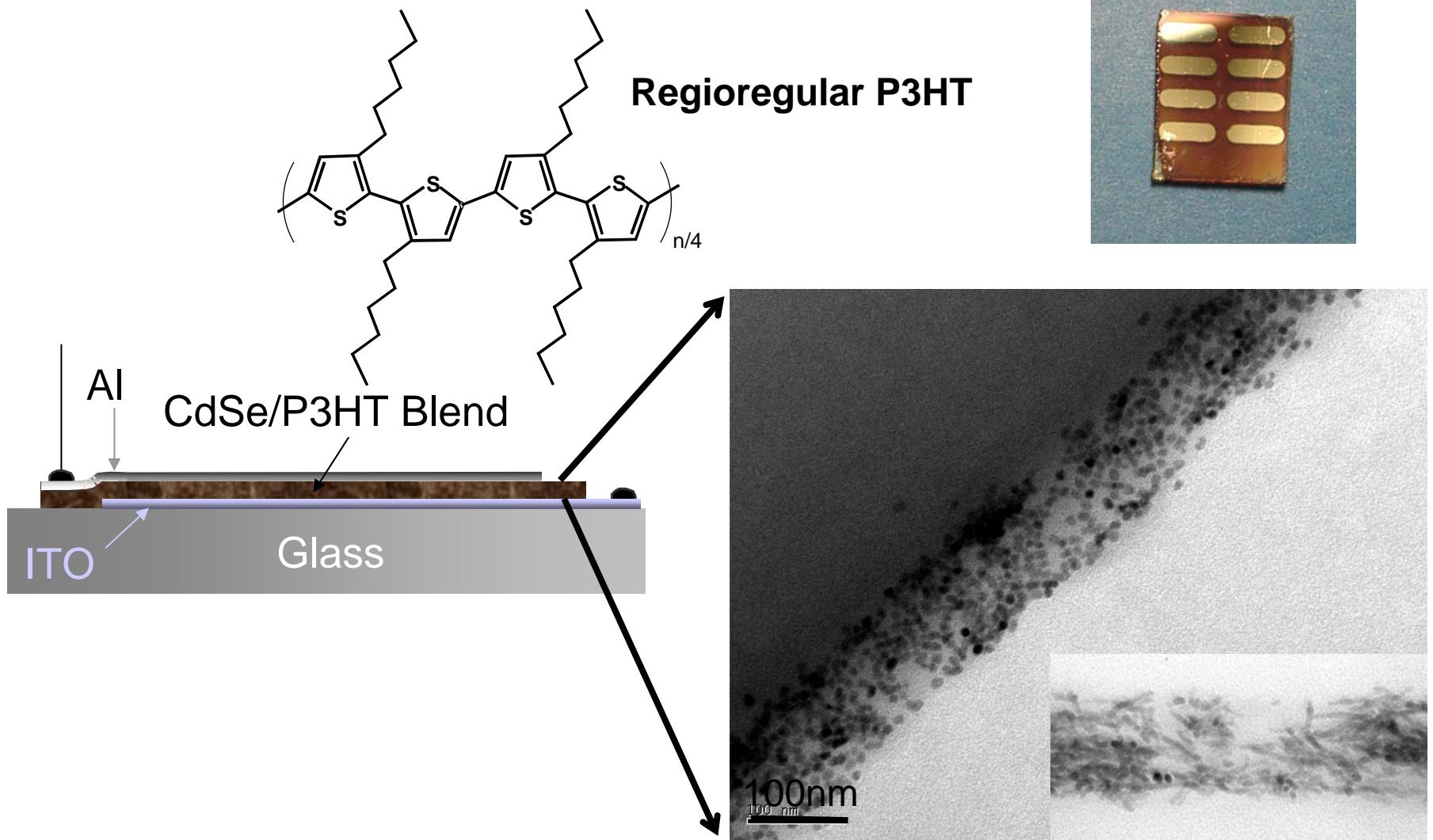


LEDs:

- Colvin, V. L.; Schlamp, M. C.; Alivisatos, A. P., *Nature* **1994**, 370, 354-357.
 Schlamp, M. C.; Peng, X.; Alivisatos, A. P., *J. Appl. Phys.* **1997**, 82, 5837-5842.

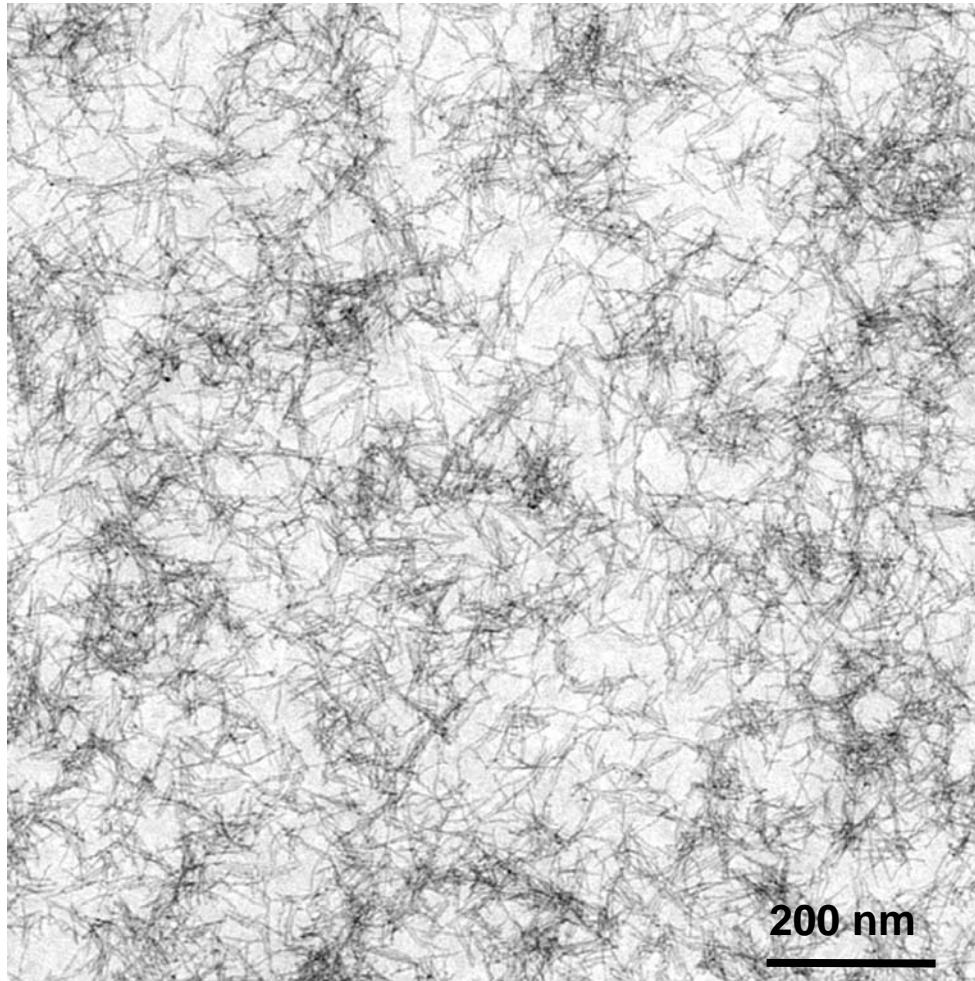
Charge separation in nanocrystal polymer blends *Phys. Rev. B* **54** 17628 (1996)

Nanocrystal/Polymer Solar Cells



Huynh, W. U., J. J. Dittmer, Alivisatos (2002). "Hybrid nanorod-polymer solar cells." Science **295**(5564): 2425-2427.

CdSe nanorod/P3HT films

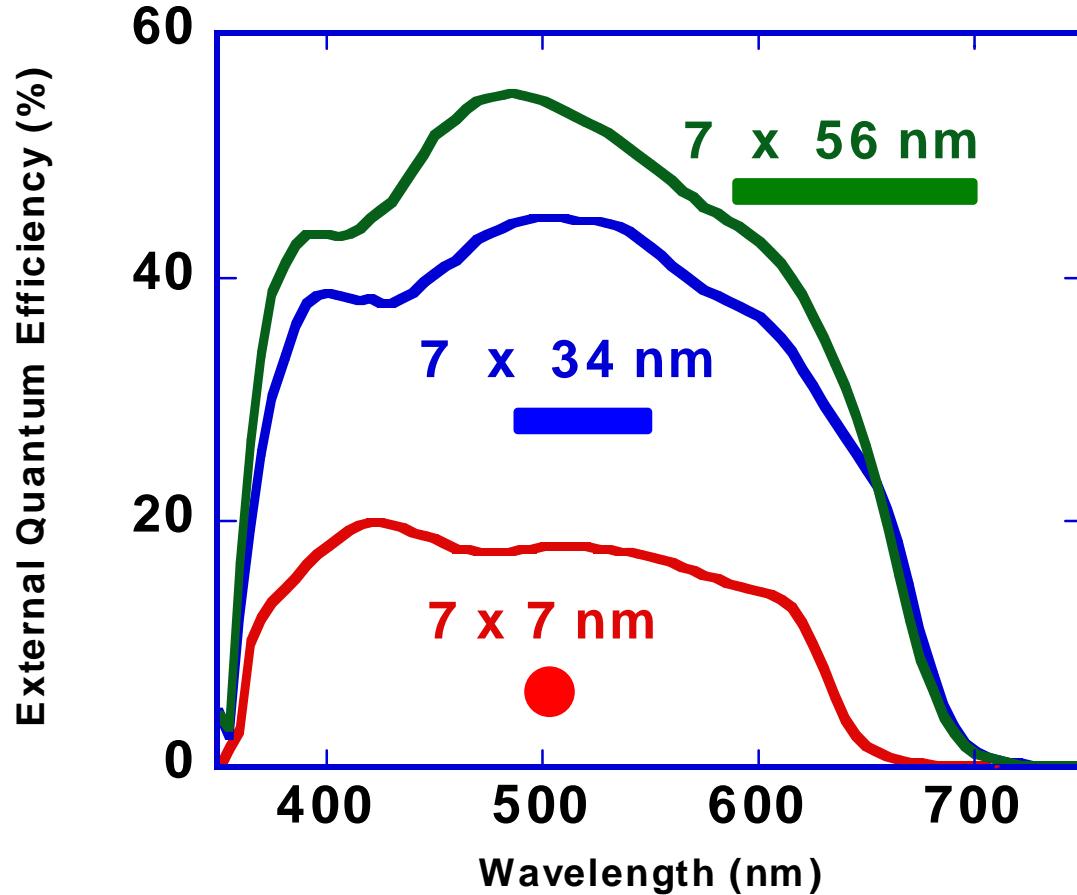


spin cast from 8% pyridine 92% chloroform solvent mixture

Huynh, W. U., J. J. Dittmer, W. C. Libby, G. L. Whiting and A. P. Alivisatos (2003).

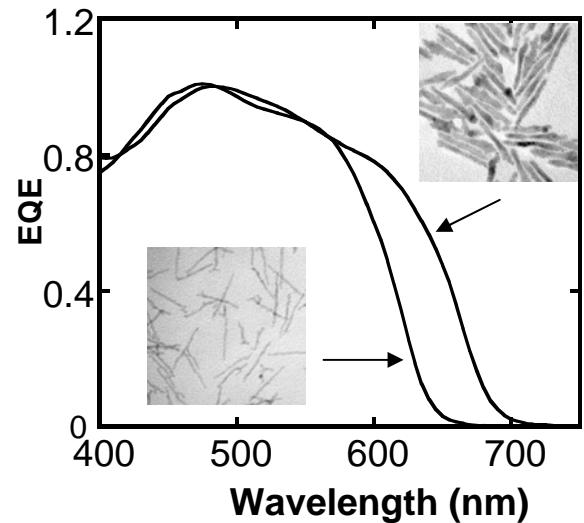
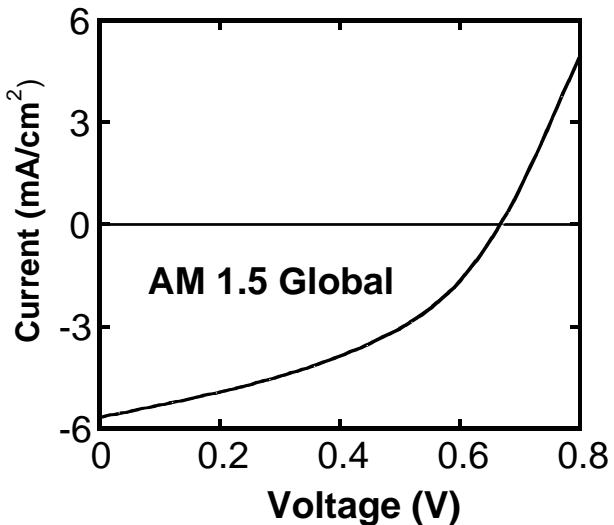
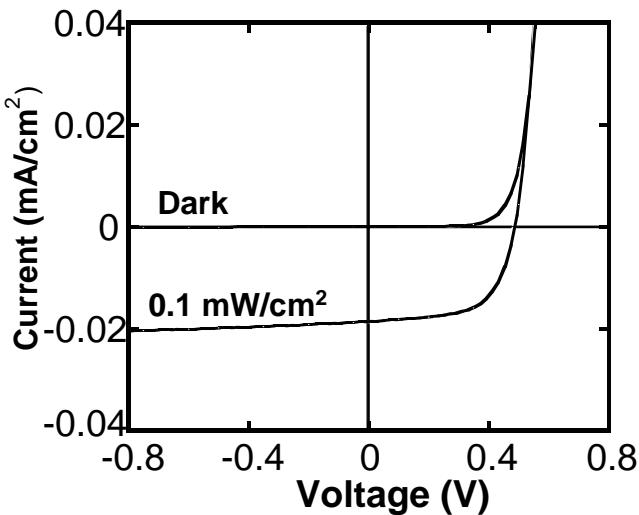
"Controlling the morphology of nanocrystal-polymer composites for solar cells." Advanced Functional Materials **13**(1): 73-79.

Shape and Performance



Measured at low intensity $\sim 0.1 \text{ mW/cm}^2$

Plastic/Nanorod Solar Cell Power Efficiency



AM 1.5 Efficiency

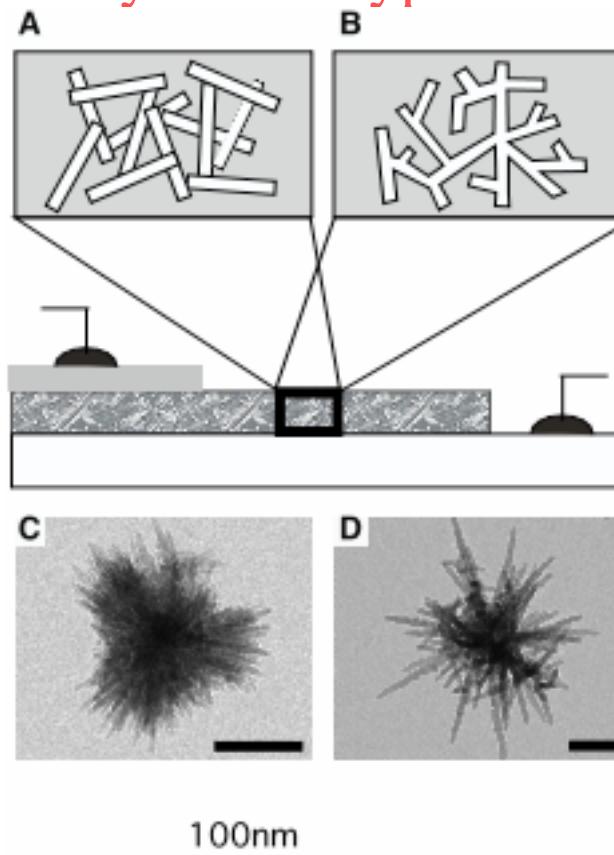
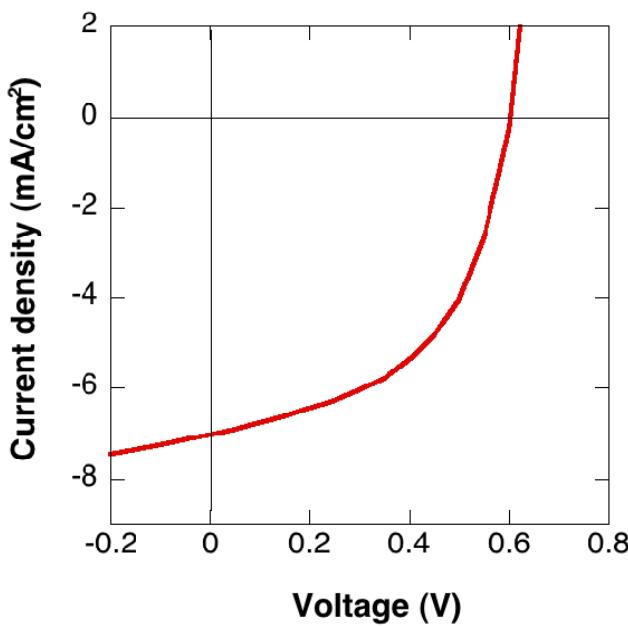
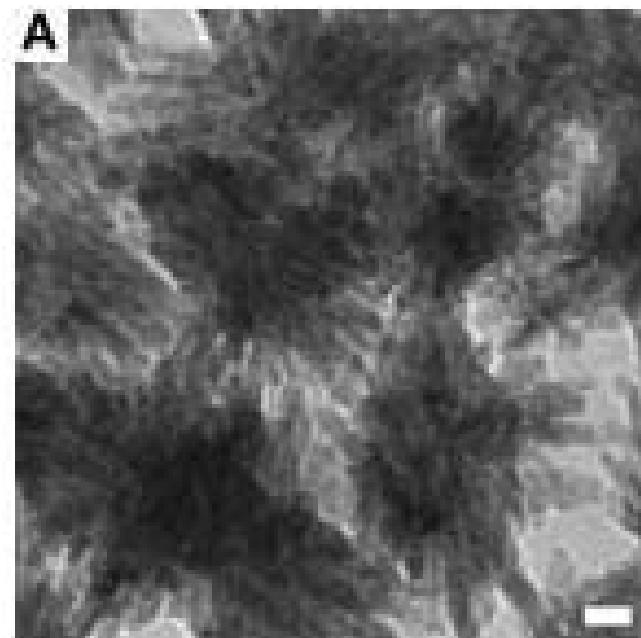
Power Conversion: 1.7%

Short Circuit Current: $5.8 \text{ mA}/\text{cm}^2$

Fill Factor: 0.42

V_{oc} : 0.67 V

Pre-formed percolation pathways with Hyperbranched Nanocrystals



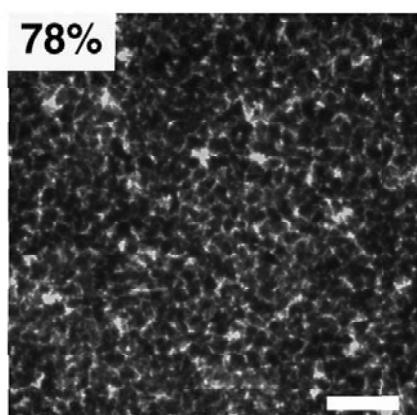
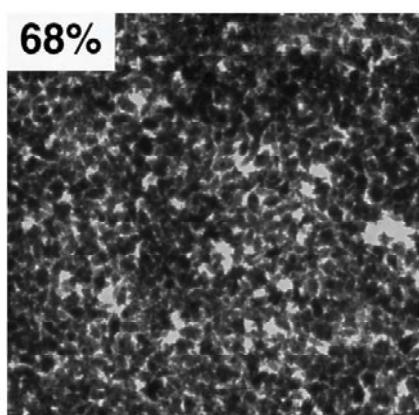
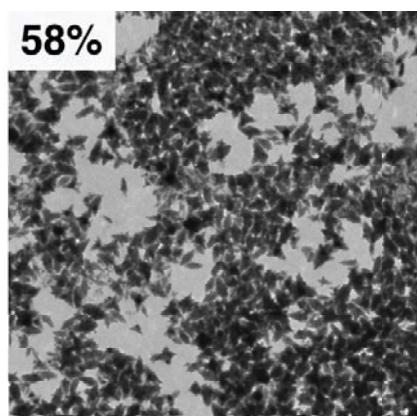
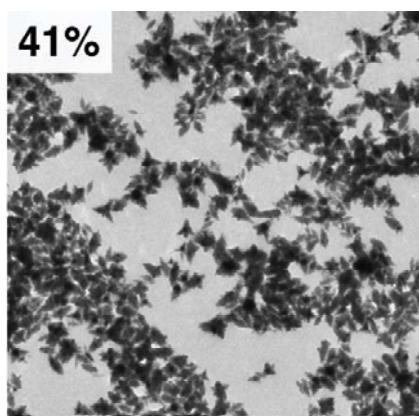
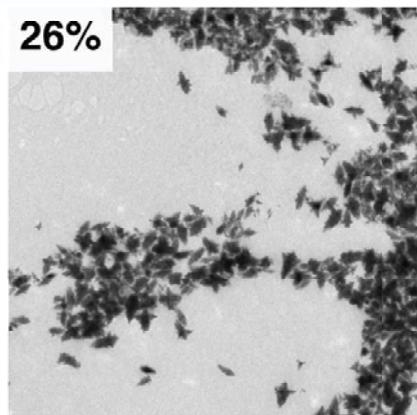
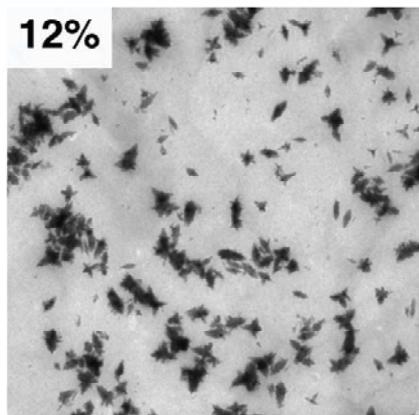
100nm

~2.5 % Power Efficiency

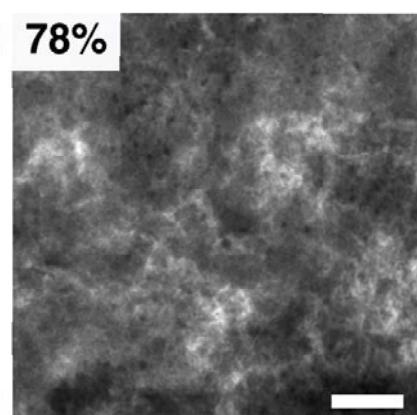
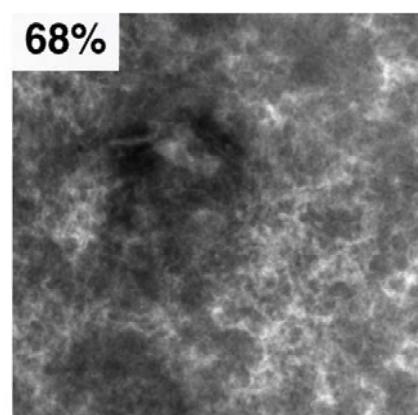
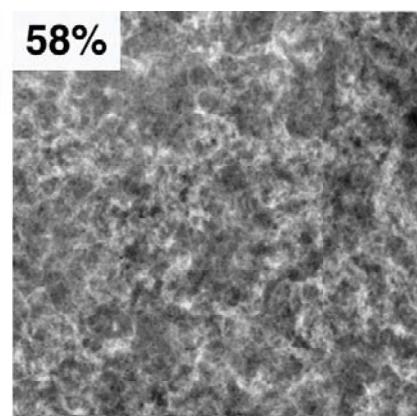
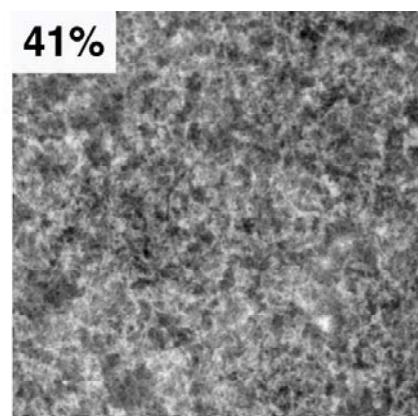
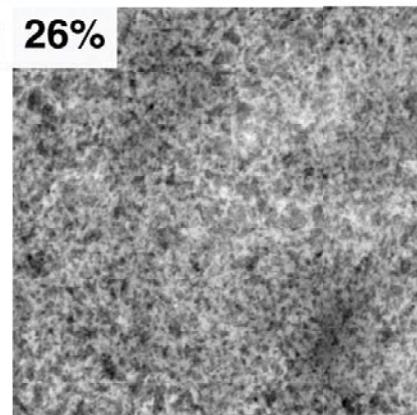
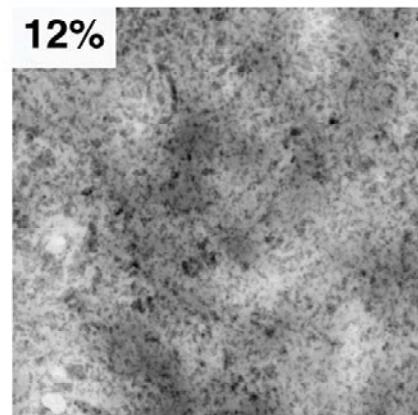
Gur, N. A. Fromer, C. P. Chen, A. G. Kanaras, and A. P. Alivisatos,
"Hybrid solar cells with prescribed nanoscale morphologies based
on hyperbranched semiconductor nanocrystals,"
Nano Letters 7 (2), 409 (2007).

Morphology of composites

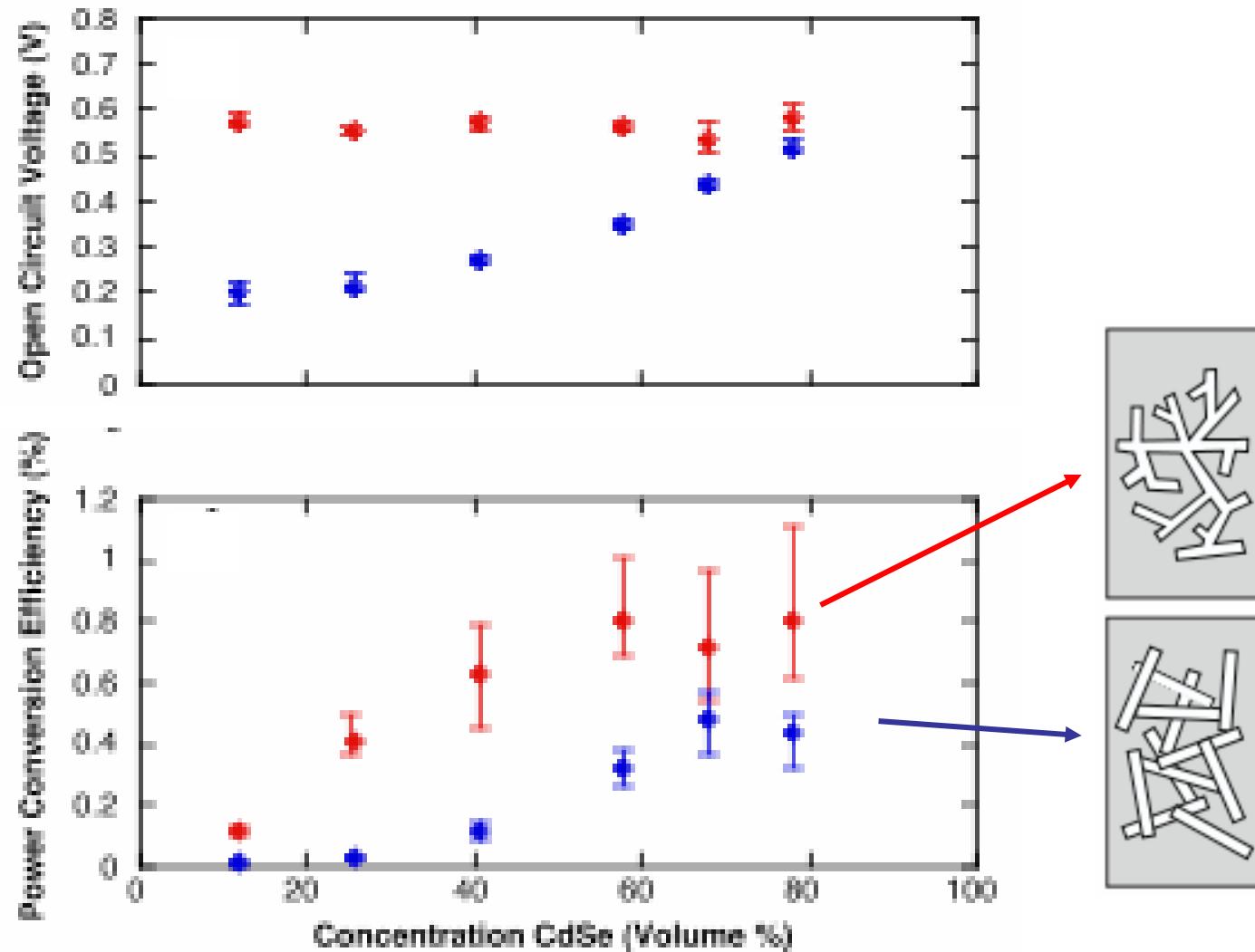
a) Hyperbranched Nanocrystal Composites



b) Rod-shaped Nanocrystal Composites



Percolation at all loading levels with hyperbranched particles

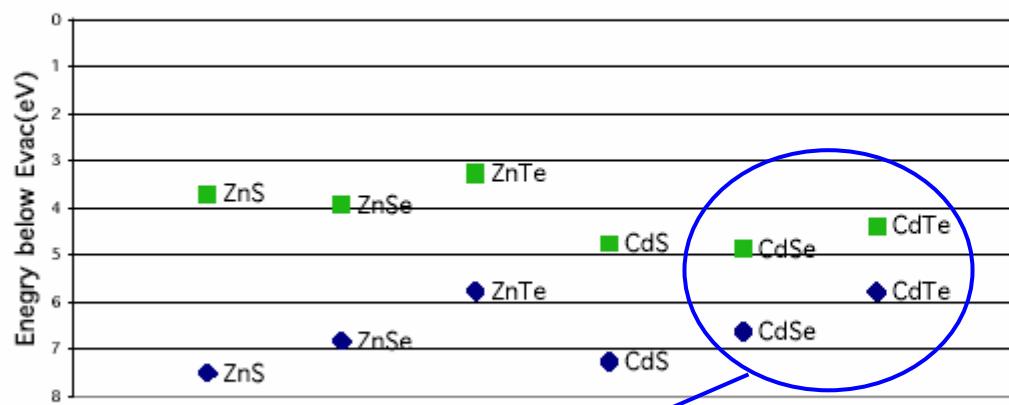


Processing is now very forgiving

Limitations of Hybrid Nanorod Polymer System

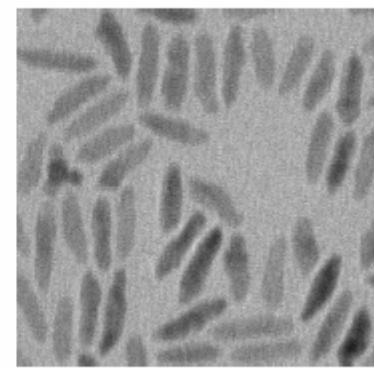
- TRANSPORT: Devices likely limited by low mobilities in organic component
- STABILITY: Organic materials potentially less stable than inorganic, under solar conditions

Band Edge E levels in II-VI Semiconductors

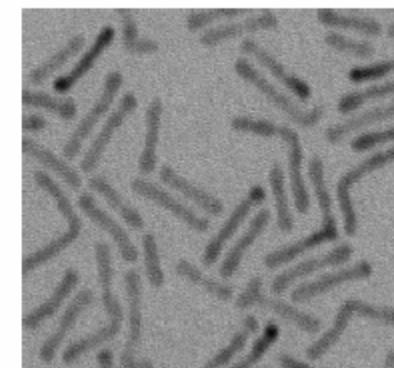


- ✓ Staggered Bands
- ✓ Small Band Gaps
- ✓ Solution Synthesis Available

CdSe Rods



CdTe Rods



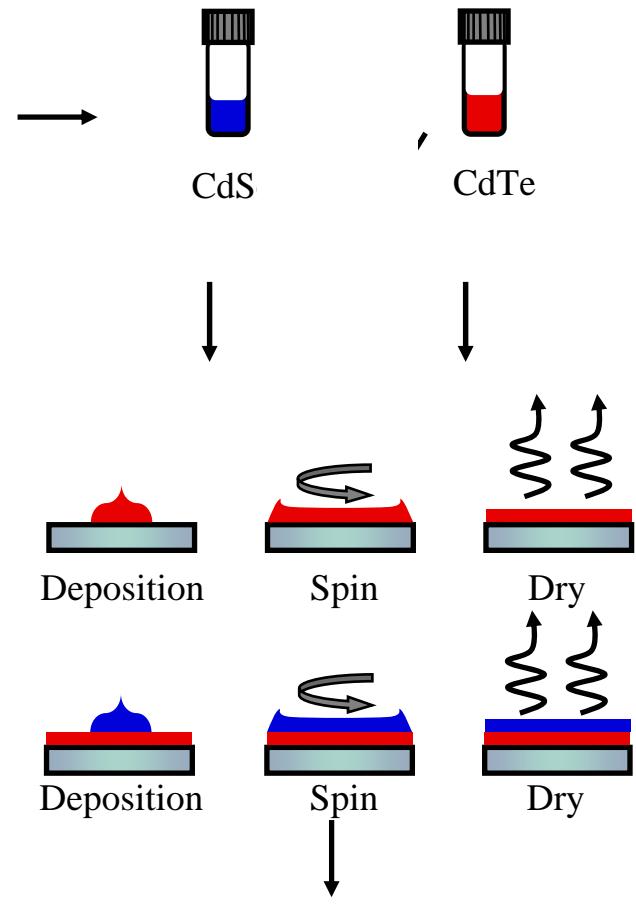
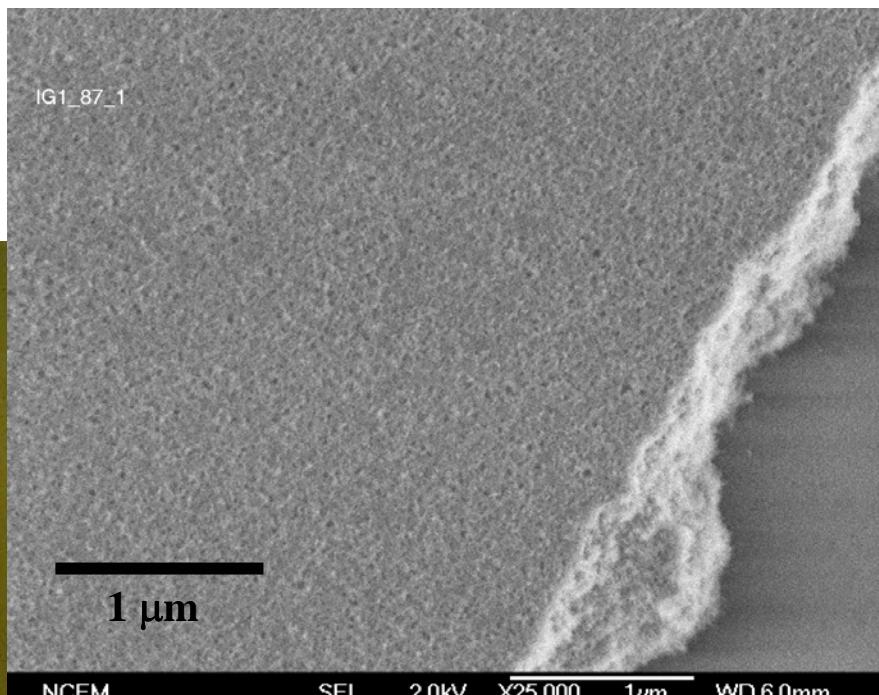
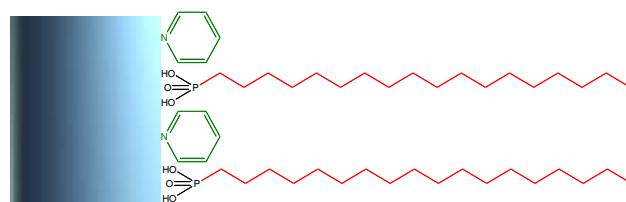
— 40 nm

— 40 nm

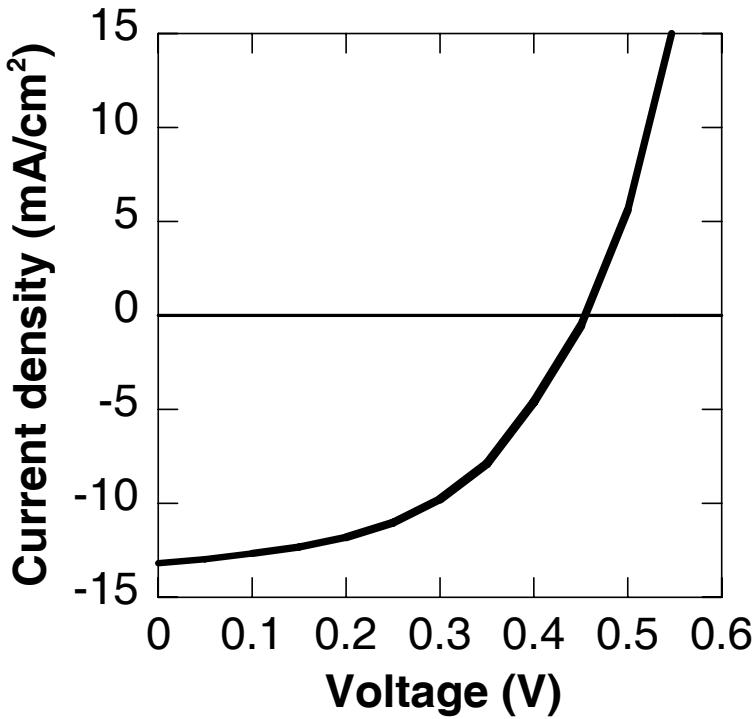
Next project: build an “donor-acceptor-type” solar cell composed entirely of inorganic colloidal nanocrystals

Gur, I., N. A. Fromer, M. L. Geier and A. P. Alivisatos (2005). "Air-stable all-inorganic nanocrystal solar cells processed from solution." *Science* 310(5747): 462-465.

Dual Nanocrystal Solar Cell Device Fabrication



Dual nanocrystal cell performance

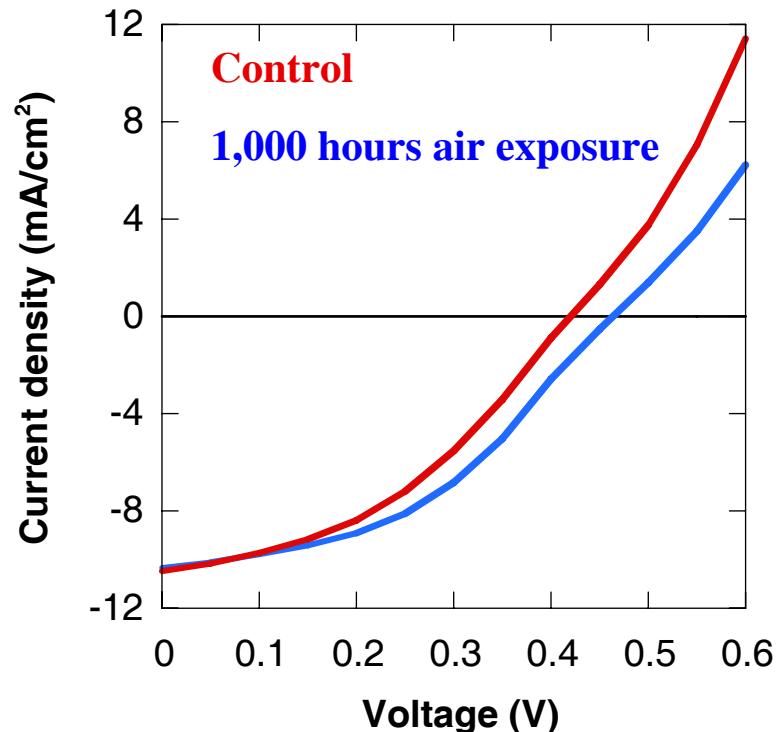


$$V_{oc} = 0.45 \text{ V}$$

$$I_{sc} = 13.2 \text{ mA/cm}^2$$

$$FF = 0.49$$

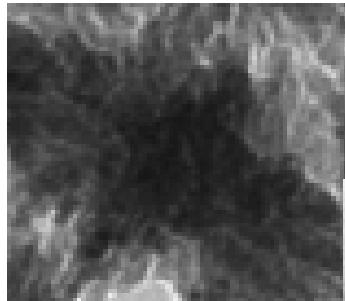
$$PCE = 2.9\%$$



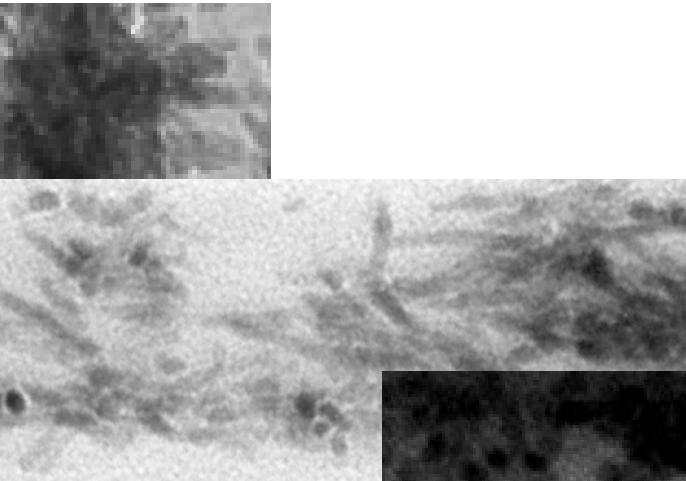
Science 310 462 (2005).

Nanocrystals have been sintered at 400C w/ CdCl₂
These results are limited by the selectivity of the contacts

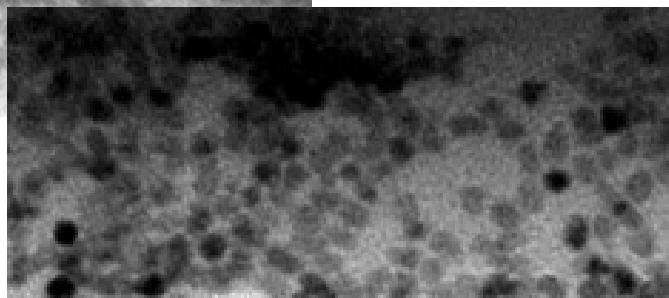
Percolation pathway conclusion



branched
~2-3%

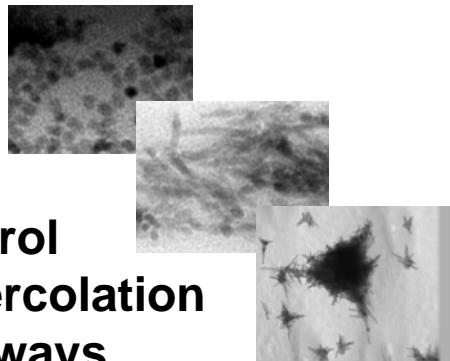


rods
~1-2%

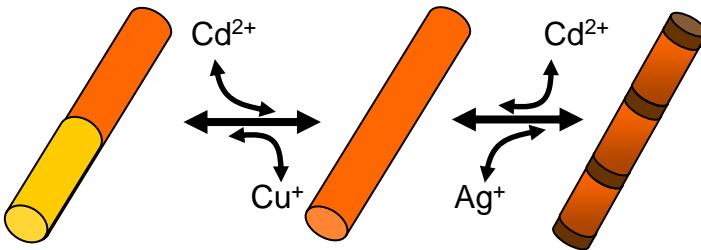


dots
~0.1%

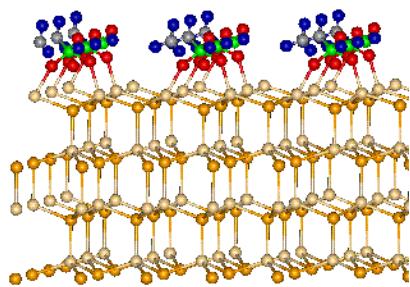
Can we *control* the pathway for charge transport
while still using simple processing?



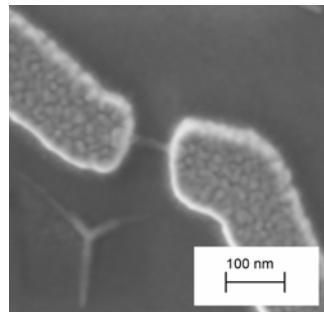
**Control
of percolation
pathways**



**New nanoscale
heterostructures for solar cells**

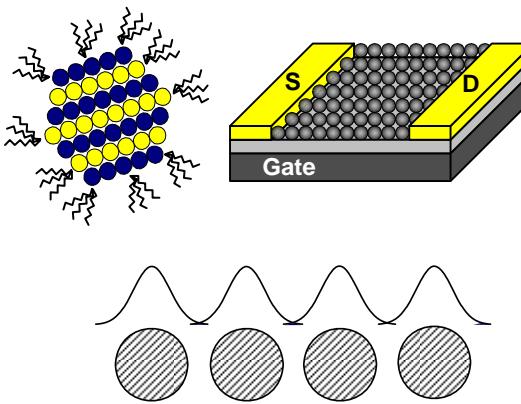
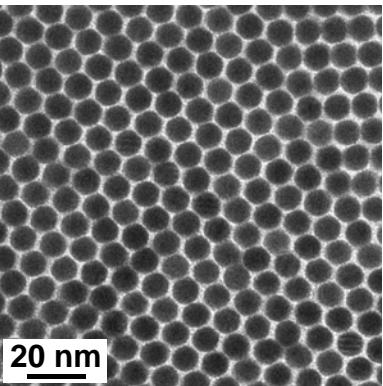


**Organic
passivation
and assembly**

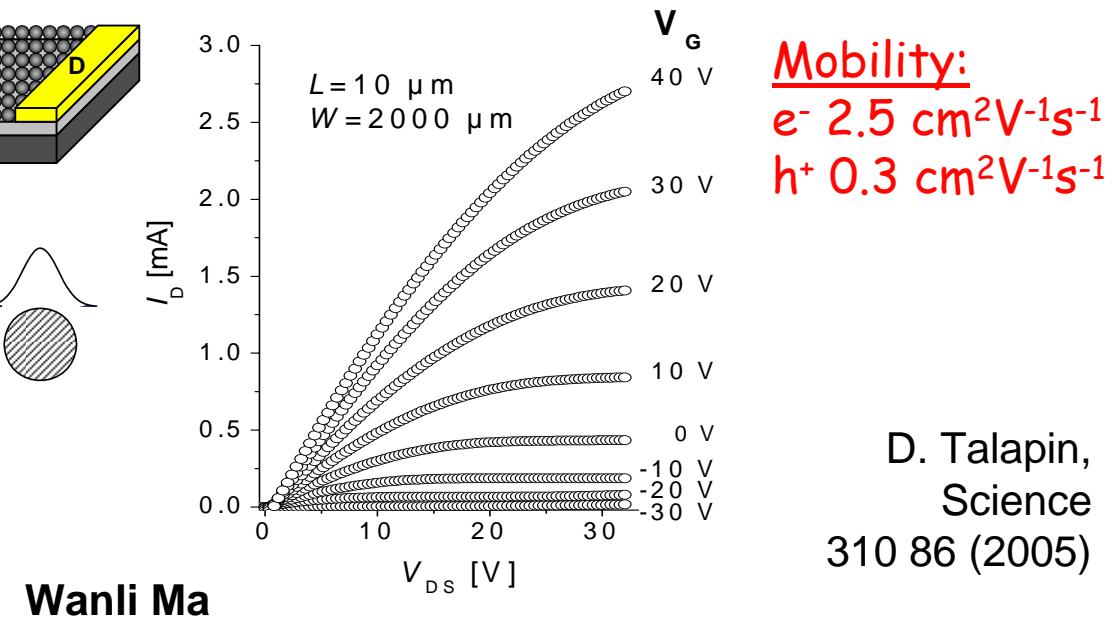
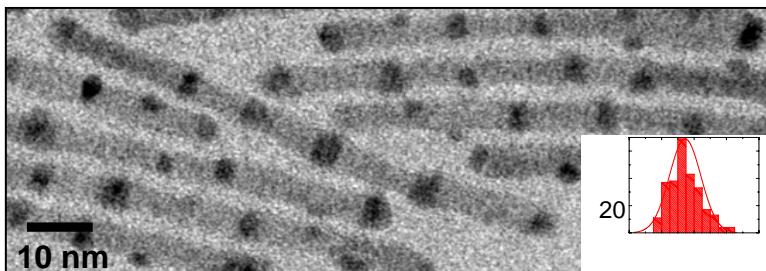


**Model studies of
single nanocrystals**

New approaches to balance confinement and transport

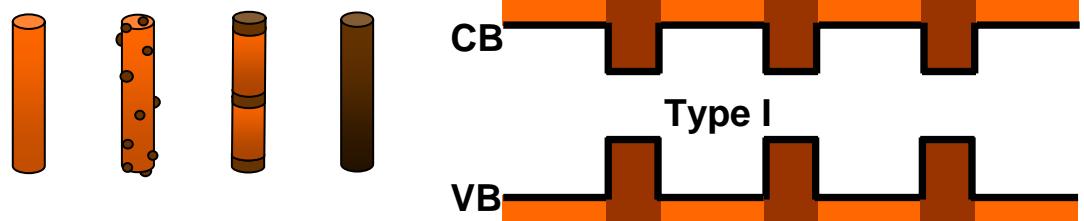


q dot superlattice
treated with hydrazine
spontaneous formation
of q dots in a rod



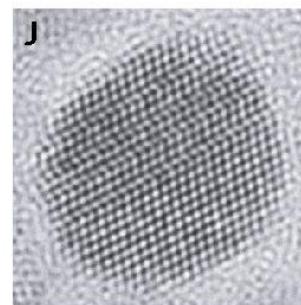
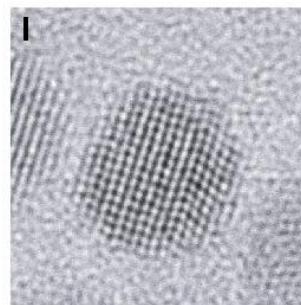
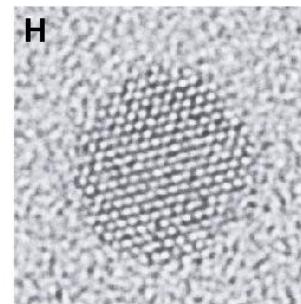
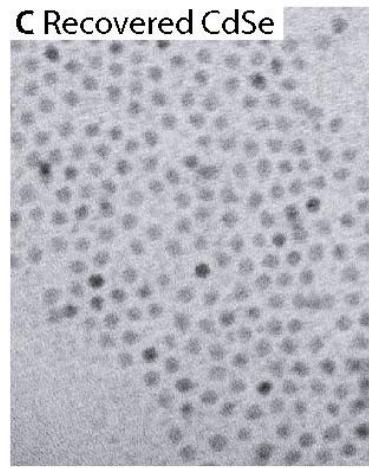
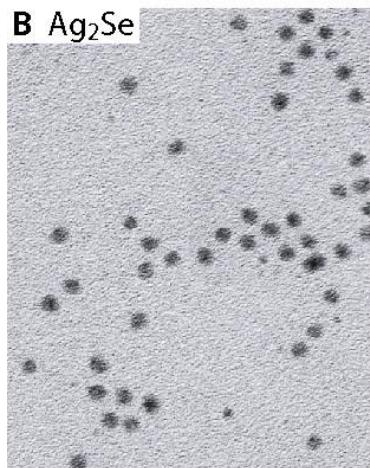
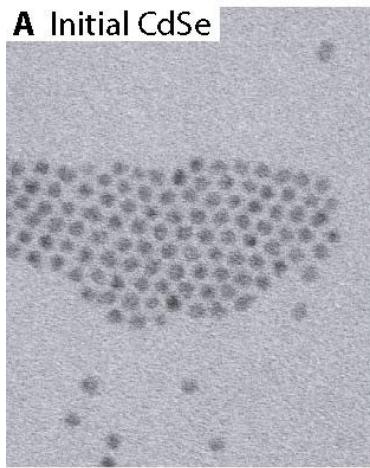
Wanli Ma

D. Talapin,
Science
310 86 (2005)

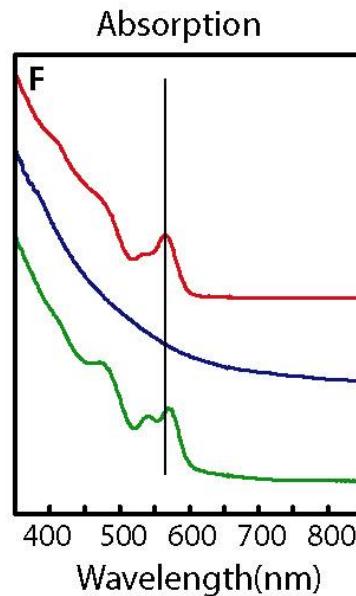
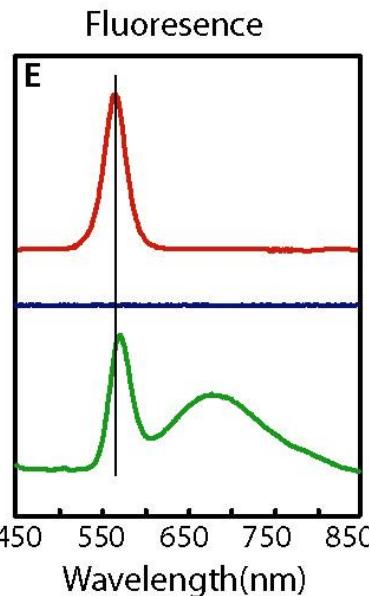
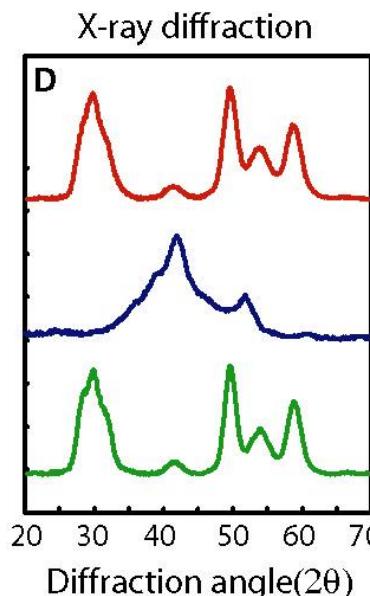




Cation Exchange
is fully reversible



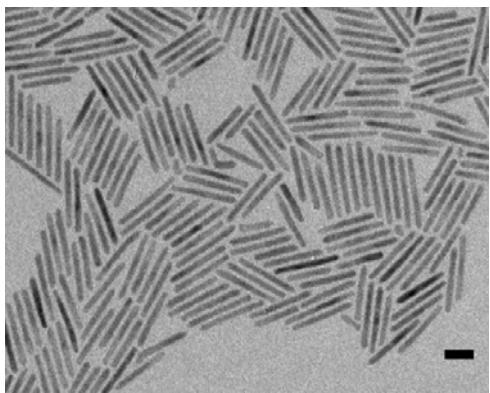
40 nm



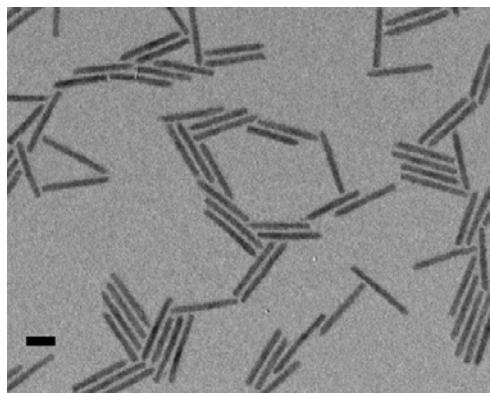
3 nm

Cation Exchange with shape preservation

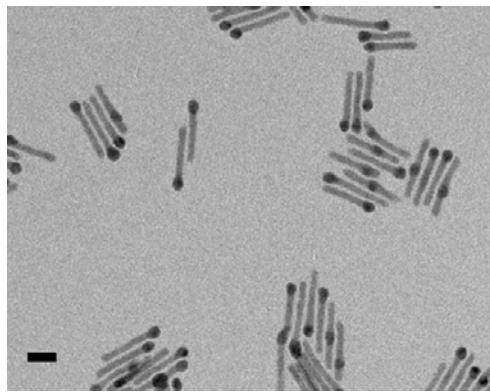
CdS



Cu_2S

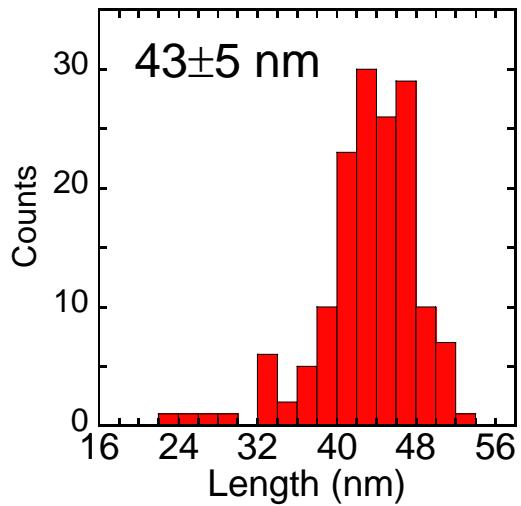
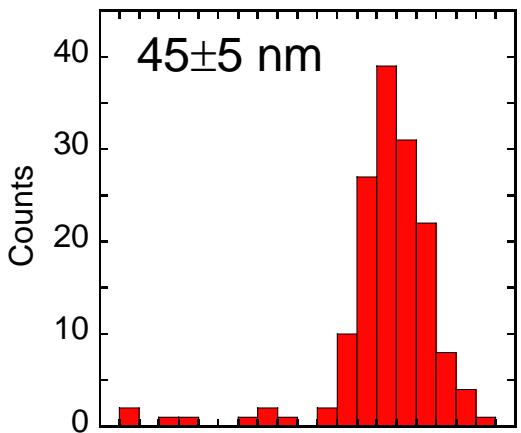
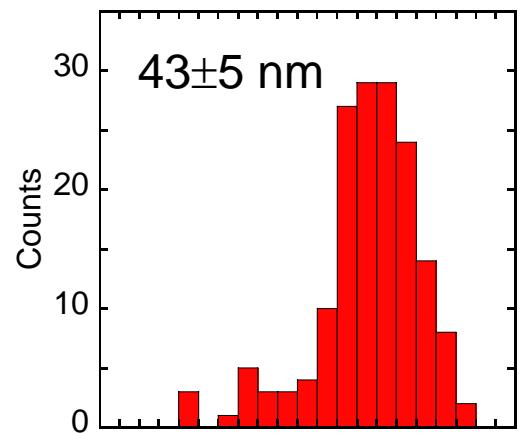


Ag_2S

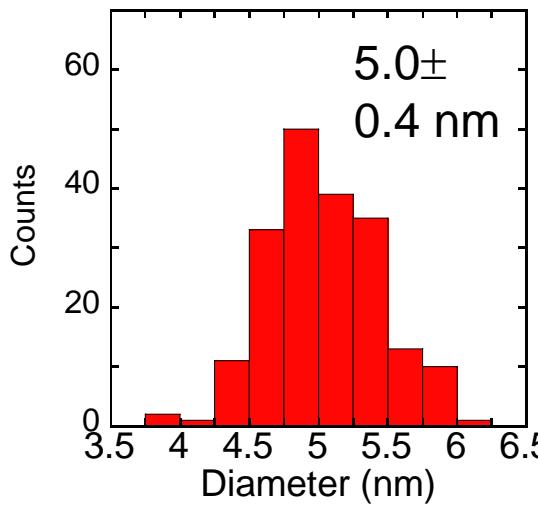
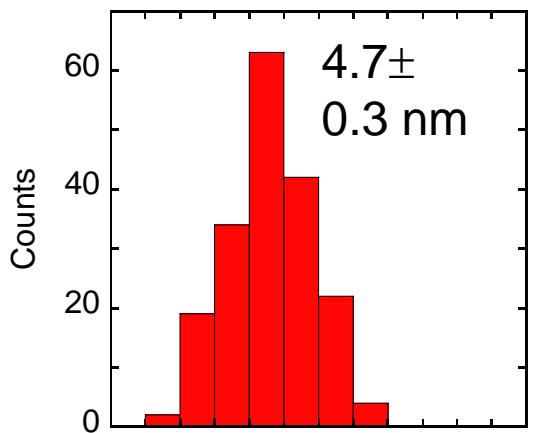
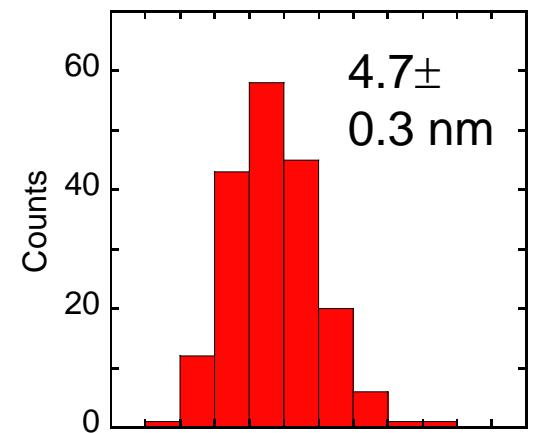


scale =
20 nm

Bryce Sadler

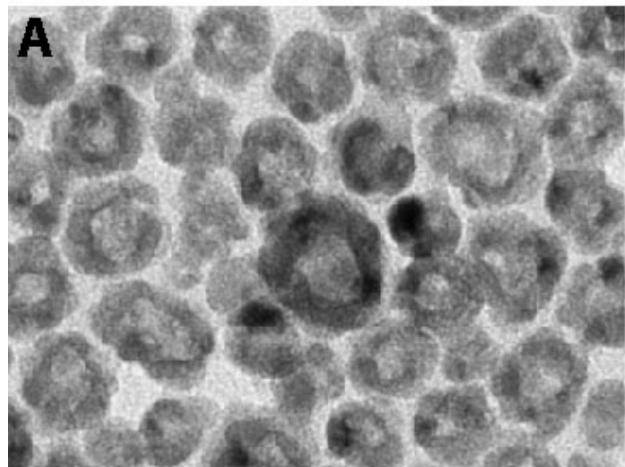


nanorod diameter distribution

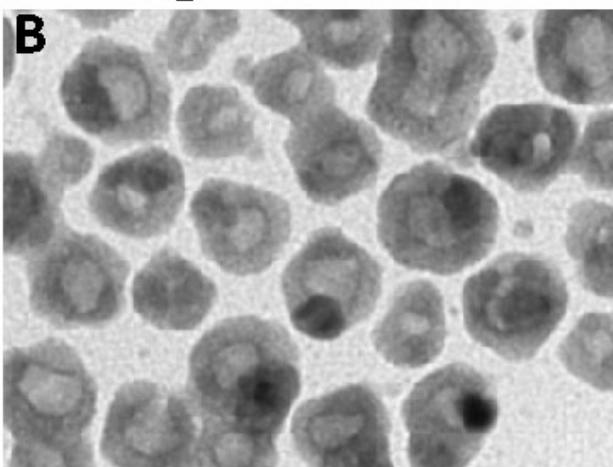


Cation exchange cycles in complex nanostructures

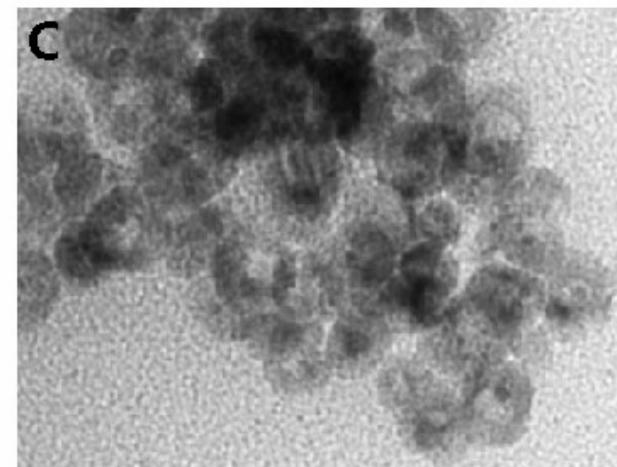
Initial CdS hollow sphere



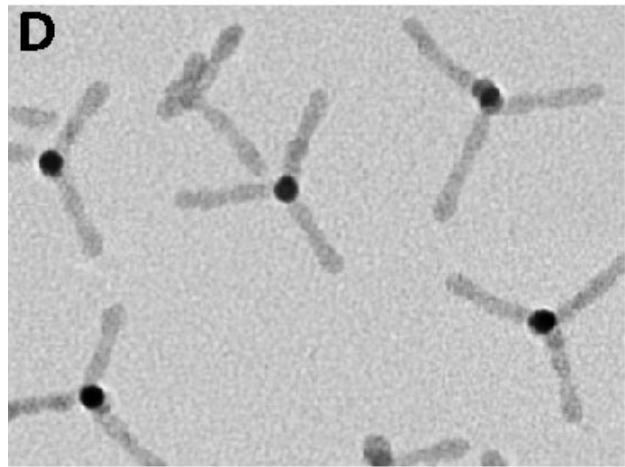
Ag₂S hollow sphere



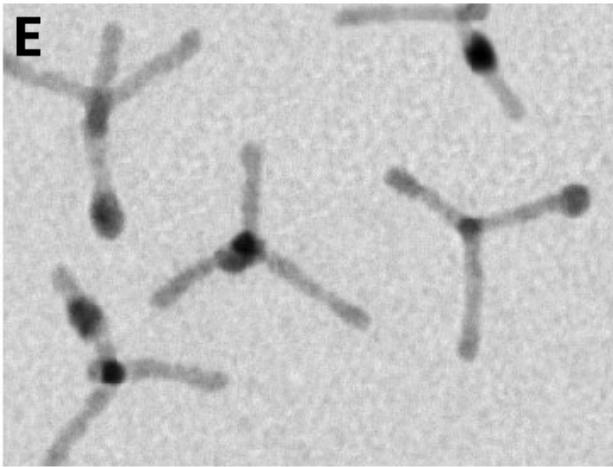
Recovered CdS hollow sphere



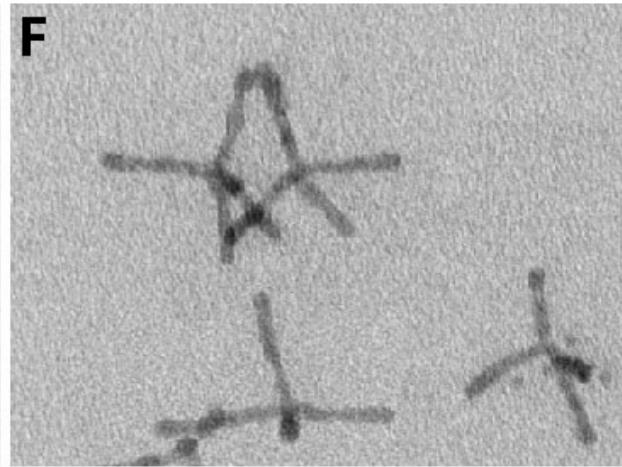
Initial CdTe tetrapods



Ag₂Te tetrapods

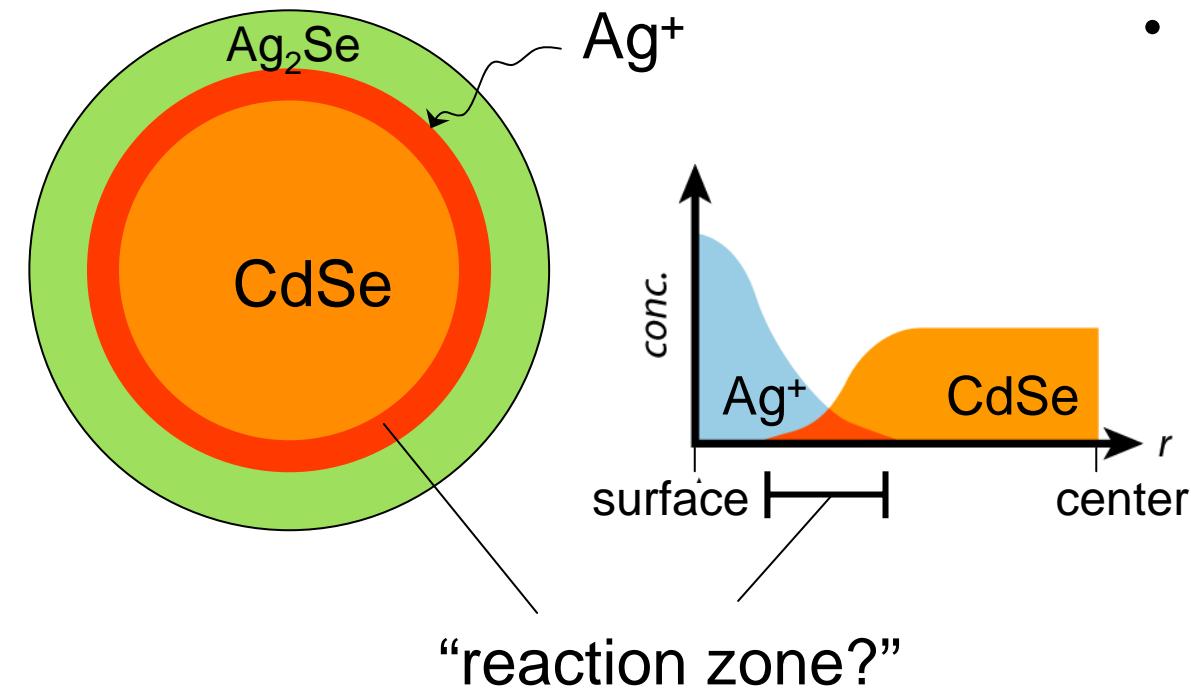


Recovered CdTe tetrapods



— 40 nm

Time scale of cation exchange

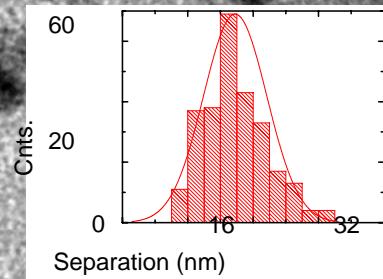
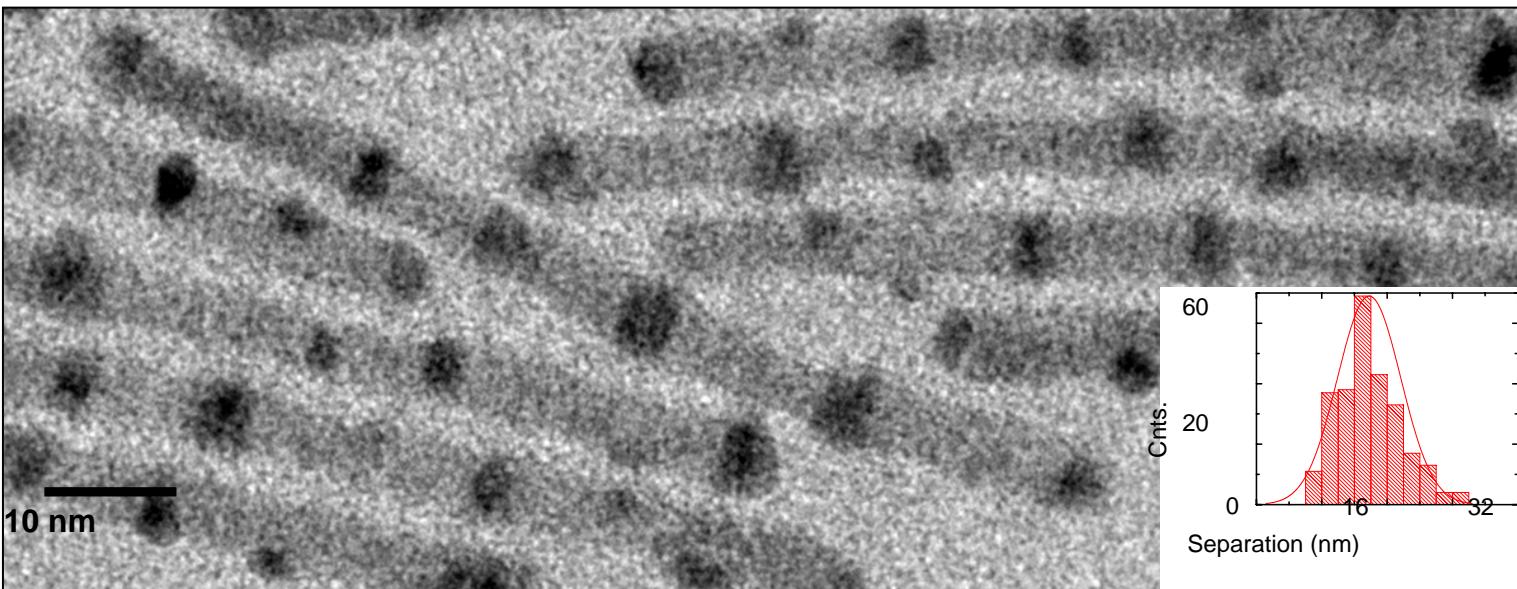
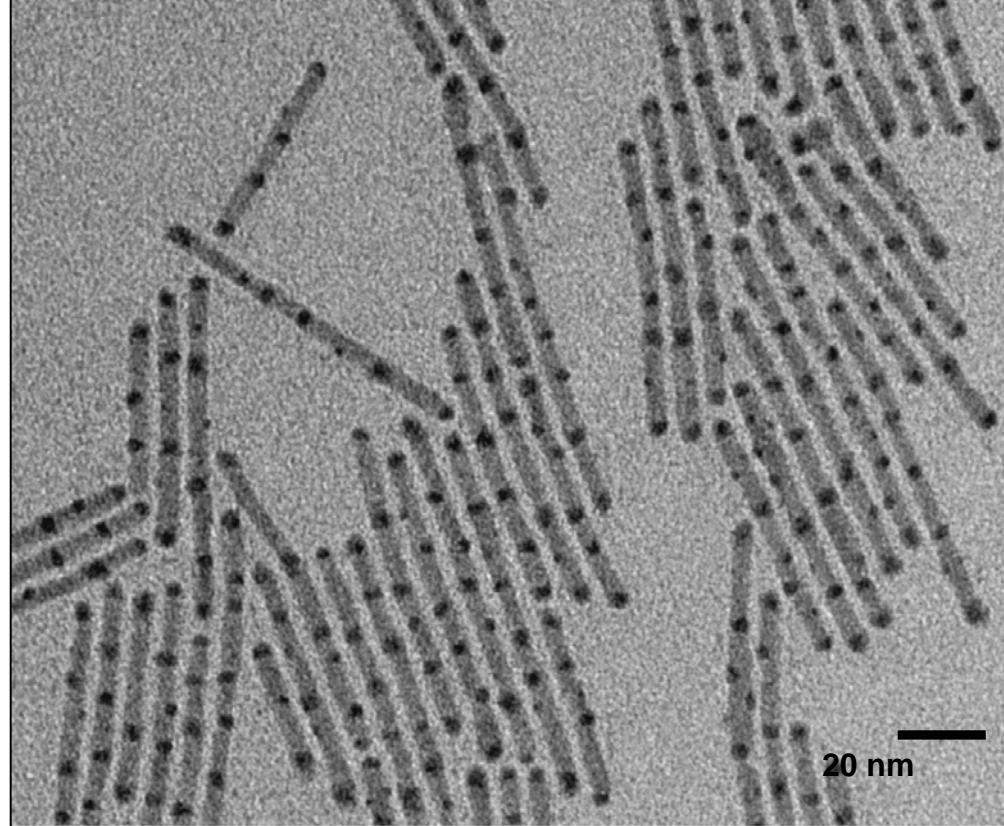
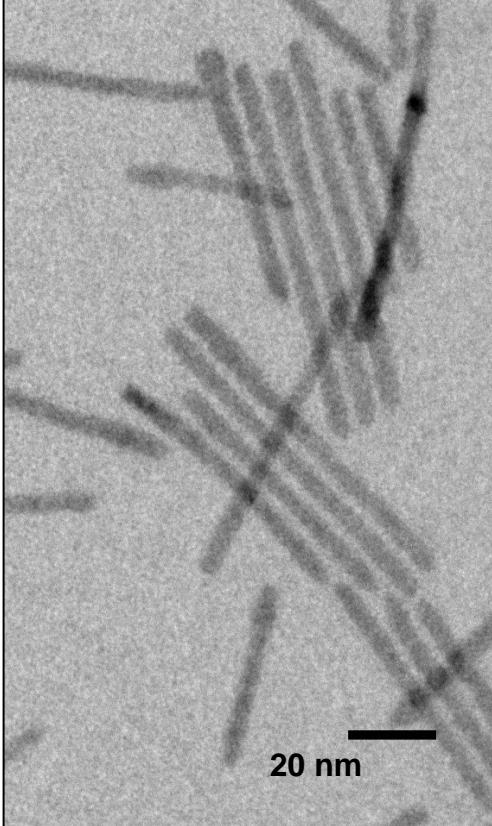


- A spherical diffusion model can be used to extract the *effective* diffusion constant of Ag⁺ in Ag₂Se/CdSe.
 - $D_{eff} = 5 \times 10^{14} \text{ cm}^2/\text{s}$ agrees with literature.
 - $D = 3 \times 10^{14} \text{ cm}^2/\text{s}$
 - AgNO₃ cation exchange on bulk CdSe (001) surface.
 - Leung *et al*, *J. Phys. Chem.* 1991, 95, 5918)

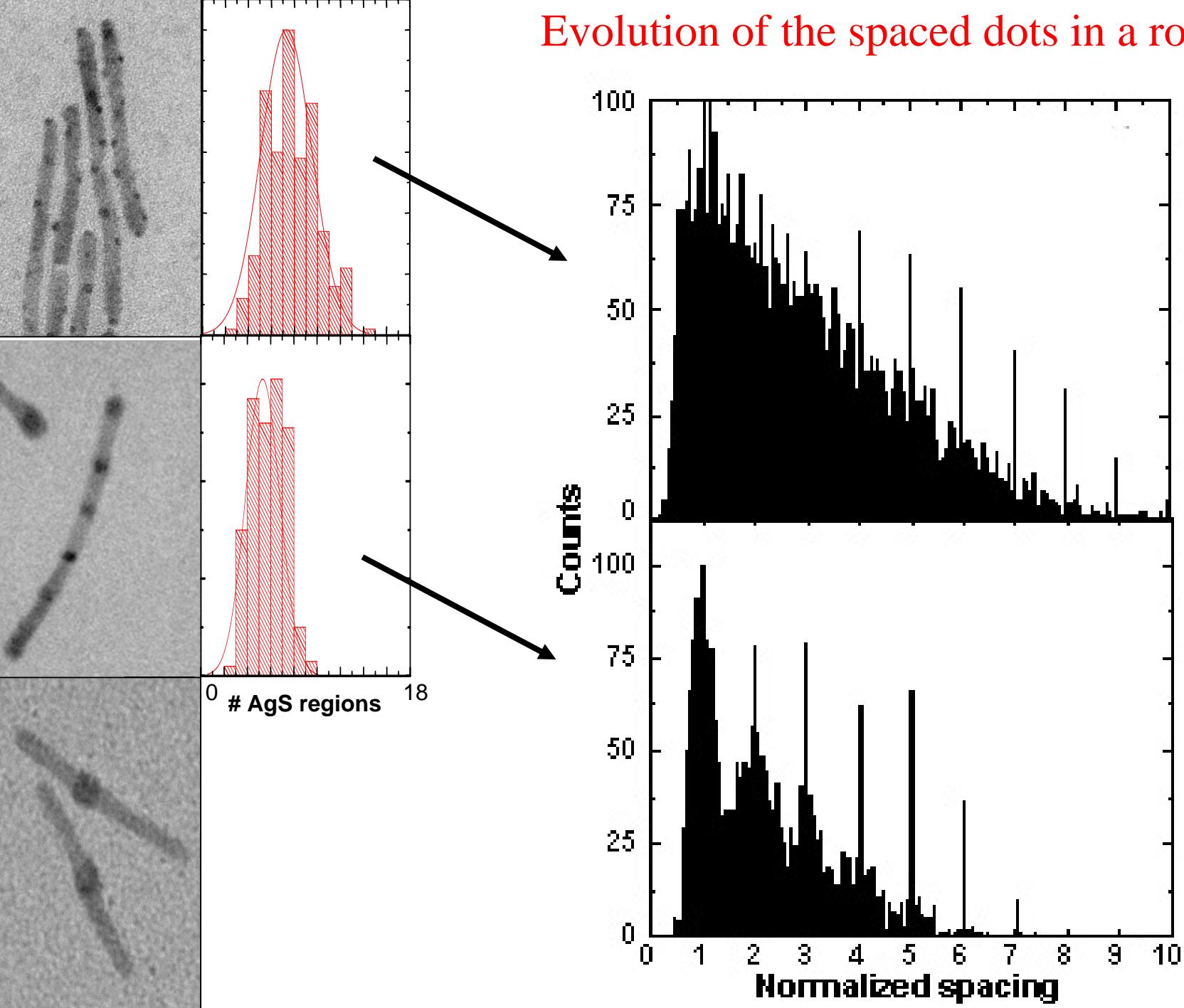
- $1/e = 66 \text{ ms} \sim 100 \text{ ms}$
- 4×10^7 collisions / second, between Ag⁺, nanocrystals
- $\sim 10^4$ collisions result in 1 Ag₂Se molecule.
- Most reactions require $10^7\text{-}10^{11}$

Partial Exchange?

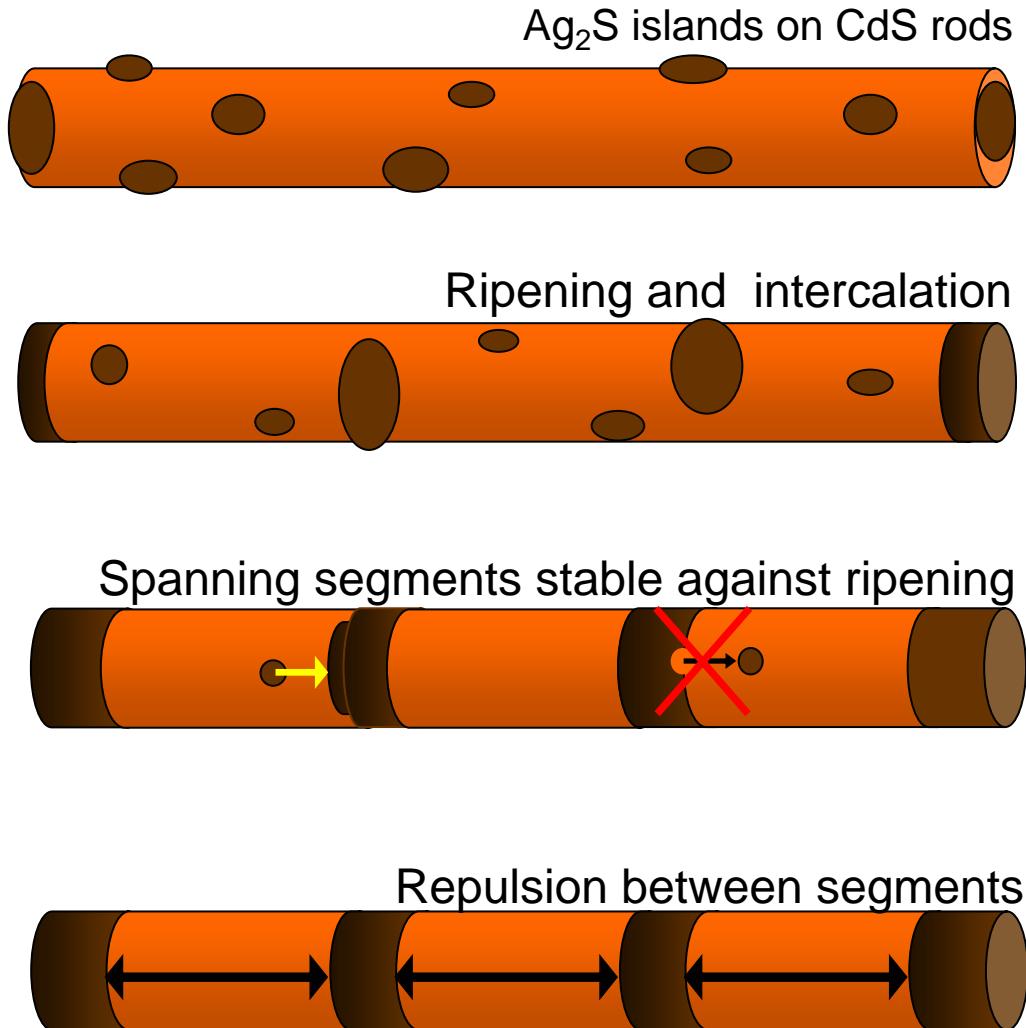
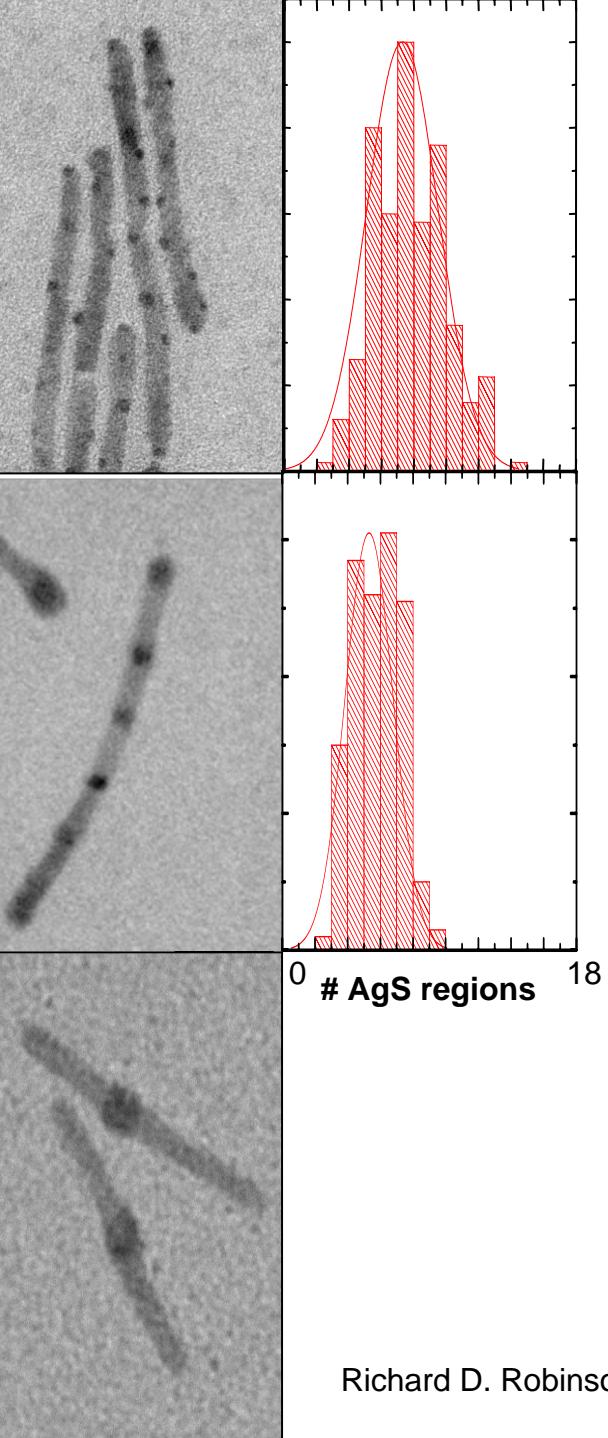
Partial cation exchange in nanorods



Evolution of the spaced dots in a rod

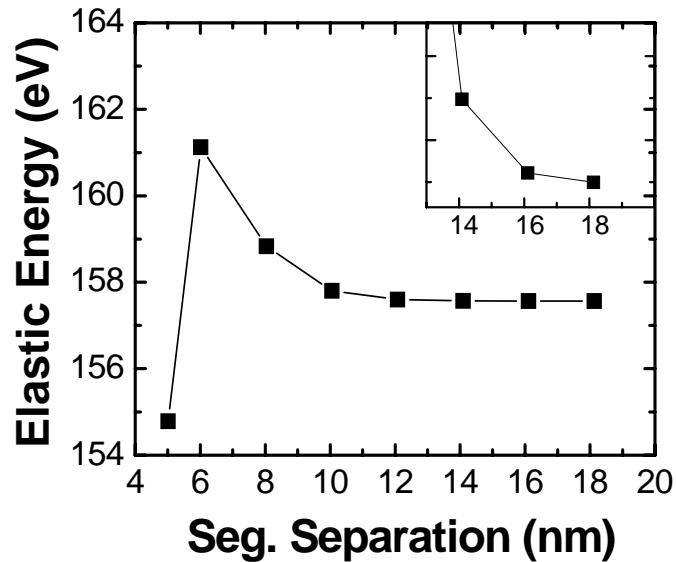
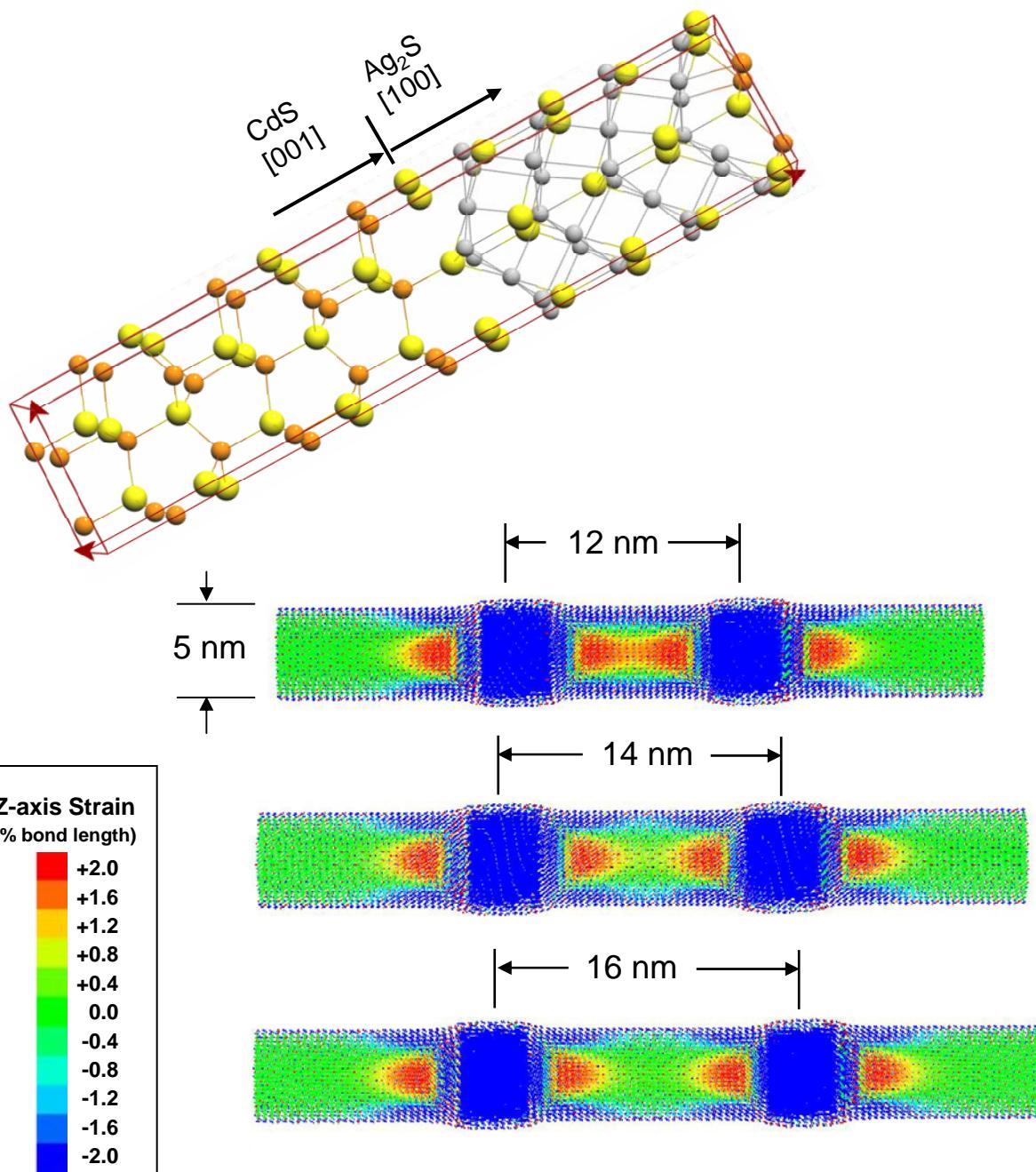


Evolution of the spaced dots in a rod



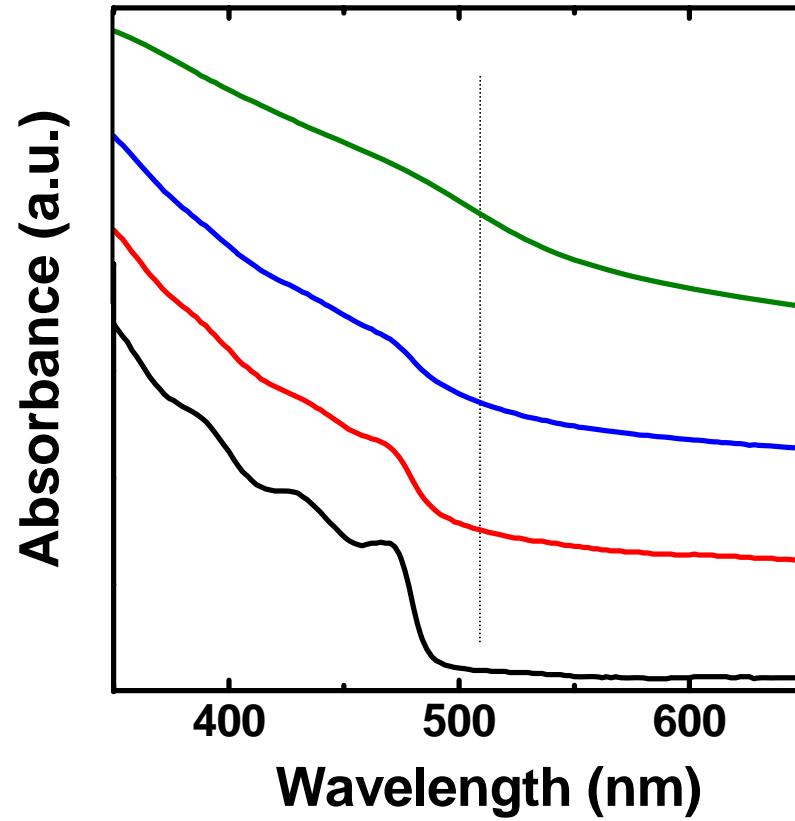
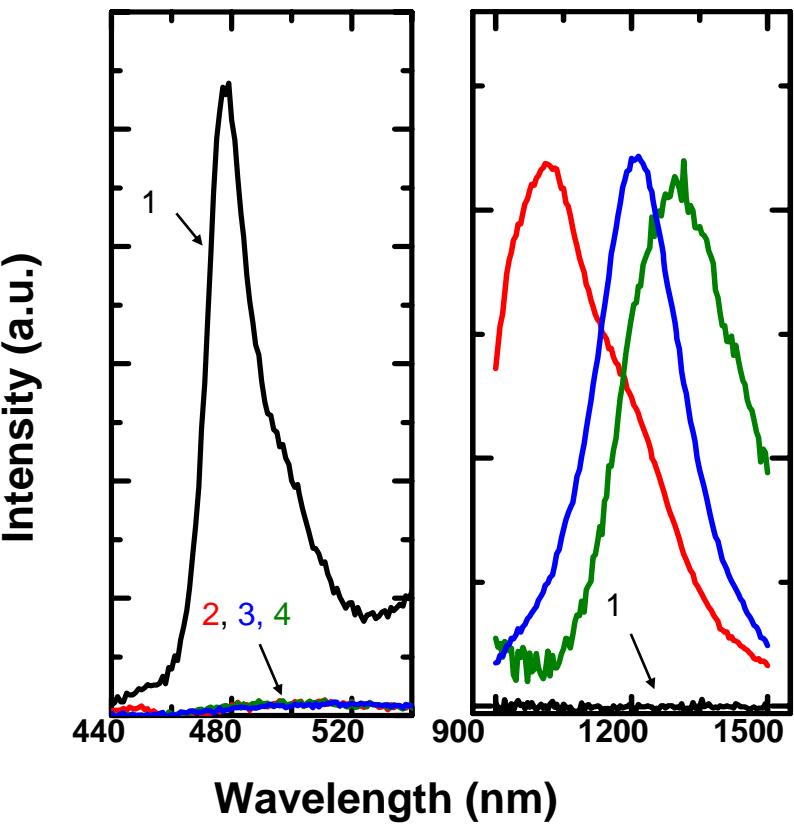
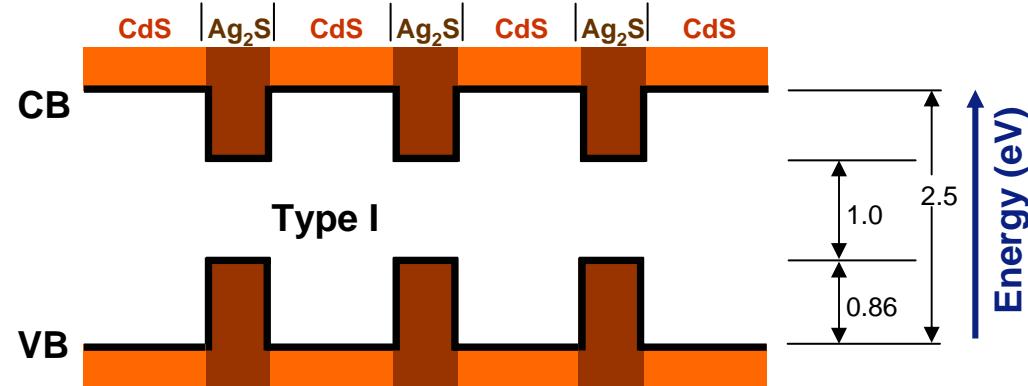
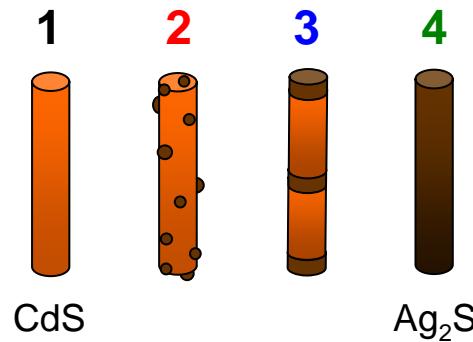
"Spontaneous Superlattice Formation in Nanorods through Partial Cation Exchange,"
Richard D. Robinson, Bryce Sadler, Denis O. Demchenko, Can K. Erdonmez, Lin-Wang Wang, A. P. Alivisatos
Science 317, 355 (2007)

Strain model explains spacings



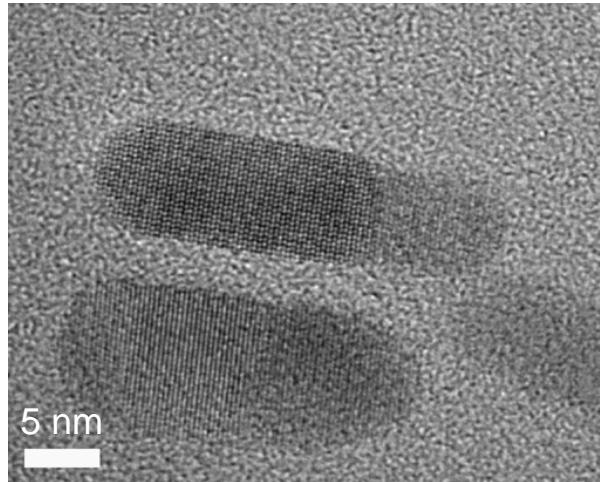
Can strain could be used to create a wider range of patterns within colloidal particles?

Optical properties and band offsets of partially exchanged rods

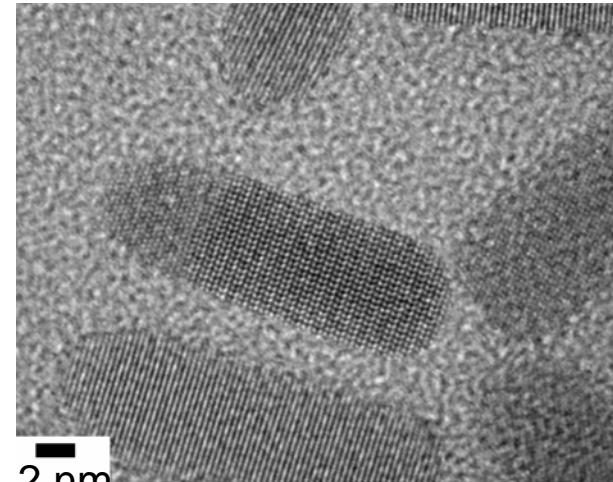
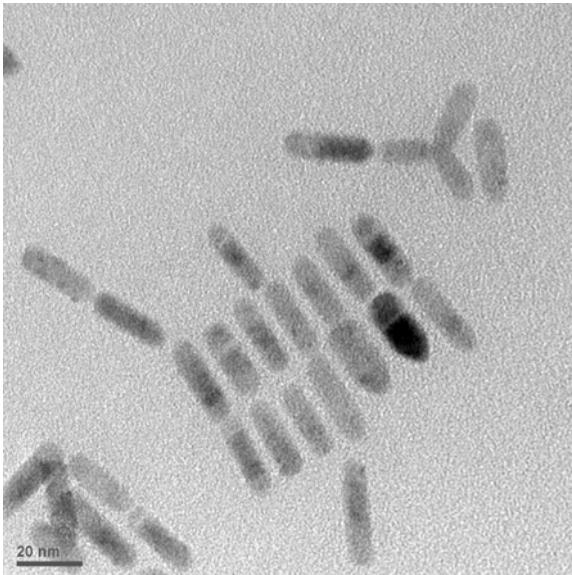


no diameter change

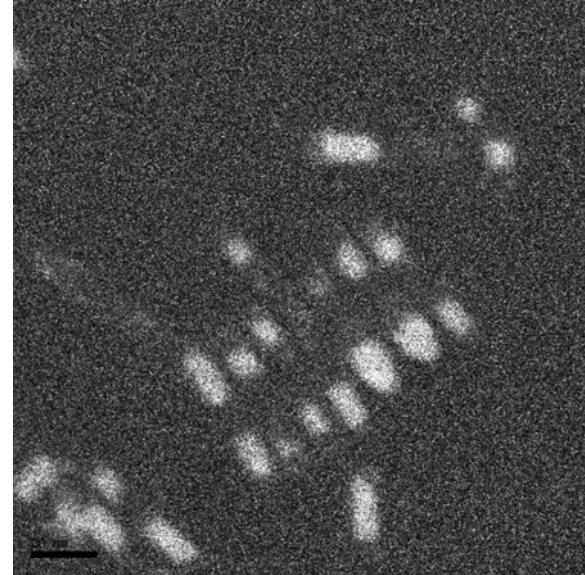
Partial exchange of copper – segmented rods



Brightfield image

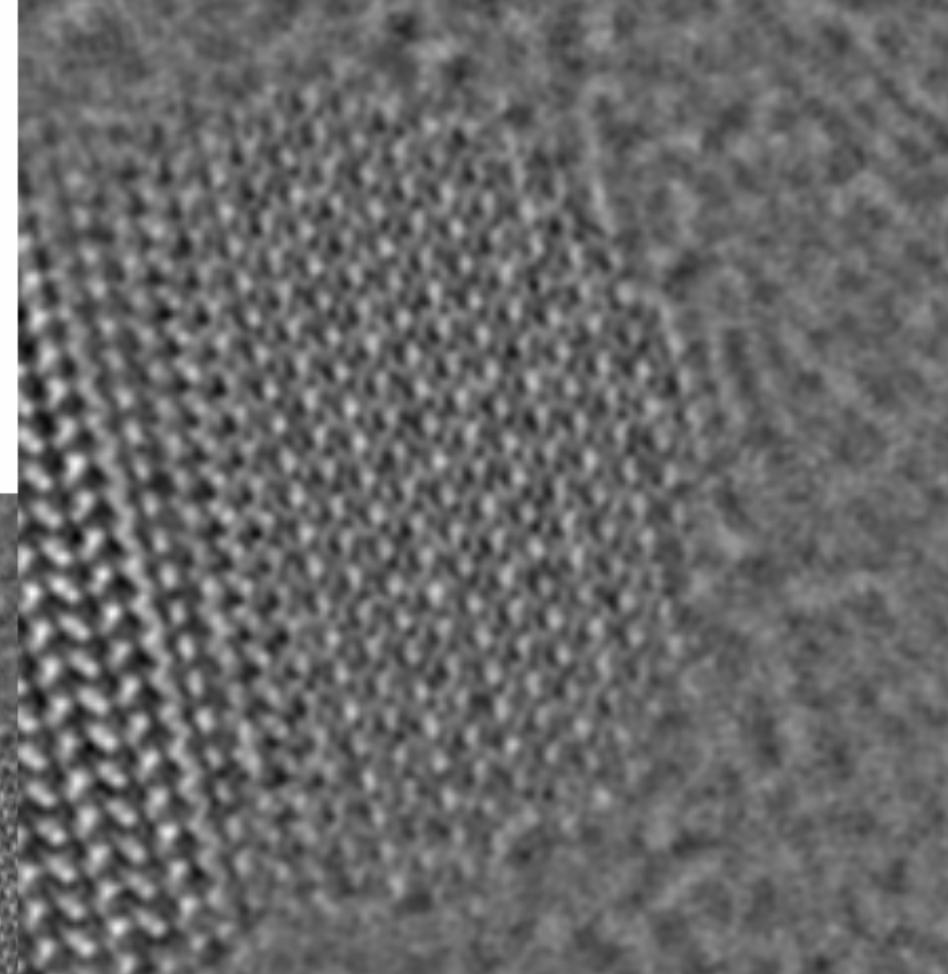
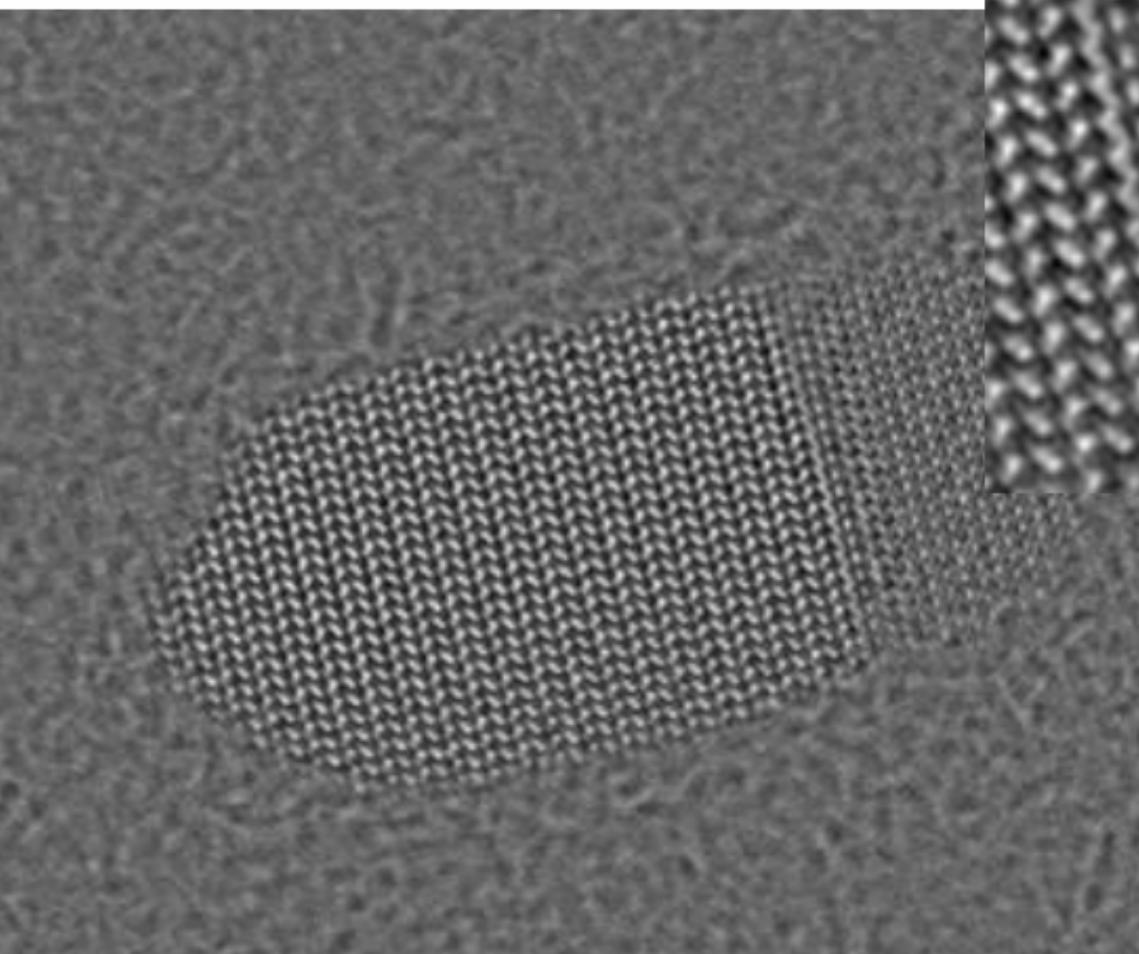


Cd energy-filtered image

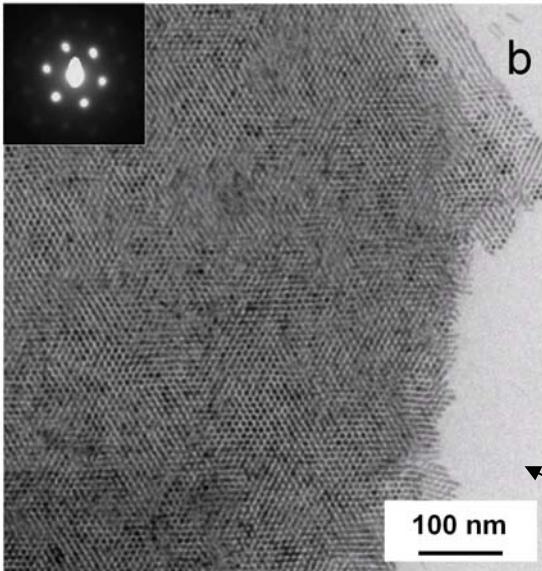
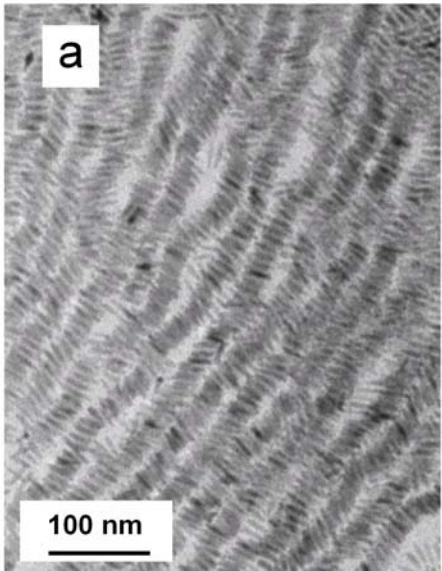
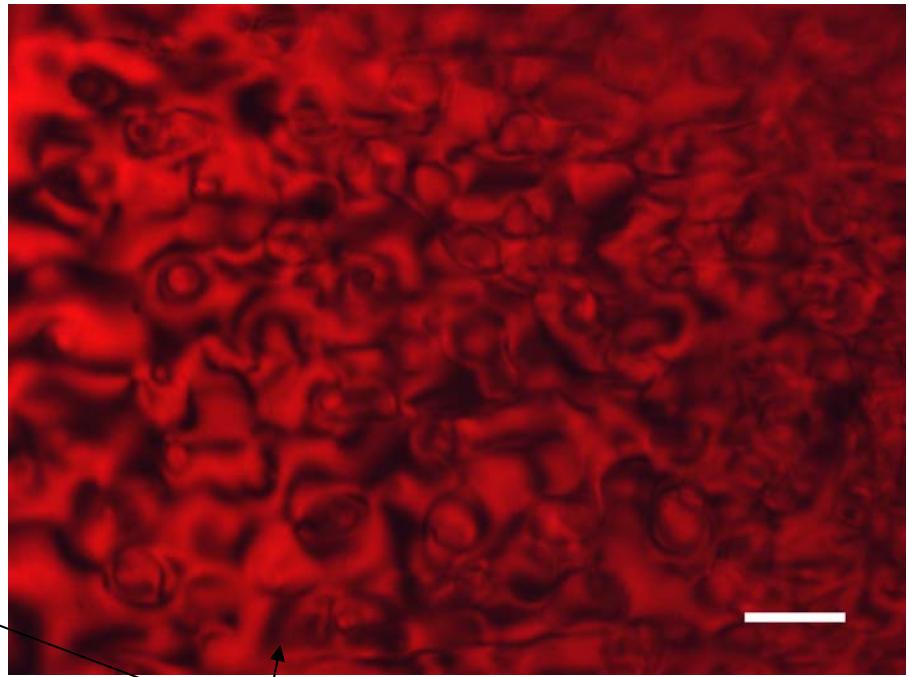
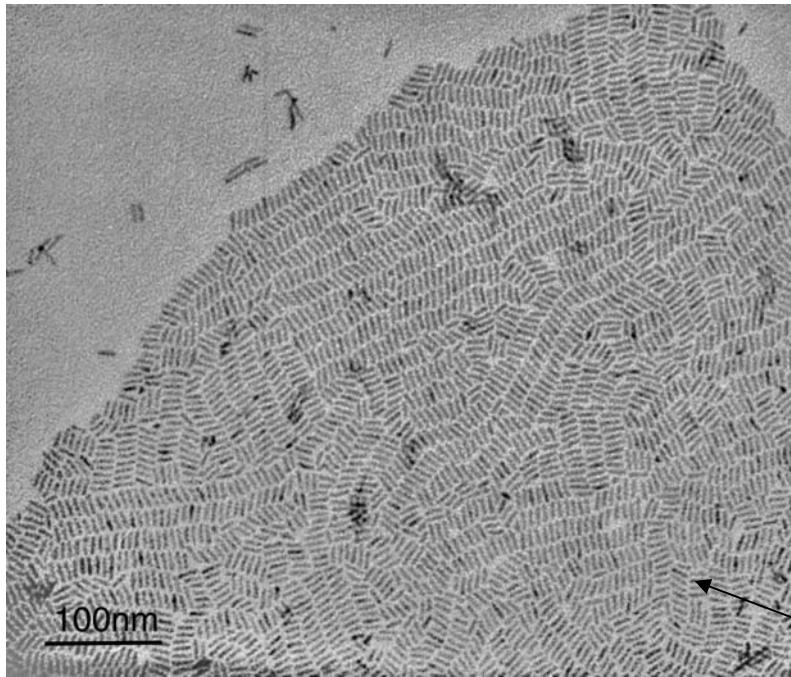


High res TEM of the Cu₂s/CdS nanorods

Reconstructed phase



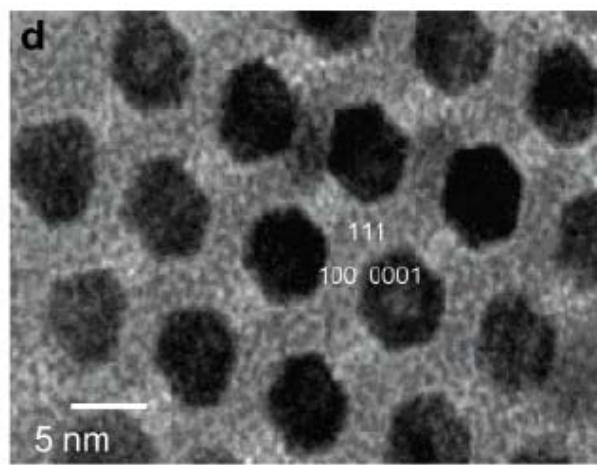
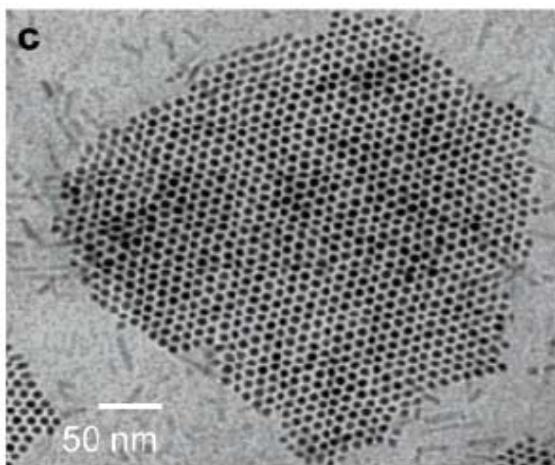
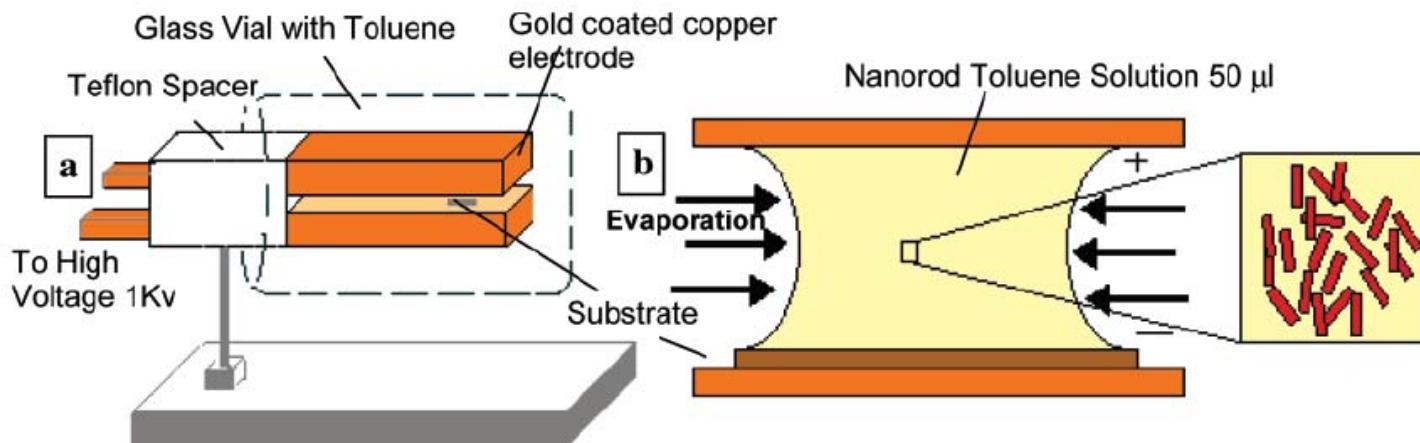
Nanorod liquid crystals



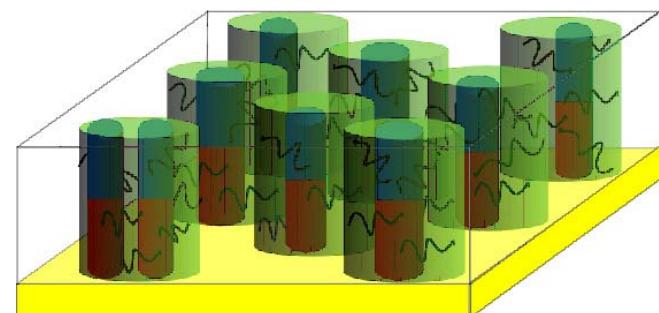
Seeded rods



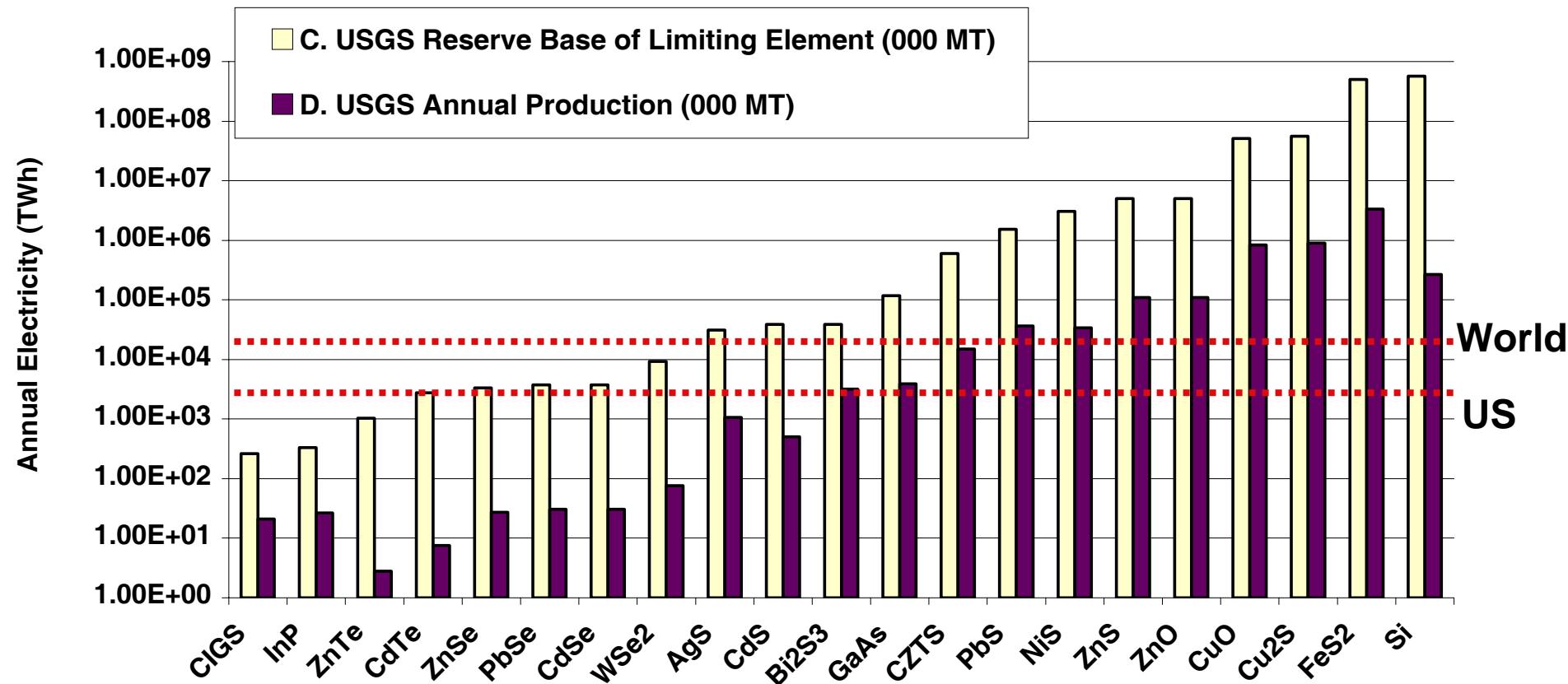
Liquid crystal electric field assembly of (striped and seeded) surfactant coated rods



Could this be used to align the Cu₂S/CdS segmented nanorods?



Environment-friendly, abundant nanocrystal systems for PVs

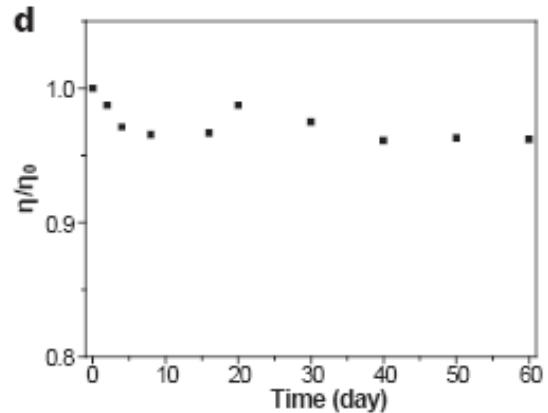
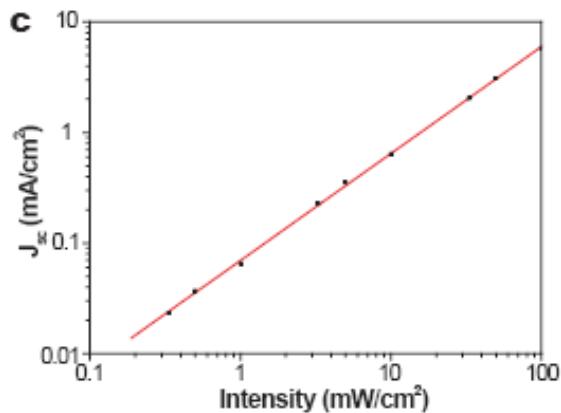
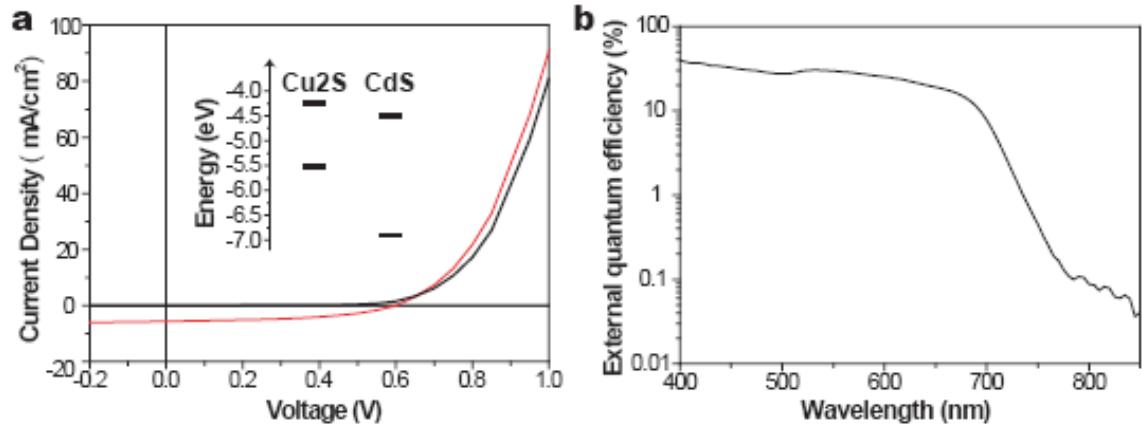
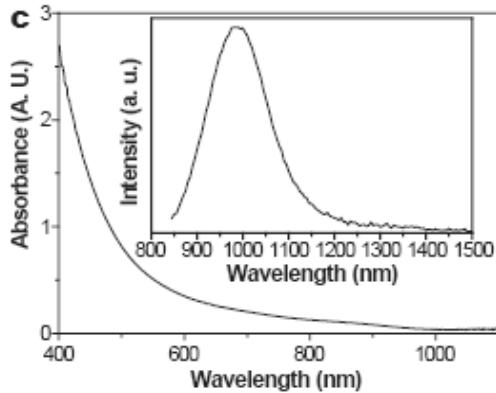
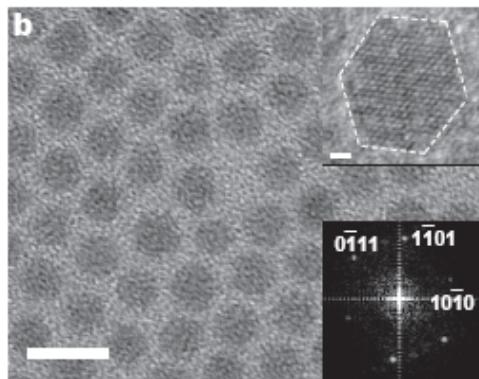
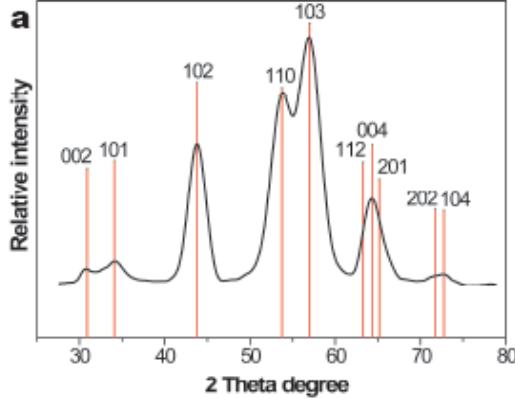


Assume 10% efficiency cells are made with all the available material

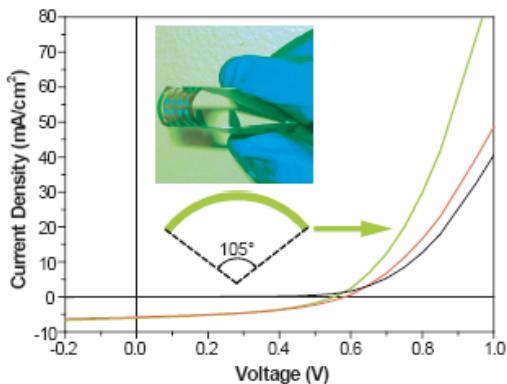
Materials previously rejected for bulk solar cells due to difficulty of doping both n and p or due to poor mobility may work well with nanocrystals

Cu₂S/CdS dual nanocrystal solution cast solar cell

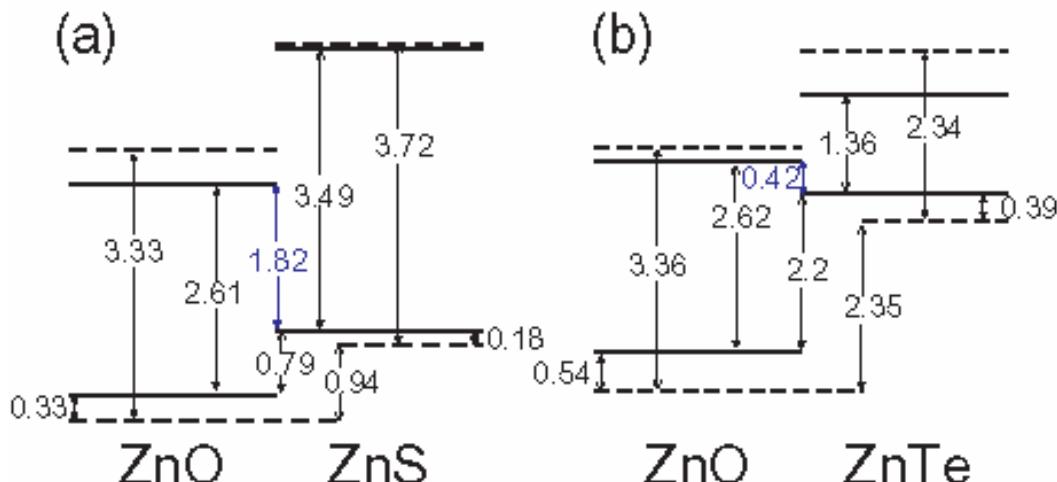
Cu₂S nanocrystals



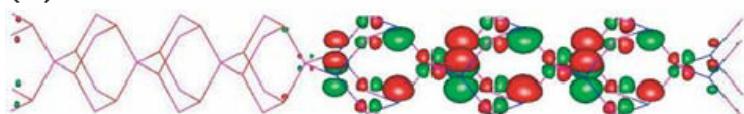
$V_{oc} = 0.6V$
 $I_{sc} = 5.6mA/cm^2$
FF = 0.475
%eff. = 1.6%
No sintering, max T 150C



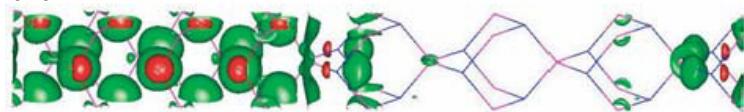
Nanostructuring expands the list of possible stable, abundant, and env. benign materials for PVs



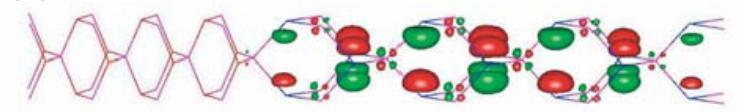
(a) ZnO/ZnS VBM



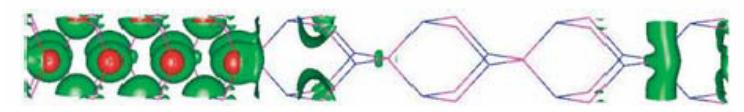
(b) ZnO/ZnS CBM



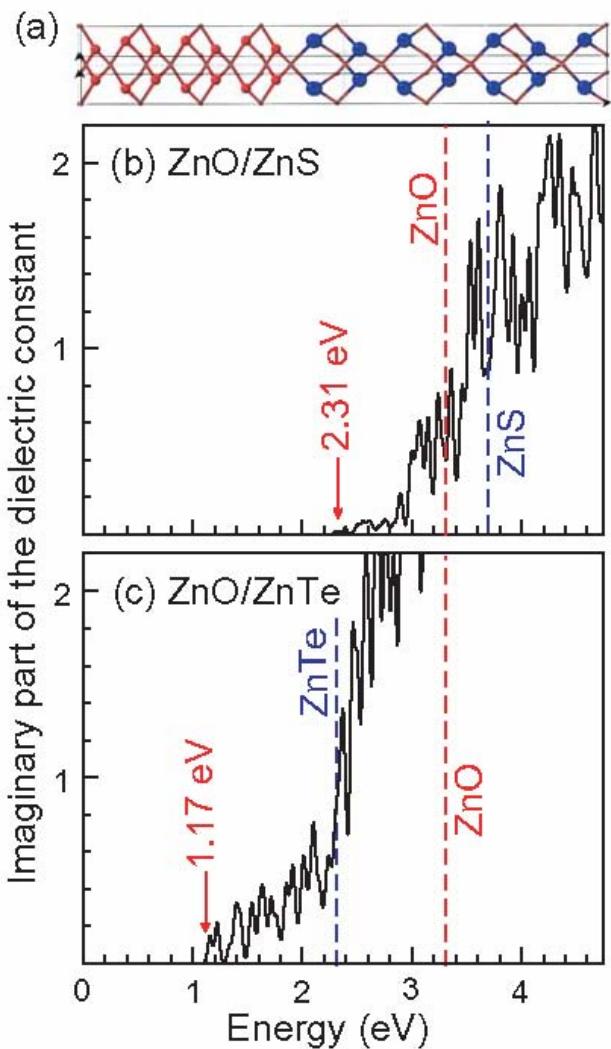
(c) ZnO/ZnTe VBM



(d) ZnO/ZnTe CBM

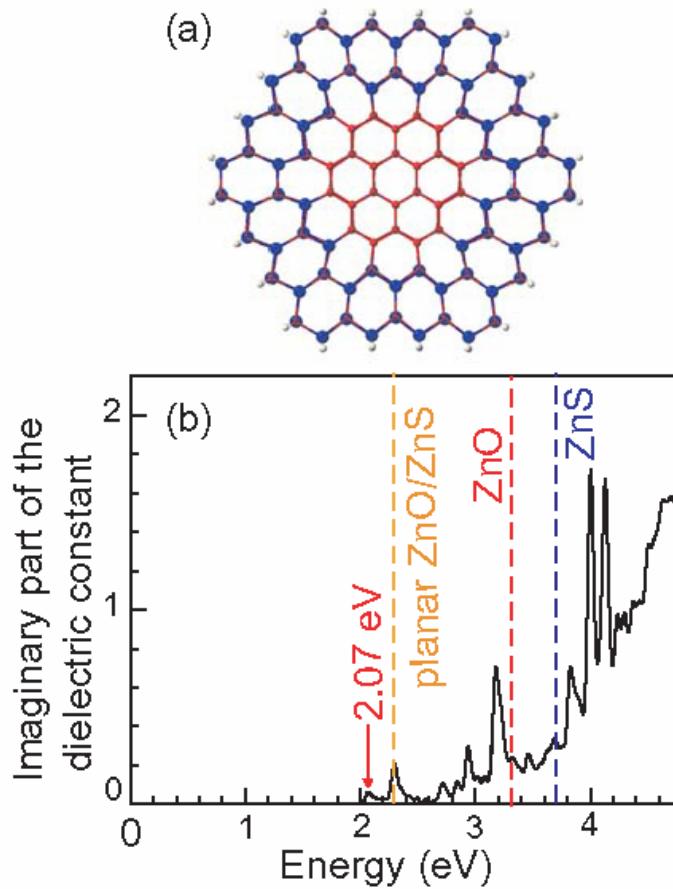


ZnO/ZnS, ZnO/ZnTe Superlattices

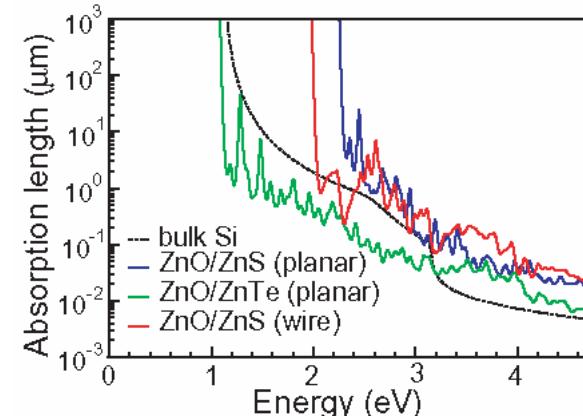
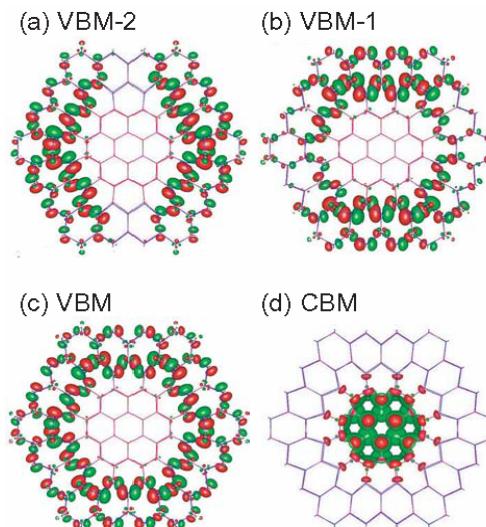


Nanostructuring expands the list of possible stable, abundant, and env. benign materials for PVs

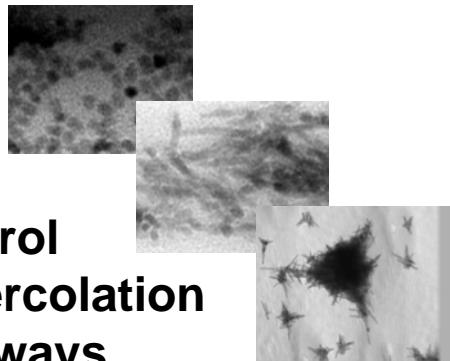
ZnO/ZnS core/shell wire



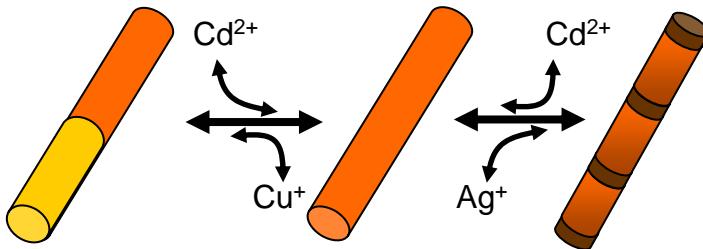
Band gap lower than 1d superlattices.



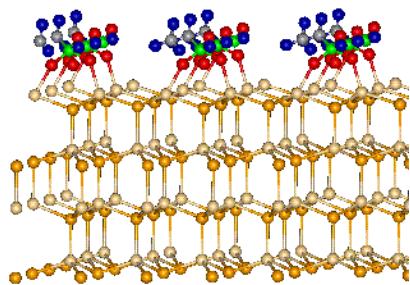
The absorption length is similar to bulk Si,



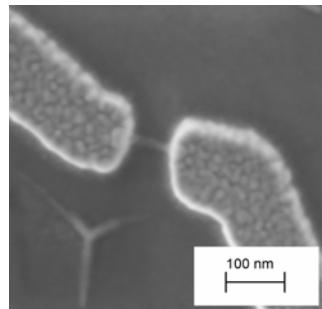
**Control
of percolation
pathways**



**New nanoscale
heterostructures for solar cells**

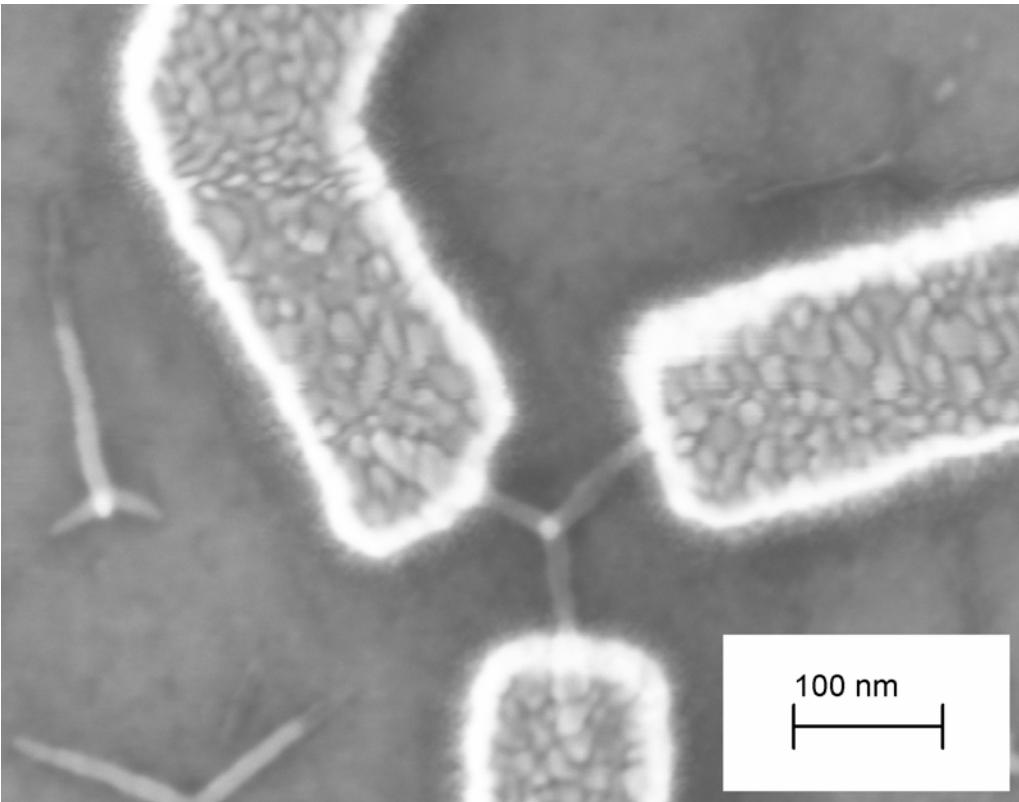
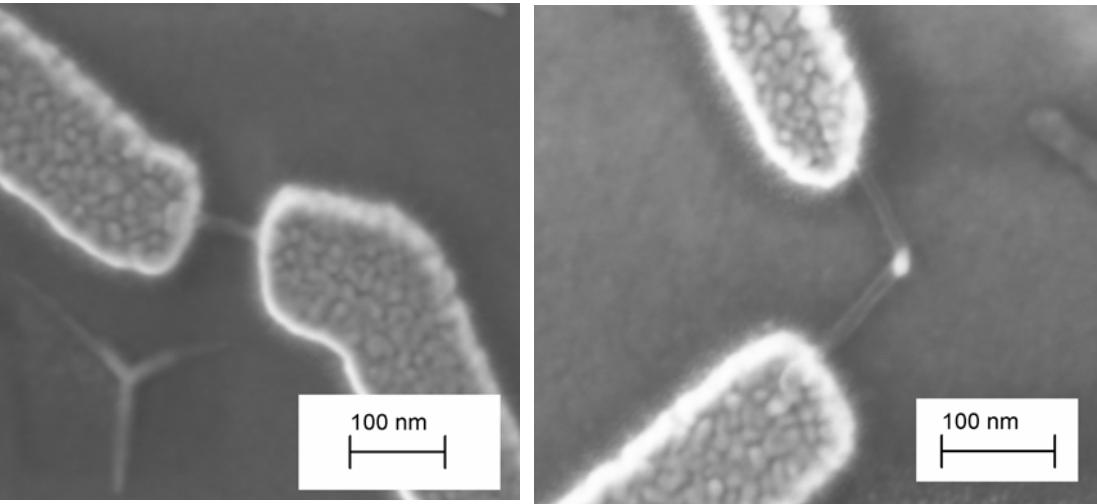


**Organic
passivation
and assembly**



**Model studies of
single nanocrystals**

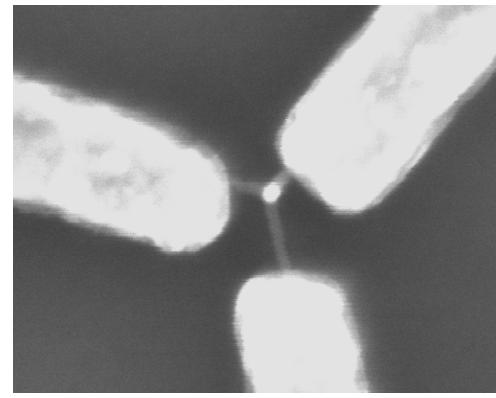
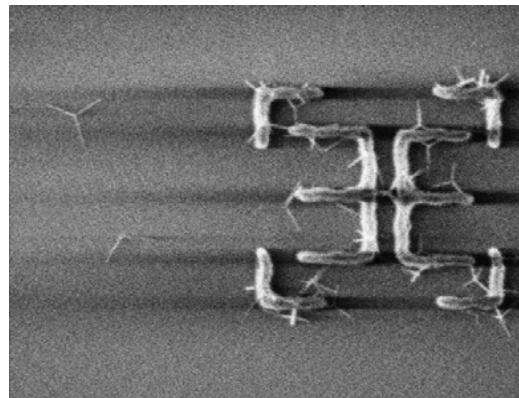
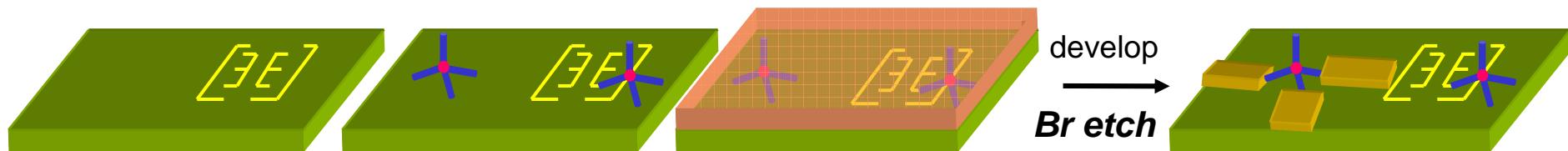
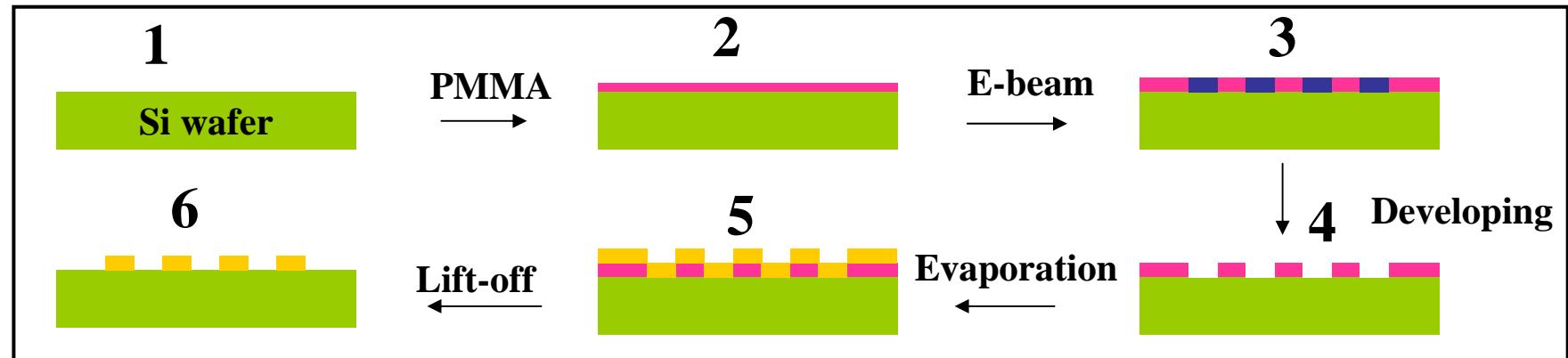
Single rod/bipod/tetrapod single electron transistors



Yi Cui

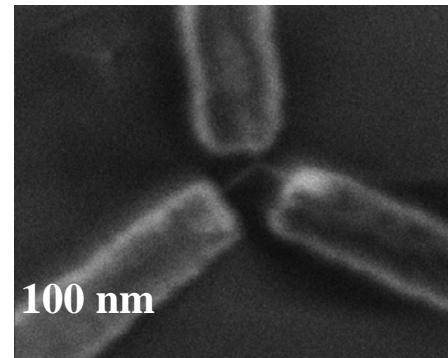
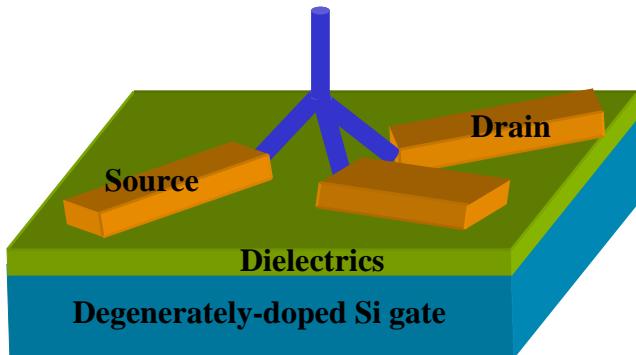
Paul-Emile Trudeau
Matt Sheldon

E-beam, alignment, etch and contact

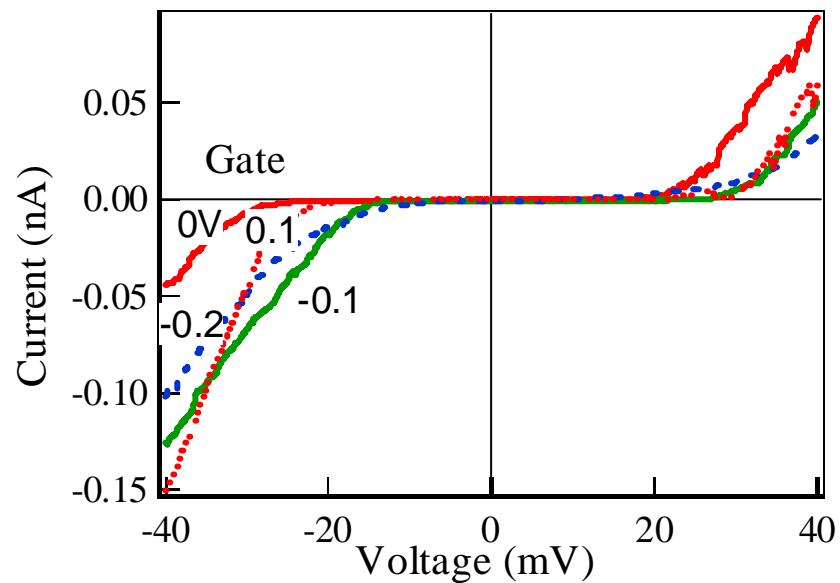


20 nm alignment accuracy in e-beam lithography.

Single electron charging



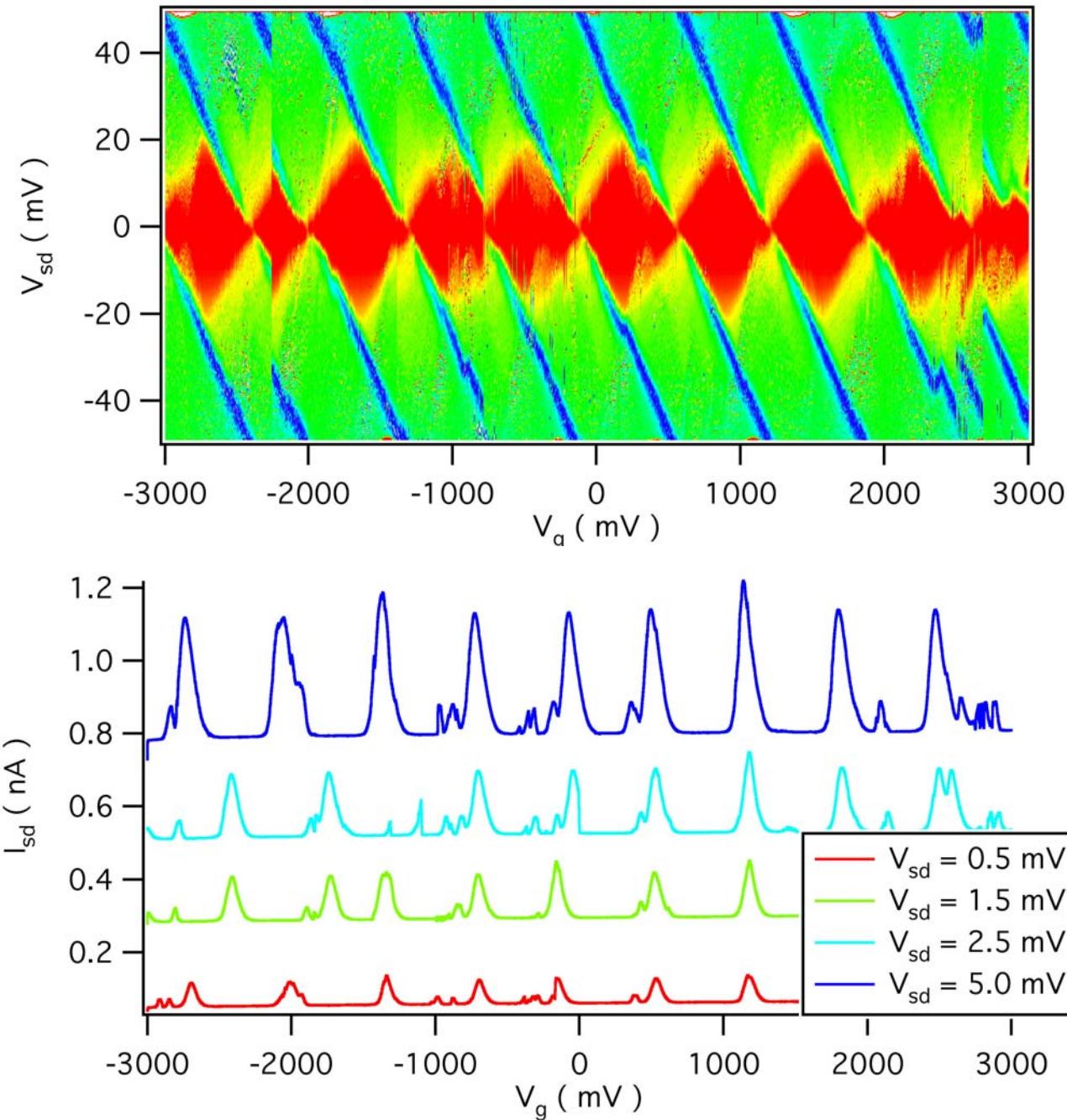
Temperature 5K



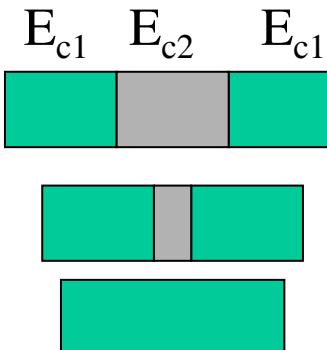
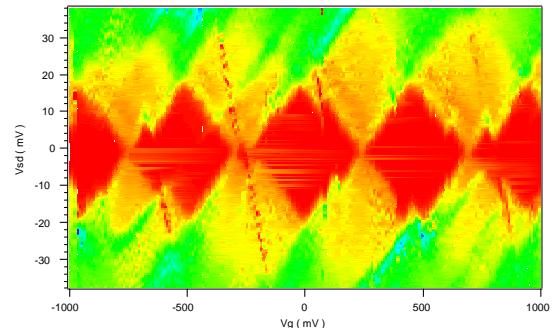
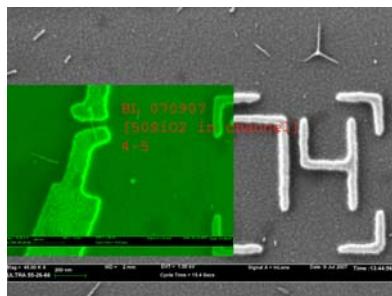
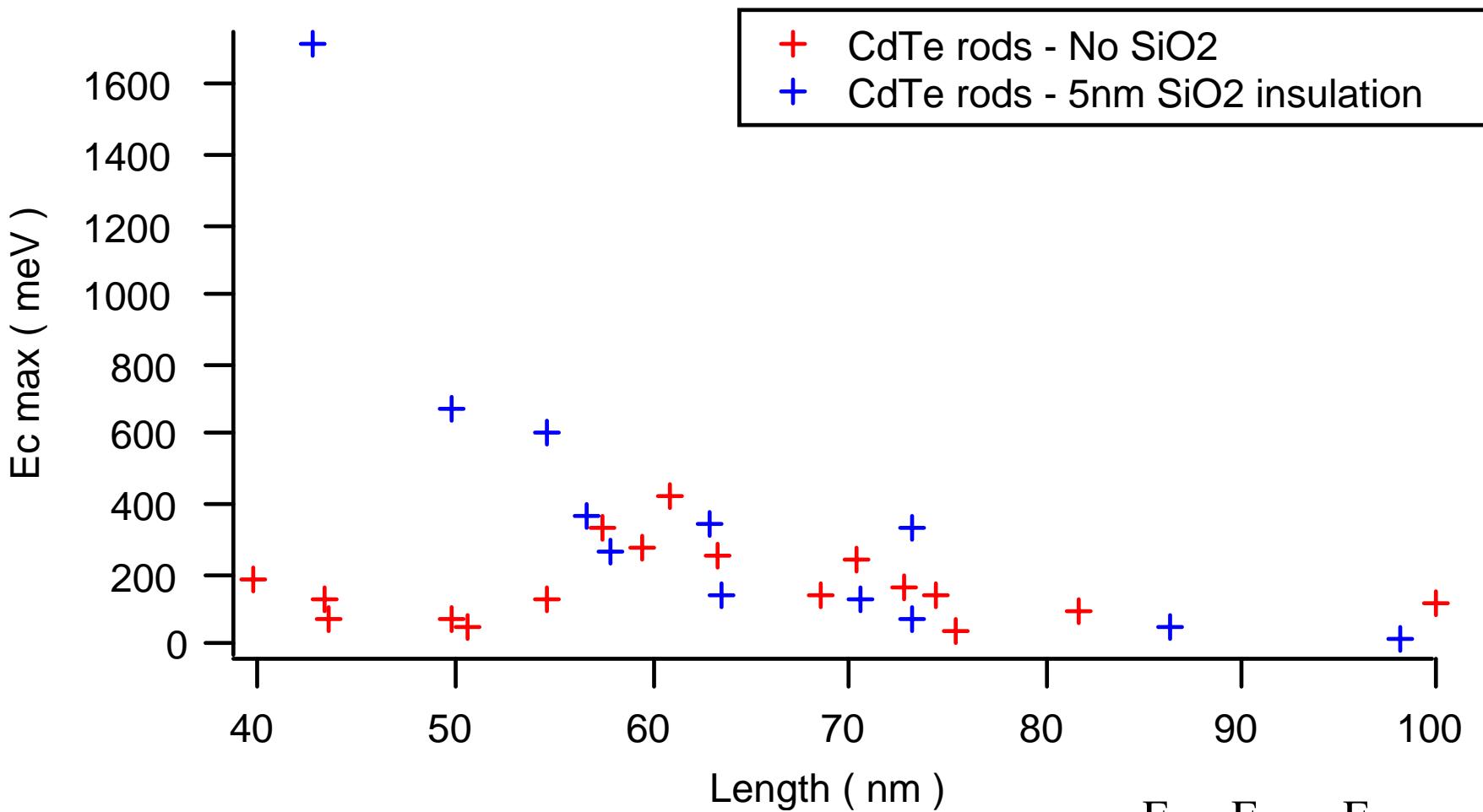
zero-conductance gap changeable by the gate voltage - the signature of single electron charging.

Cui, Y., U. Banin, M. T. Bjork and A. P. Alivisatos Nano Letters **5**(7): 1519-1523 (2005).
"Electrical transport through a single nanoscale semiconductor branch point."

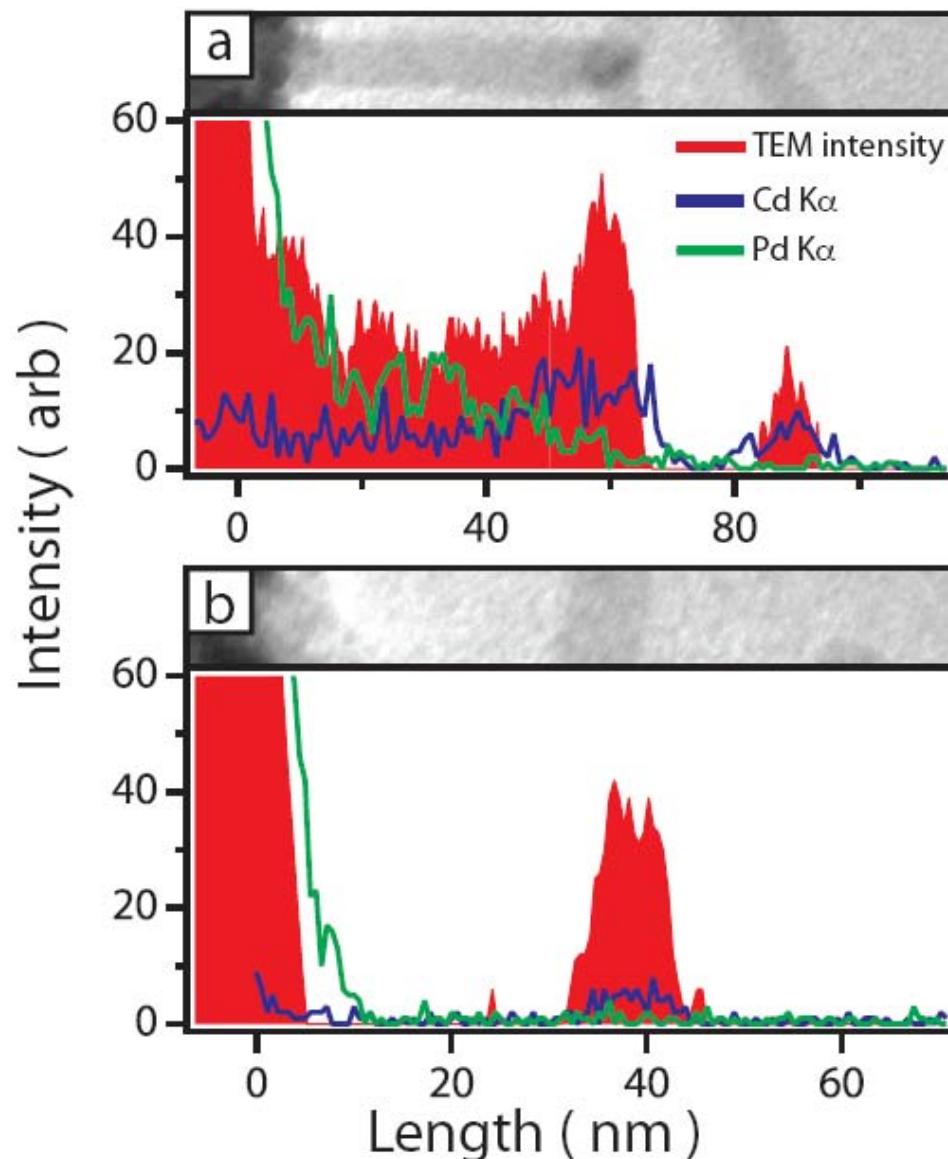
CdTe nanorod - tips etched with Bromine solution



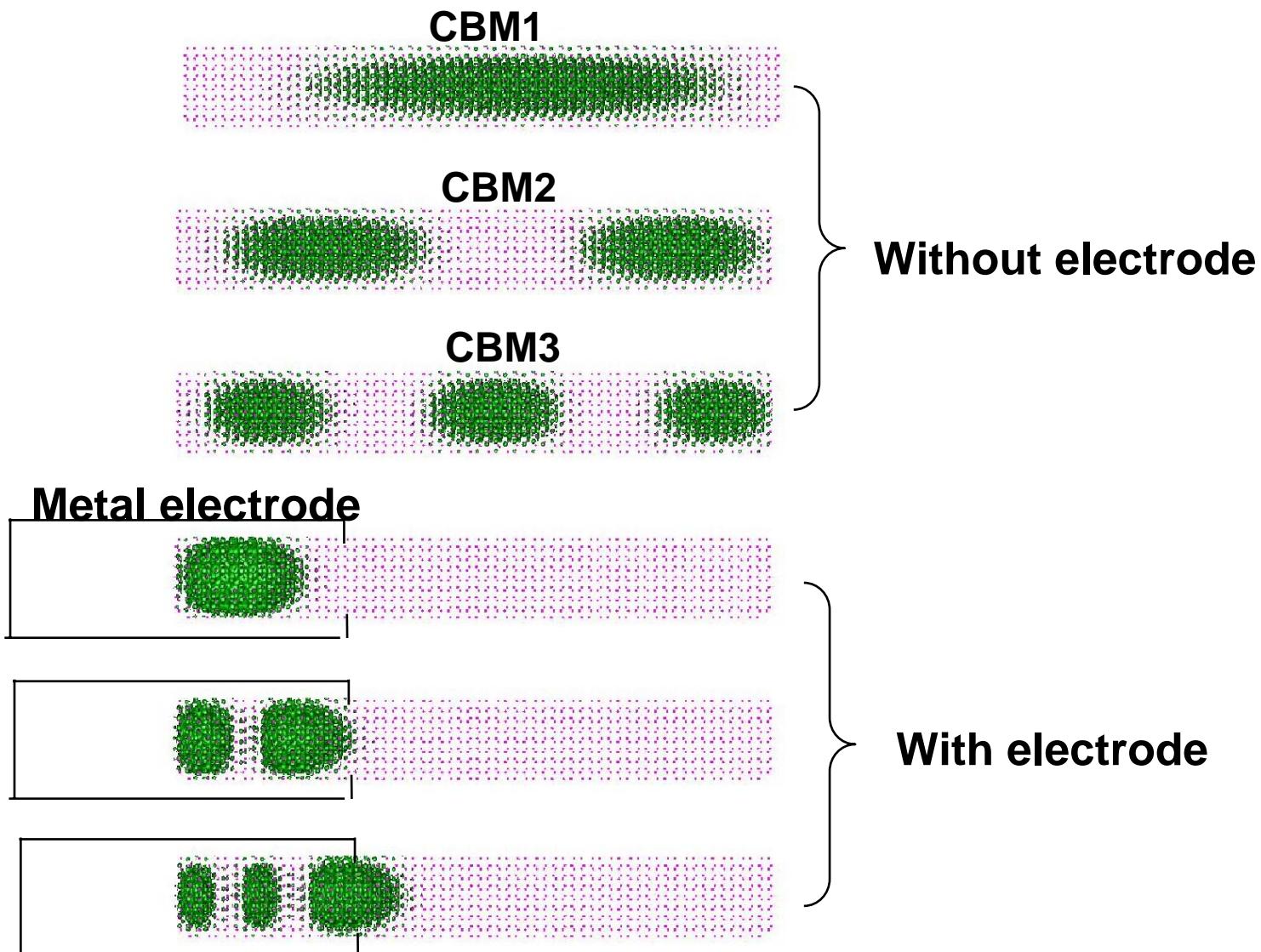
Electrical contacts and charging energy of individual nanorods



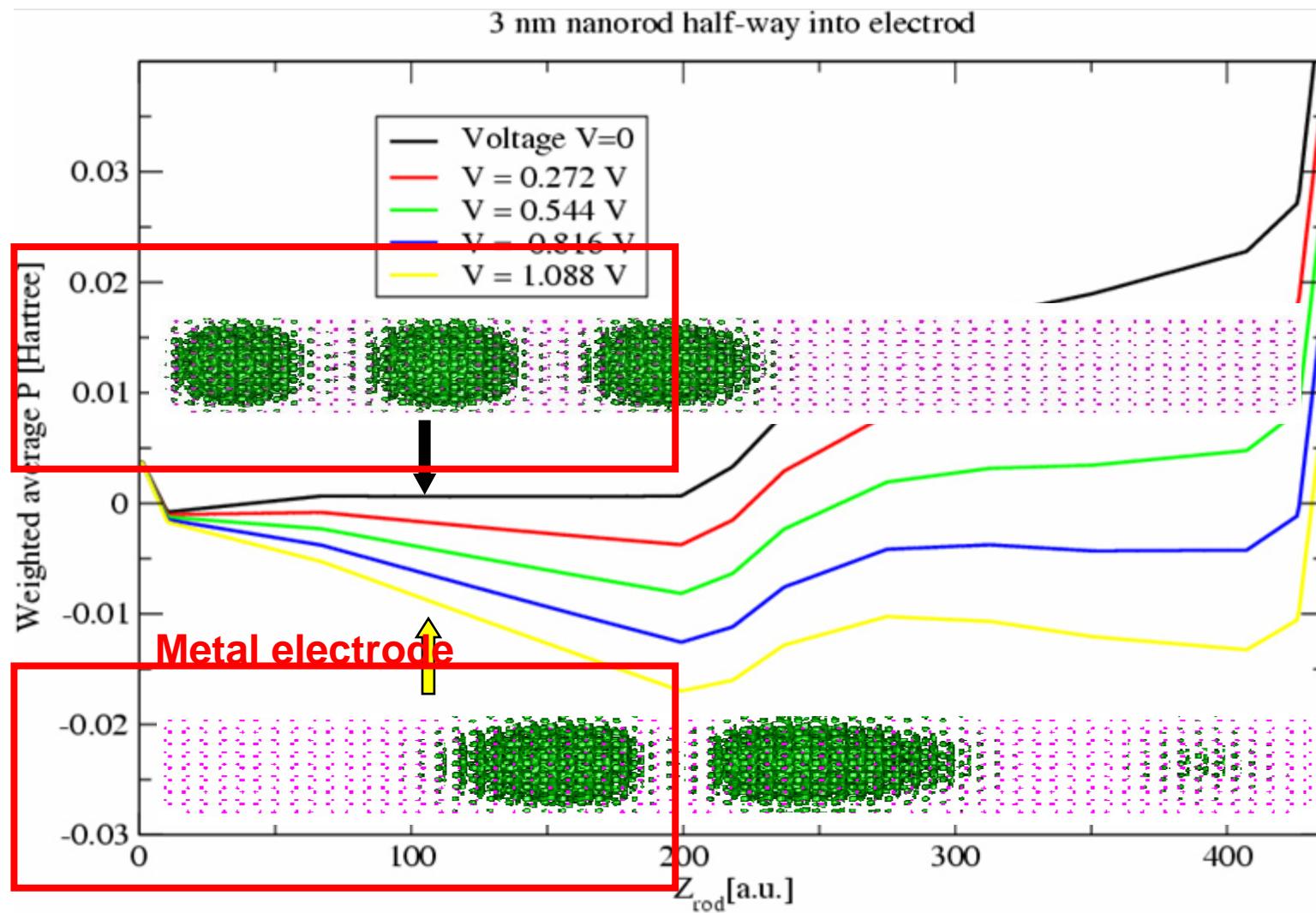
Pd reaction zone extends about 20 nm into the nanorod



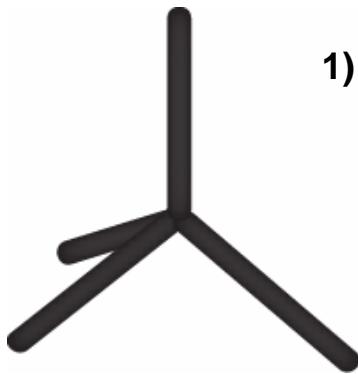
Wavefunction localization due to the electrode



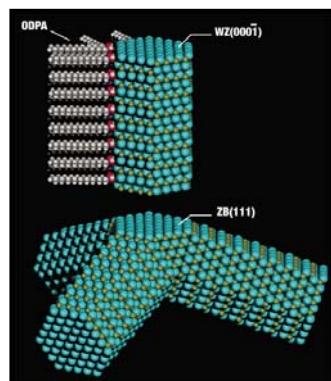
Using a bias voltage to overcome the localization



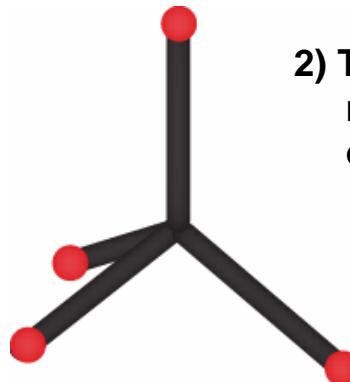
Growth of Au tips on Tetrapods



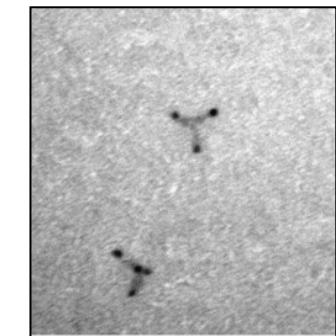
1) Solution-phase synthesis
of tetrapods



Manna et al., *Nature Mater.*, **2003**, 2, 382.



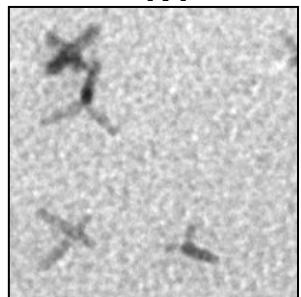
2) Tetrapod tips provide
nucleation sites for formation
of gold nanoparticles



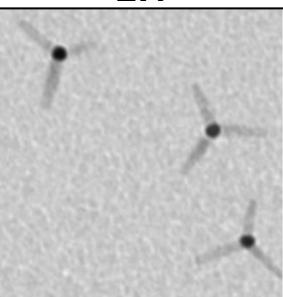
Mokari, Banin et al., *Science*,
2004, 304, 1787.

Cd/S ratio

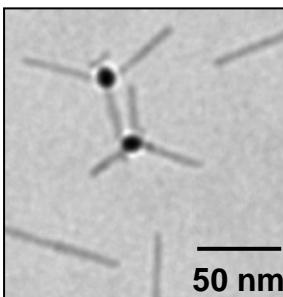
1:1



2:1

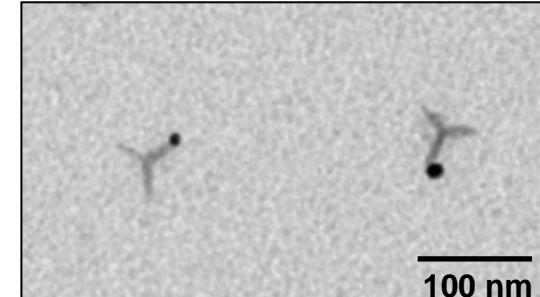


4:1

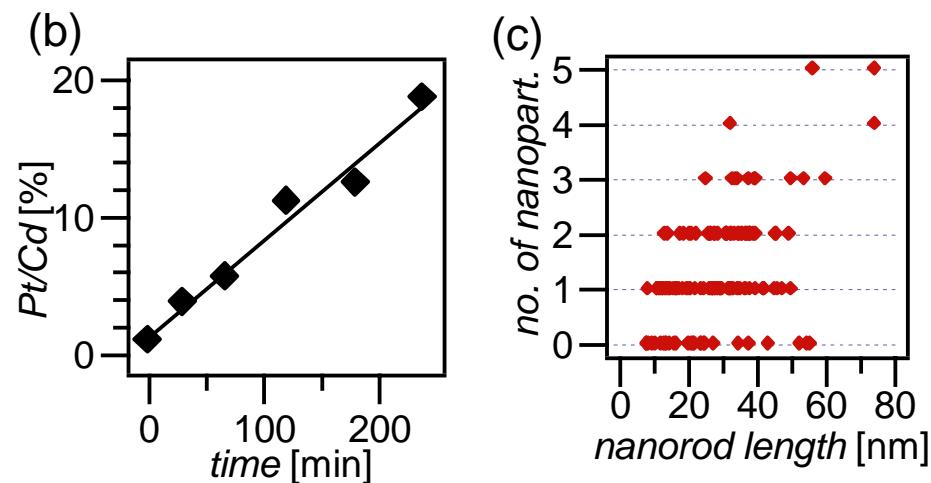
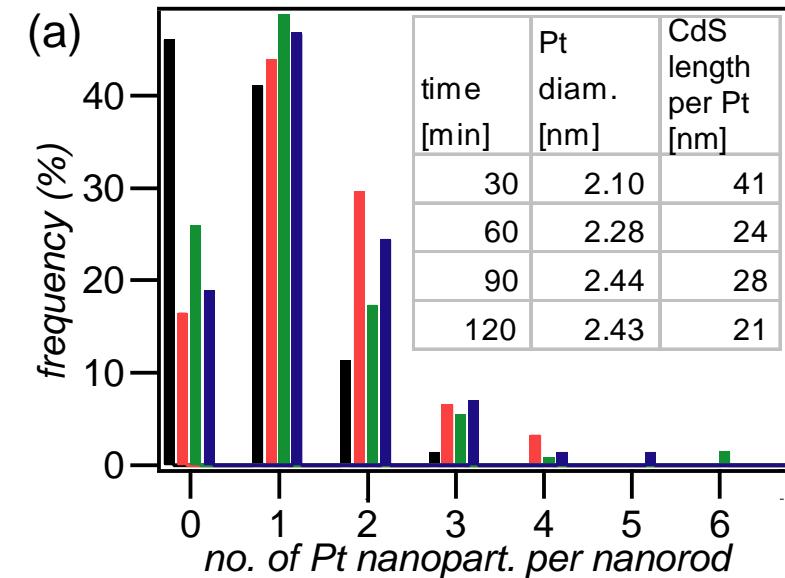
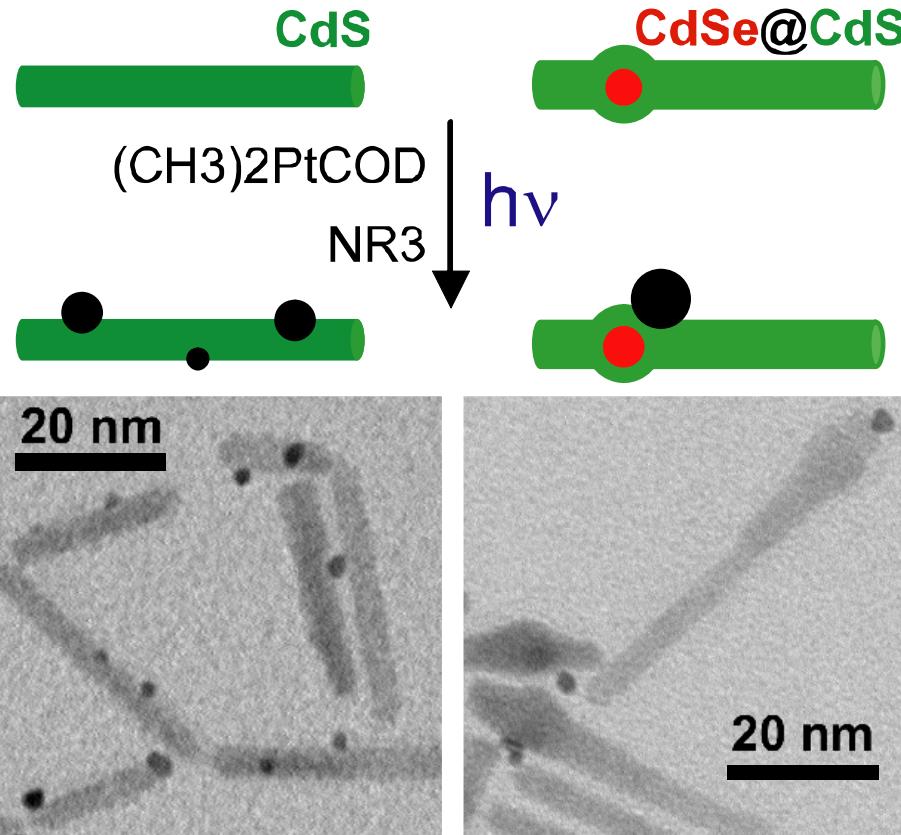


50 nm

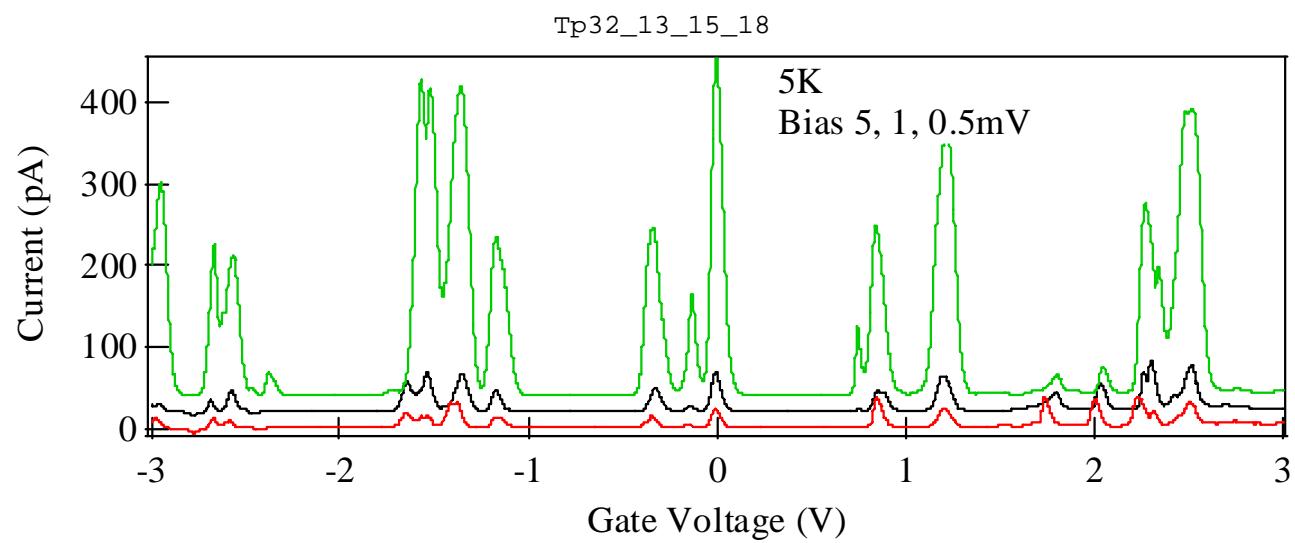
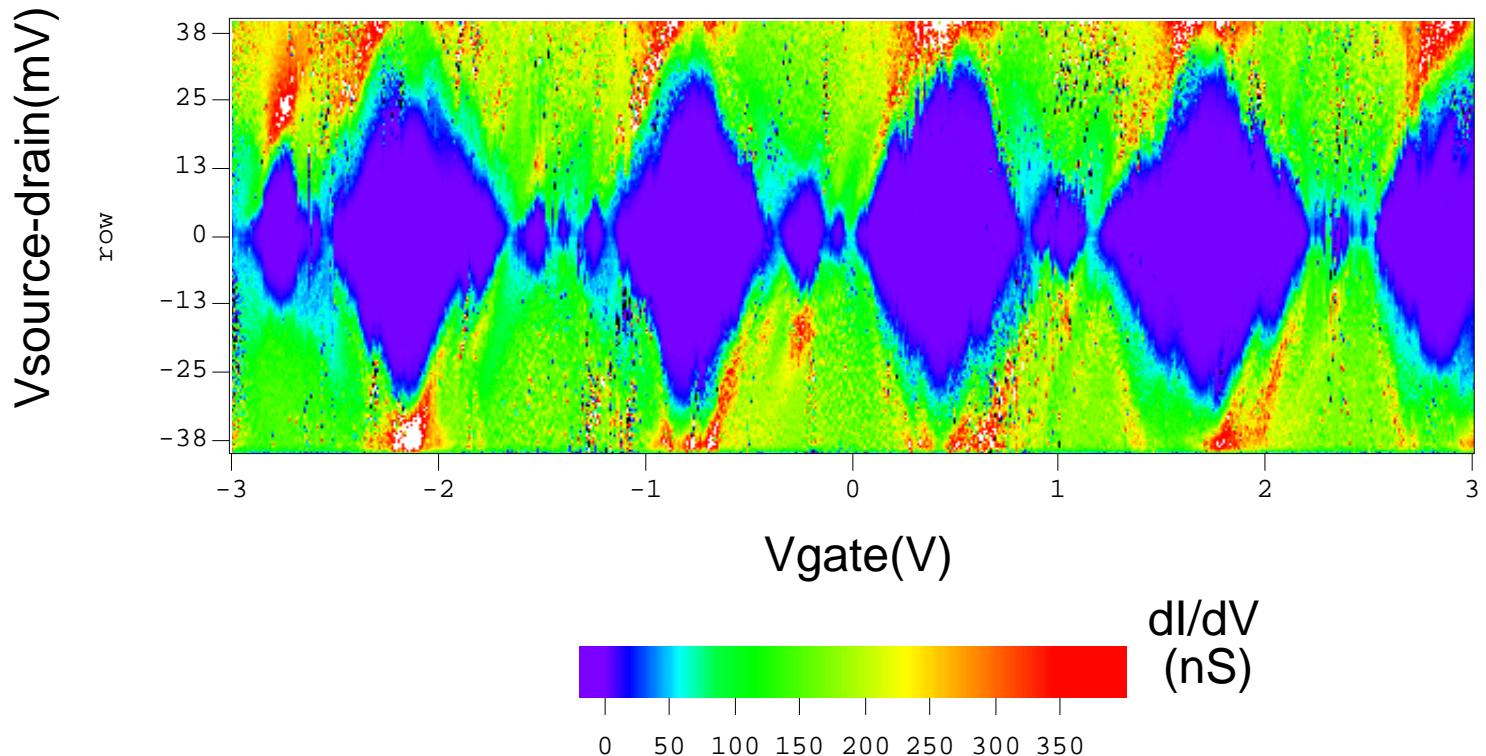
100 nm



Pt photodeposition on CdS and CdSe@CdS nanorods

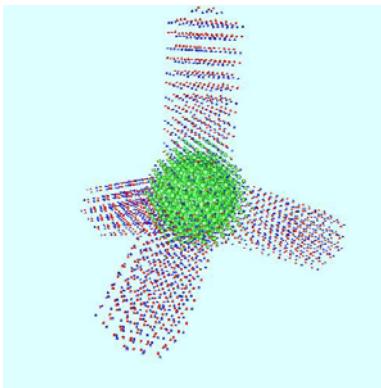


Tetrpaod SET: Strong Coupling Example

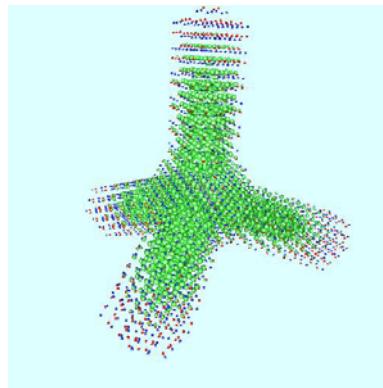


Electronic energy levels of tetrapods

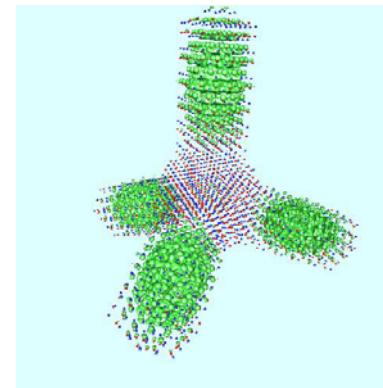
cb1



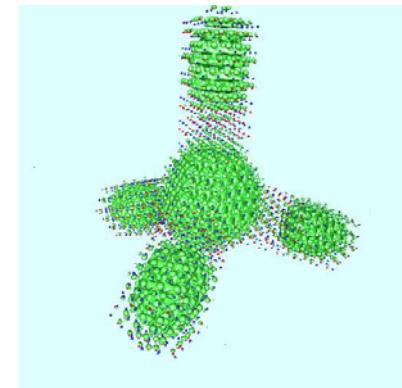
cb2



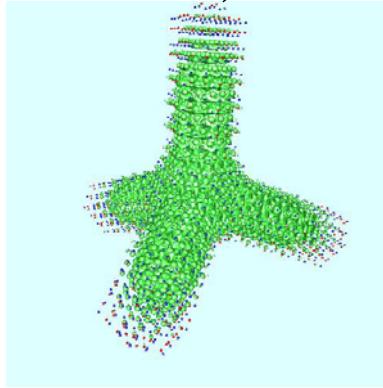
cb5



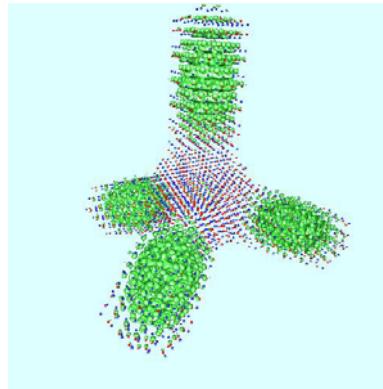
cb6



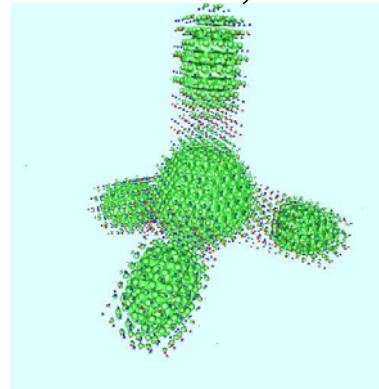
vb1,2



vb



vb5,6

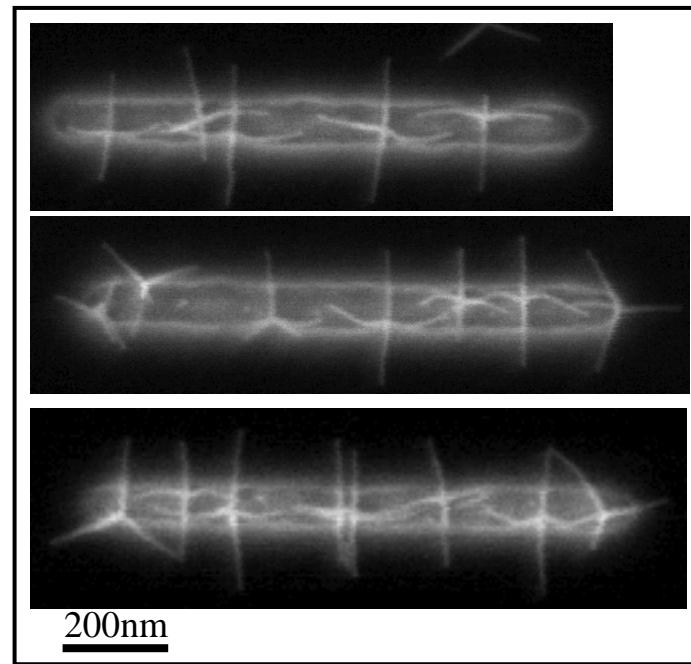
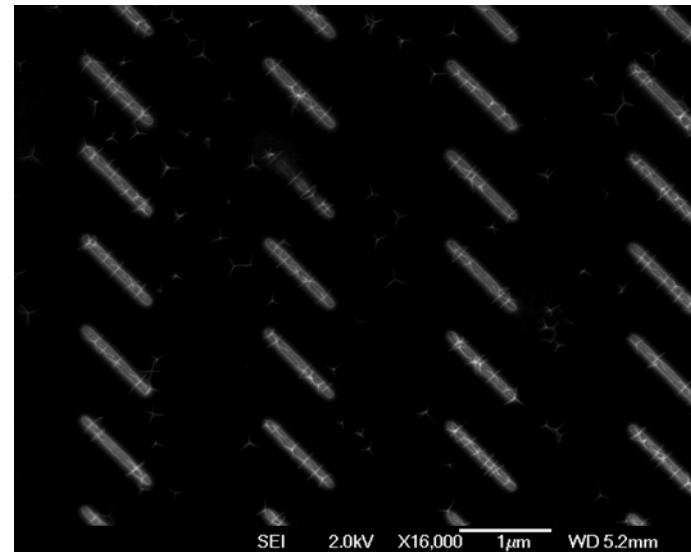
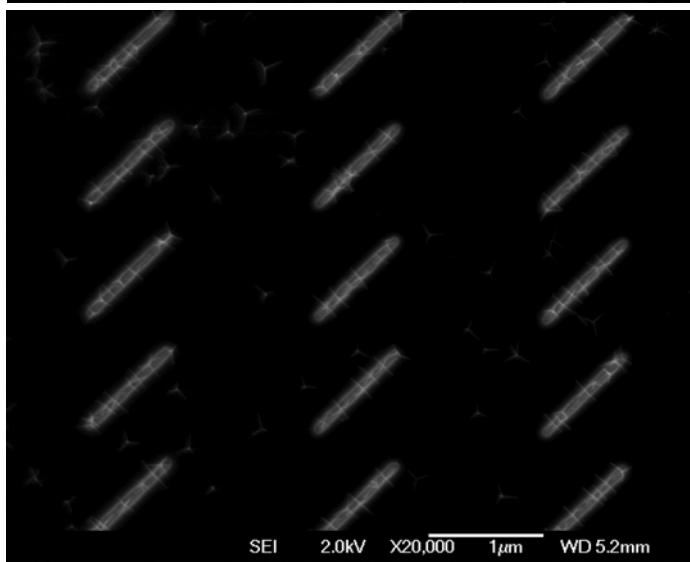
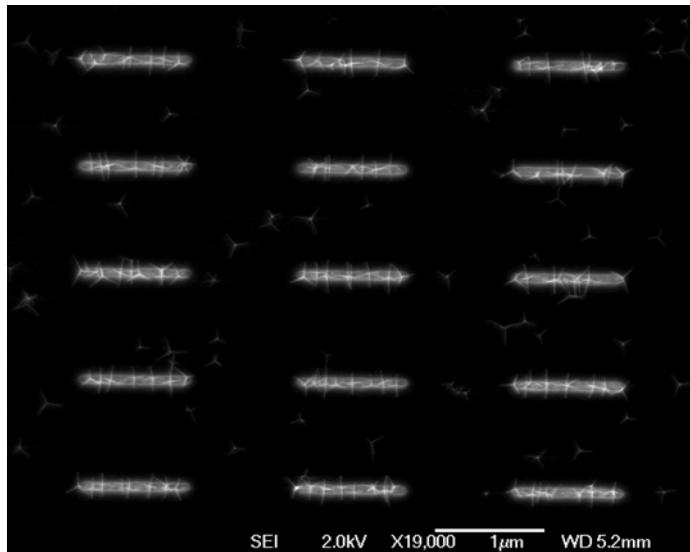


Li JB and Lin Wang Wang

Shape effects on electronic states of nanocrystals

Nano Letters 3 (10): 1357-1363 2003

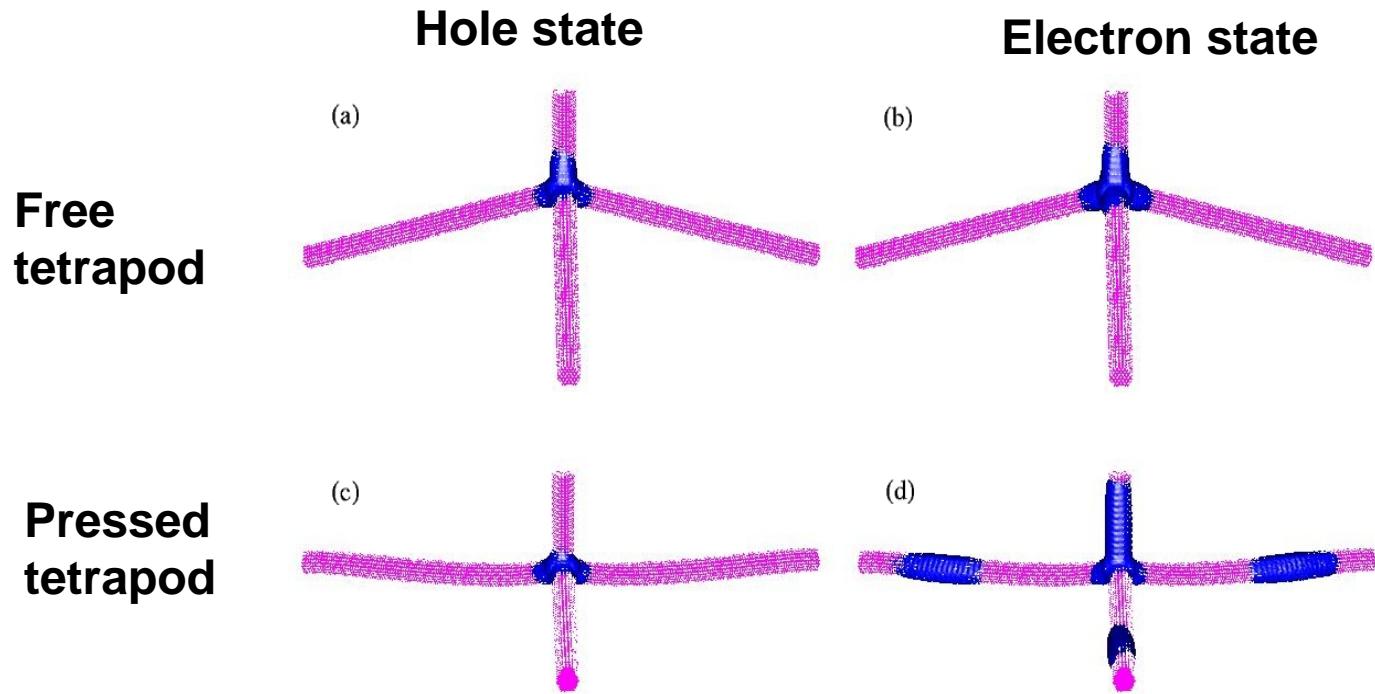
Tetrapods pressed onto trench walls by capillary forces



Y. Cui, Y., M. T. Björk, J. A. Liddle, C. Sönnichsen, B. Boussert and A. P. Alivisatos

"Integration of colloidal nanocrystals into lithographically patterned devices." *Nano Letters* 4(6): 1093-1098 (2004).

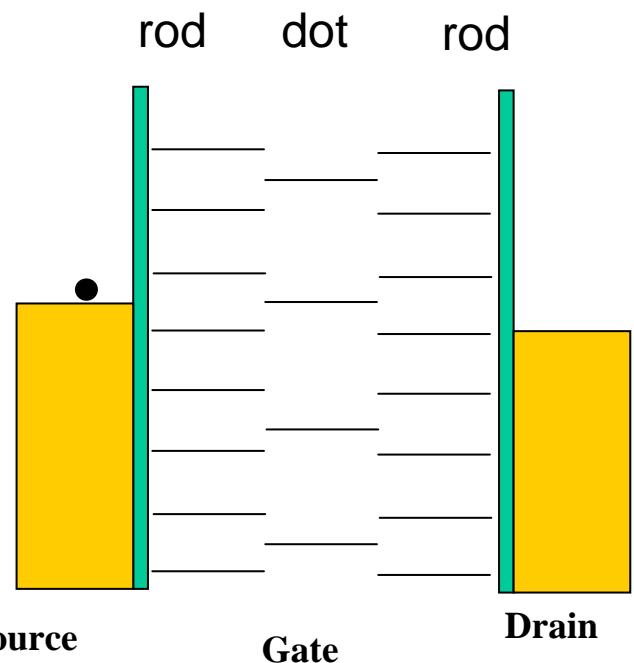
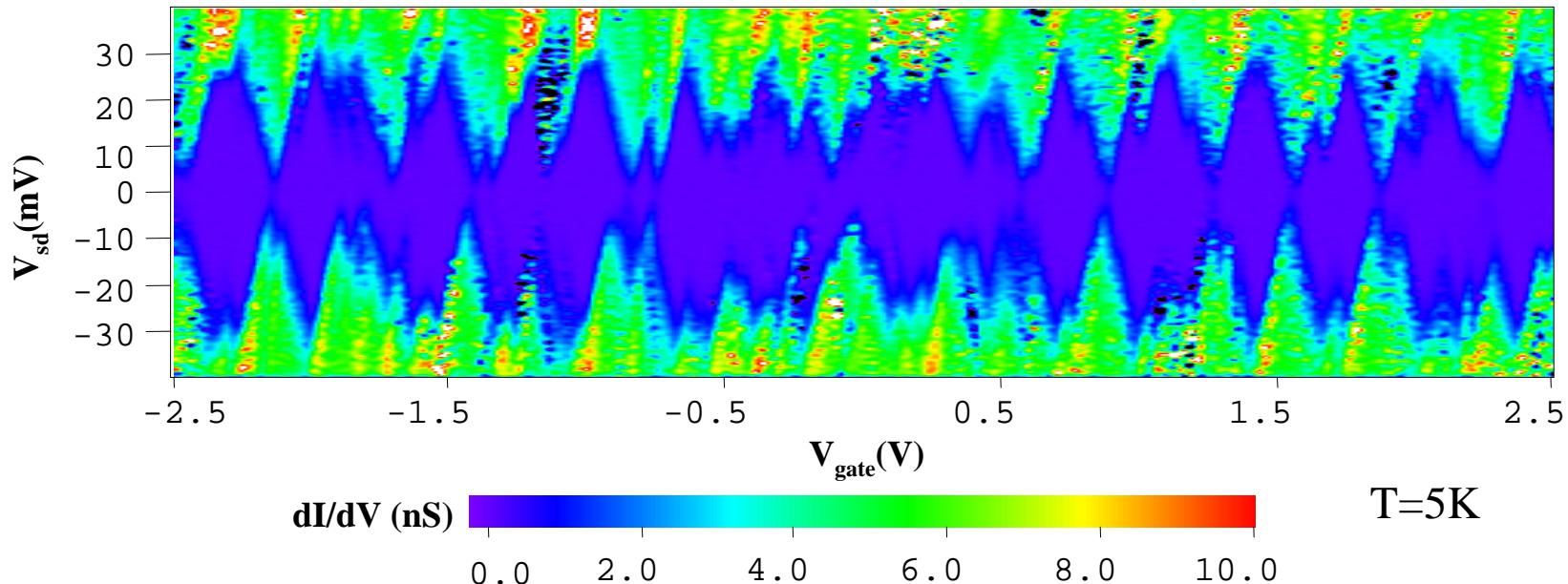
Mechanically induced state crossing



Empirical Pseudopotential Calculations with 25,000 atoms.

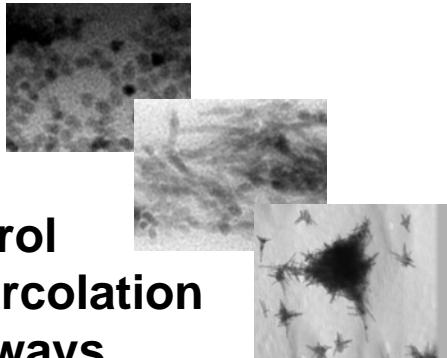
There is a state crossing for the electron state after press (mainly due to hydrostatic strain near the center). Should be detectable from single dot spectroscopy.

Signatures of a hopping case

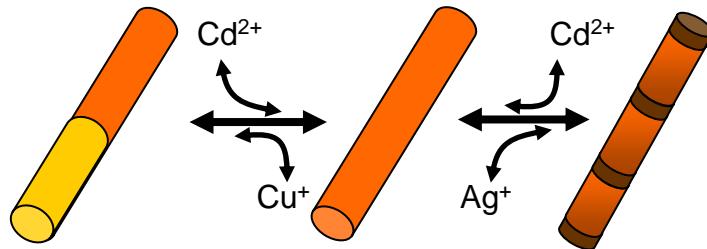


- Sawtooth pattern: multi-dots
- Different Charging energy scales: 30 meV ~8 nm dots; 5 meV ~8 by 50 nm rods.
- Coulomb diamonds do NOT close at zero bias.

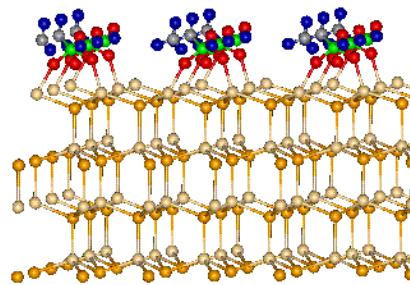
Nanocrystal-based solar cells



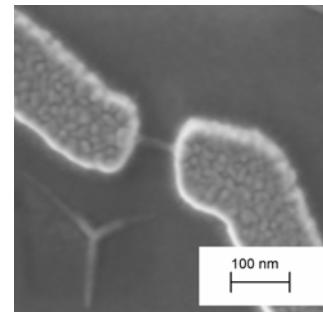
**Control
of percolation
pathways**



**New nanoscale
heterostructures for solar cells**



**Organic
passivation
and assembly**



**Model studies of
single nanocrystals**

Paul Alivisatos
Larry and Diane Bock Professor of Nanotechnology, University of California, Berkeley
Materials Science Division, Lawrence Berkeley National Lab

Thank you

Vicki Colvin
Mike Schlamp
Neil Greenham
Xiaogang Peng
Wendy Huynh
Janke Dittmer
Greg Whiting
Will Libby
Andreas Meisel
Liberato Manna
Erik Scher
Delia Milliron
Ilan Gur
Mike Geier

Antonis Kanaras
Neil Fromer
Richie Robinson
Bryce Sadtler
Dennis Demchenko
Lin Wang Wang
Cyrus Wadia
Yue Wu
Wanli Ma

US Department of Energy
(Darpa and Afosr)