# Yang-Mills theory, lattice gauge theory and simulations

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#### Overview

Introduction and physical context

Classical Yang-Mills theory

Lattice gauge theory

Simulating the Glasma in 2+1D

# Simulating the Glasma in 2+1D

### Relativistic heavy-ion collisions

# Relativistic heavy-ion collisions

Heavy-ion collision experiments as a means to study the properties of nuclear matter at extremely high energies

#### Examples:

- ▶ Au+Au at RHIC, BNL with  $\sqrt{s_{\rm NN}}$  up tp 200 GeV.
- ▶ Pb+Pb at LHC, CERN with  $\sqrt{s_{NN}}$  up tp 5 TeV.

 $\sqrt{s_{\rm NN}}$  is the collision energy per nucleon pair (protons, neutrons), mostly measured in electron volts eV.

Each nucleon of a gold nucleus (A=197) at RHIC carries  $E=100\,\mathrm{GeV}$  of energy (kinetic + rest mass).

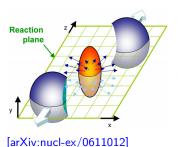
Comparison: The energy  $E_0=m_0c^2$  due to the rest mass  $m_0$  of a proton is  $1\,\mathrm{GeV}$ .

$$E^2 = (m_0c^2)^2 + (pc)^2 = E_0^2 + (pc)^2$$



# Relativistic heavy-ion collisions: elliptic flow

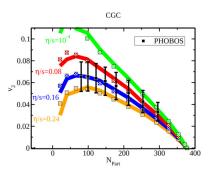
### Important experimental signature: elliptic flow



- ► Typically, collisions are off-central
- Directly after the collision: produced matter is "almond" shaped
- Geometric anisotropy of the initial shape of produced matter turns into a momentum anisotropy through collective effects (rescattering)
- Momentum anisotropy measured as the second Fourier coefficient  $v_2$  of the number of particles  $n(\vec{p})$  as a function of the azimuthal angle  $\phi$
- Experimental signature for a strongly-coupled quark gluon plasma (RHIC, 2005)

## Relativistic heavy-ion collisions: elliptic flow

#### Important experimental signature: elliptic flow



 $N_{
m part}$  number of participants  $\sim$  measure of how central a collision is

Extract information about system (viscosity  $\eta$ )

Figure from [arXiv:0804.4015]

# Relativistic heavy-ion collisions: theory overview

Theoretical model of heavy-ion collisions: a chain of models and simulations ("stages")

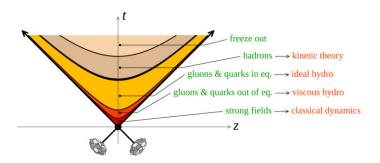


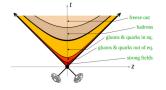
Figure from [arXiv:1110.1544]

Three/four main stages: a) classical Yang-Mills theory,

- b) kinetic theory (Boltzmann eqs.) [arXiv:1805.01604]
- c) relativistic hydrodynamics, d) kinetic theory



# Relativistic heavy-ion collisions: theory overview



- Appropriate length scale:  $1 \, \mathrm{fm} = 10^{-15} \, \mathrm{m}$  (femtometer)
- ► Nuclear radius  $R_{\rm Au} \approx 7 \, {\rm fm}$
- ► Time scale  $1 \, \mathrm{fm}/c \approx 3 \cdot 10^{-24} \, \mathrm{s}$
- Proper time  $\tau^2 = t^2 z^2$

#### Rough timeline of a collision:

- lacktriangle Classical Yang-Mills: from  $au=0\,\mathrm{fm}/c$  to  $0.1-1\,\mathrm{fm}/c$
- Hydrodynamics: up to  $au=10\,\mathrm{fm}/c$
- ▶ Kinetic theory: up to  $\tau = 15\,\mathrm{fm}/c$

### Afterwards, particles stream freely towards the detector

Each stage is based on theoretical calculations, but there is no full description in terms of quantum chromodynamics (QCD)



# Nuclei at high energies

#### Before the collision: single nuclei

#### A gold nucleus at rest:

- ► Spherical, 14 fm diameter
- ▶ 197 nucleons (protons and neutrons) + quantum fluctuations
- Very complicated, quantum field theoretical object

#### A very fast nucleus (RHIC energies):

- "pancake shaped" due to special relativity
- ▶ 14 fm diameter in the transverse plane (orthogonal to velocity)
- ▶ 0.1 fm longitudinal width, along axis of velocity
- ▶ Theoretical description becomes much simpler

# Nuclei at high energies

Before the collision: single nuclei

#### A very fast nucleus (RHIC energies):

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- Theoretical description becomes much simpler

Occupation number of gluons becomes very large

 $\Rightarrow$  gluons form a coherent state  $\sim$  classical color field

#### Quarks:

carry most of the total momentum of the nucleus interactions are "frozen" due to time dilation

⇒ effectively classical color charges

# Color glass condensate (CGC)

Classical effective theory for high energy quantum chromodynamics

Nuclei are split into two types of degrees of freedom:

- quarks, high momentum gluons: classical color charges
- low momentum gluons: classical color fields

This split uses an arbitrary longitudinal momentum cutoff  $\Lambda_c$ 

Requiring that physical observables do not depend on  $\Lambda_c$  yields a set of group renormalization equations called JIMWLK equations.

- $\Rightarrow$  If we are only interested in observables evaluated near/at the cutoff  $\Lambda_c$ , an effectively classical treatment is possible
  - ► F. Gelis, "Color Glass Condensate and Glasma", Int. J. Mod. Phys. A 28, 1330001 (2013) [arXiv:1211.3327]

Classical solutions for single nuclei

# Yang-Mills with external sources

Yang-Mills eqs. can be extended with an external color current:

$$D_{\mu}F^{\mu\nu}=J^{
u},\qquad J^{\mu}:\mathbf{M}
ightarrow\mathfrak{su}(N_{c}),$$

which is gauge-covariantly conserved

$$D_{\mu}J^{\mu}=\partial_{\mu}J^{\mu}+ig\left[A_{\mu},J^{\mu}
ight]=0.$$

Gauge transformation of the color current:

$$A'_{\mu} = \Omega \left( A_{\mu} + rac{1}{ig} \partial_{\mu} \right) \Omega^{\dagger}$$
  $F'_{\mu\nu} = \Omega F_{\mu\nu} \Omega^{\dagger}$   $\Rightarrow J'^{\mu} = \Omega J^{\mu} \Omega^{\dagger}$ 

 $J^0$  color charge density,  $J^i$  (spatial) color current density

## Yang-Mills with external sources

Yang-Mills action can be extended with an external color current:

$$S[A_{\mu},J_{\mu}] = \int d^4x \left( -rac{1}{2} \operatorname{tr} \left[ F_{\mu 
u} F^{\mu 
u} 
ight] + 2 \operatorname{tr} \left[ A_{\mu} J^{\mu} 
ight] 
ight)$$

The coupling term " $J_{\mu}A^{\mu}$ " breaks gauge symmetry in the case of a non-Abelian gauge group, but the extrema of S are still gauge invariant.

Note: this problem only arises if  $J_{\mu}$  is considered an external source. In the standard model (QCD, electroweak force), the current  $J_{\mu}$  is generated by fermionic fields (quarks). The action of QCD is gauge invariant.

Boost invariant (shockwave) approximation:

Speed of nuclei at particle accelerators

- Nuclei at RHIC:  $v/c \approx 0.99995$
- Nuclei at LHC:  $v/c \approx 0.99999992$

Assume nuclei move at the speed of light.

Longitudinal length contraction:

- Nuclei at RHIC: contracted by  $\gamma=100$
- Nuclei at LHC: contracted by  $\gamma = 2500$

Assume nuclei are infinitesimally thin.

Time dilation: interactions in nucleus are frozen

Boost invariant (shockwave) approximation: nuclei move at the speed of light, infinitesimally thin

More appropriate coordinates: light cone coordinates

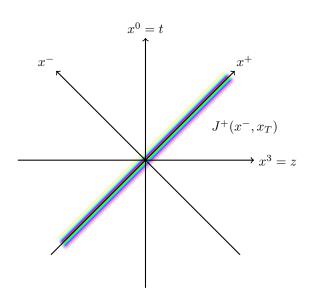
$$x^{+} = \frac{x^{0} + x^{3}}{\sqrt{2}}, \qquad x^{-} = \frac{x^{0} - x^{3}}{\sqrt{2}},$$

corresponding to 45° axes in the Minkowski diagram.

Assume nucleus is moving along positive  $x^3$  axis. Color current has only one non-vanishing component:

$$J^+(x^-,x_T)=\delta(x^-)\rho(x_T).$$

where  $\rho(x_T)$  describes the distribution of color charges in the transverse plane  $(x_T = (x, y)^T$  transverse coordinates)



Color current given by

$$J^+(x^-,x_T)=\delta(x^-)\rho(x_T).$$

Solve Yang-Mills eqs.

$$D_{\mu}F^{\mu\nu}=J^{\nu}$$

in Lorenz gauge

$$\partial_{\mu}A^{\mu}=0.$$

One finds:

$$A^{+}(x^{-}, x_{T}) = -\delta(x^{-})\Delta_{T}^{-1}\rho(x_{T}),$$

where  $\Delta_T$  is the 2D Laplace operator in the transverse plane and  $\Delta_T^{-1}$  is the Greens function.

All other components of the gauge field vanish.

Analogous solution for nucleus moving in opposite direction.

Color current

$$J^{-}(x^{+},x_{T})=\delta(x^{+})\rho(x_{T}),$$

Gauge field

$$A^{-}(x^{+}, x_{T}) = -\delta(x^{+})\Delta_{T}^{-1}\rho(x_{T}),$$

Remarkably, the solution  $A^{\pm}$  of the Yang-Mills eqs. only depends linearly on  $\rho$  because  $J^{\pm}$  only depends on  $x^{\mp}$  and  $x_{\mathcal{T}}$ .

⇒ Interactions stop due to time dilation.

Single nucleus solutions in Lorenz gauge  $\partial_{\mu}A^{\mu}$ :

$$J^{\pm}(x^{\mp}, x_T) = \delta(x^{\mp})\rho(x_T), \quad A^{\pm}(x^{\mp}, x_T) = -\delta(x^{\mp})\Delta_T^{-1}\rho(x_T)$$

Solution in light cone gauge ( $A^{\pm}=0$  for nucleus moving along  $x^{\mp}$ ): similar to temporal gauge, but along lightlike axes

$$A^{i}(x^{\mp},x_{T}) = \frac{1}{ig}V(x^{\mp},x_{T})\partial^{i}V^{\dagger}(x^{\mp},x_{T}),$$

with the light like Wilson line V given by

$$V^{\dagger}(x^{\mp}, x_T) = \mathcal{P} \exp \left(-ig \int\limits_{-\infty}^{x^{\mp}} dx'^{\mp} A^{\pm}(x'^{\mp}, x_T)\right)$$

Light cone gauge solution:

The lightlike Wilson line is given by

$$V^{\dagger}(x^{\mp}, x_T) = egin{cases} V^{\dagger}(x_T) & x^{\mp} > 0 \\ \mathbf{1} & x^{\mp} < 0 \end{cases}$$

with  $V^{\dagger}(x_T) = \exp(-ig\Delta_T^{-1}\rho(x_T))$ . The transverse gauge field  $A^i(x^{\mp},x_T)$  has the form of a step function:

$$A^{i}(x^{\mp}, x_{T}) = \frac{1}{ig} \theta(x^{\mp}) V(x_{T}) \partial^{i} V^{\dagger}(x_{T}),$$

where  $\theta$  is the Heaviside step "function"

$$\theta(x) = \begin{cases} 1 & x > 0 \\ 0 & x < 0 \end{cases}$$

Color current of a nucleus:

$$J^+(x^-,x_T)=\delta(x^-)\rho(x_T).$$

How to choose the charge density  $\rho(x_T)$ ?

There is no experimental control over how exactly quarks are distributed in a nucleus when the two nuclei collide.

In the color glass condensate framework,  $\rho(x_T)$  is considered a random variable. The distribution of  $\rho(x_T)$  is determined by a probability functional  $W[\rho]$ .

Expectation values of observables are computed using functional integrals. If  $A_{\mu}[\rho]$  is the solution of the Yang-Mills eqs. and  $O[A_{\mu}]$  is a gauge-invariant observable, then the expectation value  $\langle O \rangle$  is given by

 $\langle O \rangle = \int \mathcal{D} \rho O[A_{\mu}[\rho]] W[\rho].$ 

The color glass condensate framework does not predict  $W[\rho]$ .

The CGC provides a calculation framework in terms of classical Yang-Mills theory and group renormalization eqs. to describe how  $W[\rho]$  changes as a function of the cutoff  $\Lambda_c$  (JIMWLK), but no prediction for the form of  $W[\rho]$ .

 $\Rightarrow$  We need models for W[
ho]

Earliest, most simple one: McLerran-Venugopalan model (1994)

▶ L. McLerran, R. Venugopalan, "Computing Quark and Gluon Distribution Functions for Very Large Nuclei", PRD 49 (1994), ~ 1800 citations, [arXiv:hep-ph/9309289]

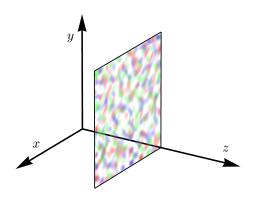
Earliest, most simple one: McLerran-Venugopalan model (1994)

- ▶ Assume  $W[\rho]$  is Gaussian.
- $W[\rho]$  is determined by specifying mean and covariance:

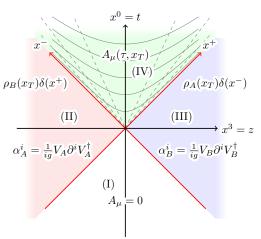
$$\langle \rho^{a}(x_{T})\rangle = 0$$
$$\langle \rho^{a}(x_{T})\rho^{b}(y_{T})\rangle = g^{2}\mu^{2}\delta^{ab}\delta^{(2)}(x_{T} - y_{T})$$

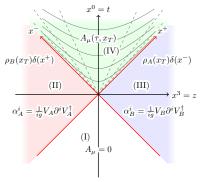
- ▶ Only one model parameter:  $\mu$  usually given in GeV Example: for gold/lead nuclei  $\mu \approx 0.5\,\mathrm{GeV}$
- ▶ Nuclei assumed to be infinitely large in the transverse plane.
- No finite radius, no inhomogeneous structure, because  $\mu$  is a constant.

Earliest, most simple one: McLerran-Venugopalan model (1994)

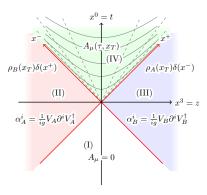


Idea: superimpose the solutions of two single nuclei at some initial time  $t_0$  before the collision. Solve the classical Yang-Mills eqs. up until some later time  $t>t_0$  to model a collision.





- Nuclei "A" and "B" specified by charge density  $\rho_A$  and  $\rho_B$
- Analytic solutions in regions (I), (II) and (III)
- Generally no analytical solutions for arbitrary ρ in region (IV) (forward light cone)
- ► The field in region (IV) is the "Glasma"
- Analytic solution can be found for the boundary of region (IV) using a matching ansatz (Glasma initial conditions)



• Use appropriate coordinates in region (IV): proper time  $\tau$ , rapidity  $\eta$ 

$$\tau = \sqrt{t^2 - z^2} = \sqrt{2x^+x^-}$$
$$\eta = \frac{1}{2} \ln \left(\frac{x^+}{x^-}\right)$$

- $au o 0^+$  defines the boundary of region (IV)
- Due to the boost-invariant approximation, the solution in (IV) does not depend on  $\eta$   $\Rightarrow$  Glasma is effectively 2+1D

#### Glasma initial conditions

Matching ansatz for all regions:

$$A^{i}(x) = \theta(x^{+})\theta(x^{-})\alpha^{i}(\tau, x_{T})$$

$$+ \theta(-x^{+})\theta(x^{-})\alpha^{i}_{A}(x_{T}) + \theta(x^{+})\theta(-x^{-})\alpha^{i}_{B}(x_{T}),$$

$$A^{\eta}(x) = \theta(x^{+})\theta(x^{-})\alpha^{\eta}(\tau, x_{T}),$$

with  $\alpha_{A/B}^i = \frac{1}{ig} V_{A/B} \partial^i V_{A/B}^{\dagger}$ . We use light cone gauge in (II) and (III), Fock-Schwinger gauge in (IV):

$$x^{+}A^{-} + x^{-}A^{+} = 0,$$

which is equivalent to a temporal gauge along proper time au.

Plug into Yang-Mills equations. Require that coefficients in front of problematic terms  $(\delta(x)\delta(x)$ , etc.) vanish.

This yields a set of matching conditions at  $\tau \to 0^+$  known as the Glasma initial conditions.

### Glasma initial conditions

The matching conditions are given by

$$\begin{split} \alpha^i(\tau \to 0^+, x_T) &= \alpha_A^i(x_T) + \alpha_B^i(x_T), \\ \alpha^\eta(\tau \to 0^+, x_T) &= \frac{ig}{2} \left[ \alpha_A^i(x_T), \alpha_B^i(x_T) \right], \end{split}$$

and

$$\partial_{\tau}\alpha^{i}(\tau \to 0^{+}, x_{T}) = 0,$$
  
 $\partial_{\tau}\alpha^{\eta}(\tau \to 0^{+}, x_{T}) = 0.$ 

With the gauge fixing condition  $A^{\tau}=0$  in the forward light cone (IV), we have a fully specified initial value problem.

#### Glasma initial conditions

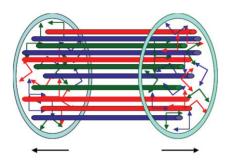
Field strengths of nuclei:

purely transverse chromo-electric and -magnetic fields

Field strengths in the Glasma:

(initially) purely longitudinal chromo-electric and -magnetic fields

Equal magnetic and electric contributions to energy (on average)



# Boost invariant Yang-Mills theory

Next step: formulate numerical scheme for Yang-Mills eqs. in forward light cone in terms of  $\tau$  and  $\eta$  coordinates.

Boost invariance: fields in forward light cone do not depend on rapidity  $\boldsymbol{\eta}$ 

 $\Rightarrow$  Drop all terms like  $\partial_{\eta}A_i$  etc.

#### Boost invariant action

$$S = \int d\tau d^{2}x_{T}d\eta \operatorname{tr} \left[ \tau F_{\tau i}F_{\tau i} + \frac{1}{\tau}F_{\tau \eta}^{2} - \frac{\tau}{2}F_{ij}F_{ij} - \frac{1}{\tau}F_{\eta i}F_{\eta i} \right]$$

#### Notes:

- ightharpoonup Explicit dependence on au due to use of curvilinear coordinates
- ▶ No dependence on  $\eta$ : effectively 2+1D description

# Boost invariant Yang-Mills on the lattice

#### Boost invariant action

$$S = \int d\tau d^{2}x_{T}d\eta \operatorname{tr} \left[ \tau F_{\tau i} F_{\tau i} + \frac{1}{\tau} F_{\tau \eta}^{2} - \frac{\tau}{2} F_{ij} F_{ij} - \frac{1}{\tau} F_{\eta i} F_{\eta i} \right]$$

We use the same procedure as in the 3+1D case with Cartesian coordinates:

Perform discretization of the action:

- ▶ Replace integral with sum over lattice sites
- lacktriangleright Replace  ${
  m tr}\left[F_{ij}^2
  ight]$  terms with corresponding plaquette terms
- $\Rightarrow$  Variation yields discrete equations of motion and constraint

Also necessary: discretized Glasma initial conditions [arXiv:hep-ph/9809433]

# Boost invariant Yang-Mills on the lattice

Main observable of interest: energy momentum tensor  $\mathcal{T}_{\mu
u}$ 

$$T^{\mu\nu} = F^{a,\mu\rho} F^{a,\nu}_{\ \rho} - \frac{1}{4} g^{\mu\nu} F^{a,\rho\sigma} F^{a}_{\rho\sigma}$$

Need to discretize  $\mathcal{T}_{\mu 
u}$  in terms of gauge links and plaquettes

- $ightharpoonup T^{\tau\tau}$ : energy density
- $ightharpoonup T^{i au}$ : energy flux along transverse axes
- $ightharpoonup T^{\eta au}$ : energy flux along longitudinal axis
- T<sup>ii</sup> (no sum): transverse pressure densities
- $ightharpoonup T^{\eta\eta}$ : longitudinal pressure density
- ▶  $T^{ij}$  for  $i \neq j$ ,  $T^{\eta i}$ : shear stress

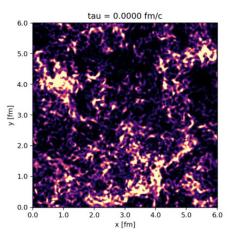
## Boost invariant Yang-Mills on the lattice

#### Summary of a typical Glasma simulation:

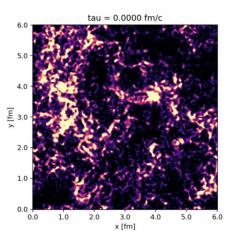
- Generate initial conditions
  - Pick random samples for charge densities  $\rho_A$  and  $\rho_B$  for both nuclei using their respective probability functionals  $W_A[\rho]$  and  $W_B[\rho]$
  - Compute Glasma initial conditions on the lattice
- Solve discretized equations of motion on the lattice starting at au=0 up to some final time  $au_f=0.1-1.0\,\mathrm{fm}/c$
- ▶ Compute  $T_{\mu\nu}$  as a function of  $\tau$  and  $x_T$

Perform multiple simulations using random initial condition to approximate the expectation value  $\langle T_{\mu\nu} \rangle$  (Monte Carlo sampling)

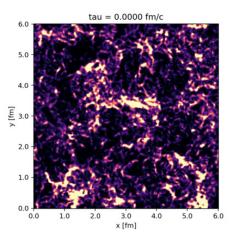
Random collision event 1: energy density  $\varepsilon(\tau, x_T) = T_{\tau\tau}(\tau, x_T)$ 



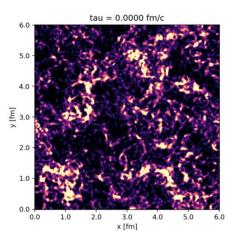
Random collision event 2: energy density  $\varepsilon(\tau, x_T) = T_{\tau\tau}(\tau, x_T)$ 



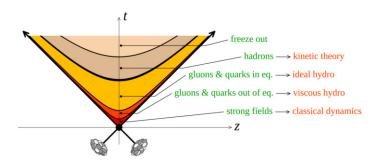
Random collision event 3: energy density  $\varepsilon(\tau, x_T) = T_{\tau\tau}(\tau, x_T)$ 



Random collision event 4: energy density  $\varepsilon(\tau, x_T) = T_{\tau\tau}(\tau, x_T)$ 

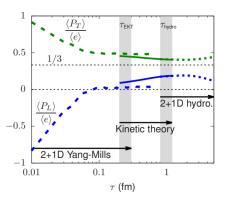


Computing  $\langle T_{\mu\nu} \rangle$  at some final time  $\tau_f = 0.1 - 1.0 \, \mathrm{fm}/c$  provides initial conditions for the next link in the chain of simulations (e.g. hydrodynamical or kinetic theory simulations).



When does the classical Yang-Mills description become invalid?

As the Glasma expands, the gluon occupation number decreases rapidly. If too low, the coherent state ("effectively classical") approximation stops being applicable.



### Improved nucleus models

### Improved nucleus models: transverse details

McLerran-Venugopalan model is too simple:

- ▶ No finite radius ⇒ cannot model off central collisions
- ▶ Variance of random charge densities  $\rho$  is the same everywhere  $\Rightarrow$  No nucleonic or sub-nucleonic structure

Simple generalization:

$$\langle \rho^{a}(x_{T})\rho^{b}(y_{T})\rangle = g^{2}\mu^{2}(x_{T})\delta^{ab}\delta^{(2)}(x_{T}-y_{T}),$$

where  $\mu^2(x_T)$  is now a function of  $x_T$ .

- Let  $\mu^2(x_T) \to 0$  outside the nucleus
- ► Add local variation inside the nucleus (protons, neutrons)

### Improved nucleus models: transverse details

#### Simple generalization:

$$\left\langle \rho^{a}(x_{T})\rho^{b}(y_{T})\right\rangle = g^{2}\mu^{2}(x_{T})\delta^{ab}\delta^{(2)}(x_{T}-y_{T}).$$

Current state-of-the-art: IP-Glasma model

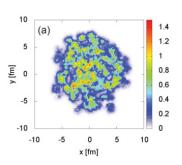


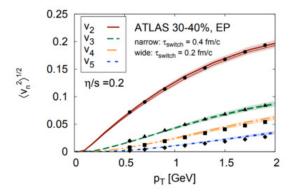
Fig. from [arXiv:1605.07158]

- Sample nucleon positions x<sub>T</sub> from a probability density function
- ► Each nucleon adds an individual contribution to  $\mu^2(x_T)$
- Exact form of each contribution is extracted from experimentally measured cross sections of deep inelastic scattering experiments (e.g. proton probed by an electron)

## Improved nucleus models: transverse details

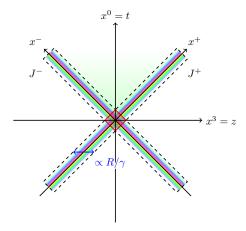
Current state of the art: IP-Glasma model

IP-Glasma initial conditions not only describe  $v_2$  (elliptic flow), but also higher coefficients  $v_n$ 



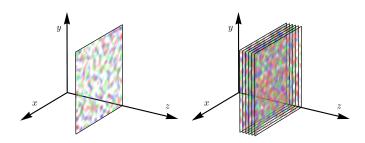
#### McLerran-Venugopalan is too simple:

- Width is not actually infinitesimal (only finite collision energy)
- Complicated structure also along longitudinal coordinate z
- ▶ Boost invariance is only a rough approximation



#### McLerran-Venugopalan is too simple:

- Width is not actually infinitesimal (only finite collision energy)
- Complicated structure also along longitudinal coordinate z
- ▶ Boost invariance is only a rough approximation



Finite width along z: breaks boost invariance

 $\Rightarrow$  Fields in forward light cone depend on rapidity  $\eta$ 

Reduction from 3+1D system to 2+1D does not work anymore.

3+1D simulations required:

- lacktriangle Explicitly include and simulate color currents  $J^{\mu}$
- ▶ Have to simulate whole collision, not just forward light cone
- $\blacktriangleright$  Simulate in laboratory frame (ordinary Cartesian coordinates), instead of  $\tau$  and  $\eta$
- Much more computationally demanding:
  - ▶ 2+1D simulations: a few minutes per initial condition
  - ▶ 3+1D simulations: 1-2 days per initial condition

3D density plot of energy density  $\varepsilon(x)$ 

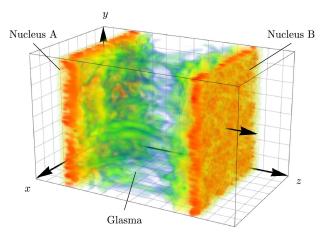
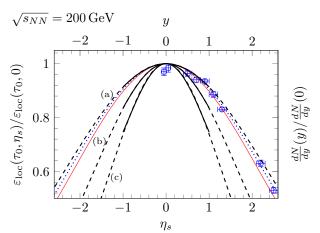


Fig. from [arXiv:1703.00017]

Comparison of rapidity dependence of  $\varepsilon(\tau, x_T, \eta)$  to experimental data from BRAHMS experiment at RHIC using only a very simple modification of the MV model



### Summary

#### Simulating the Glasma in 2+1D

- Theoretical description of heavy ion collisions is divided into different stages
- Earliest stages are described by the color glass condensate, which allows for an effective description of the system using classical Yang-Mills theory
- Assuming nuclei to be infinitesimally thin, the collision can be described in 2+1D (boost invariance)
- ➤ Yang-Mills eqs. for the Glasma are solved using methods from lattice gauge theory
- Experimental data can only be correctly described using accurate models of nuclei